PCI

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



ication Date: 29 September 1994 (29.09.94)

WO 94/21797

#### INTERNATIONAL APPLICATION DURI ISSUED UNI T COOPERATION TREATY (PCT)

INTERNATION	IAL APPLICATION PUBLIS	HED I	UN	DER THE PATENT
(51) International Patent Classification 5: (1			1) International Publi	
C12N 15/44, A	.61K 48/00, 31/70	A1	(4	3) International Public
(21) International App	lication Number: PCT/US	94/027	51	Lane, Chalfor
(22) International Filin	ng Date: 14 March 1994 (	14.03.9	4)	
(30) Priority Data:				
032,383	18 March 1993 (18.03.93)	τ	JS	(74) Agent: BENCE
089,985	8 July 1993 (08.07.93)	ľ	ß	Rahway, NJ 0
(60) Parent Application	or Grant			(81) Designated State
(63) Related by Continuation				KZ, LK, LV,
US	2,980	85 (CI	P)	SI, SK, TT, t
Filed on	8 July 1993 (C	8.07.9	3)	CI, CM, GA,
	designated States except US): MI			Published
CO., INC. [US	/US]; 126 East Lincoln Avenue,	Rahwa	у,	With internation
NJ 07065 (US).	VICAL INCORPORATED [US/U	S]; Sui	te	Before the ex
100, 9373 Town	e Centre Drive, San Diego, CA 921	21 (US	3).	claims and to amendments.

ont, PA 18914 (US). PARKER, Suczanne, E. 546 Carmel Landing, San Diego, CA 92130 ER, John, W. [US/US]; 125 Beulah Road, PA 18901 (US). ULMER, Jeffrey, B. [CA/US]; rcle, Chalfont, PA 18914 (US).

EN, Gerard, H.; 126 East Lincoln Avenue, 07065 (US).

ication Numbers

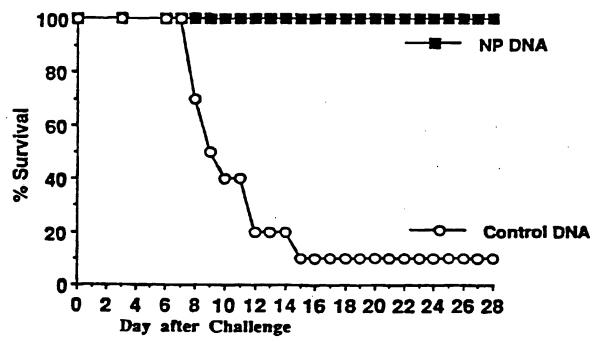
🚌 BB, BG, BR, BY, CN, CZ, FI, HU, KR, MG, MN, MW, NO, NZ, PL, RO, RU, SD, UA, US, UZ, OAPI patent (BF, BJ, CF, CG, GN, ML, MR, NE, SN, TD, TG).

> ional search report. xpiration of the time limit for amending the o be republished in the event of the receipt of

(72) Inventors; and

(75) Inventors/Applicants (for US only): DONNELLY, John, J. [US/US]; 1505 Bierwood Road, Havertown, PA 19083 (US). DWARKI, Varavani, J. [IN/US]; Apartment N, 1175 Broadway, Alameda, CA 94501 (US). LIU, Margaret, A. [US/US]; 4 Cushman Road, Rosemont, PA 19190 (US). MONTGOMERY, Donna, L. [US/US]; 9 Hickory

(54) Title: NUCLEIC ACID PHARMACEUTICALS



#### (57) Abstract

DNA constructs encoding influenza virus gene products, capable of being expressed upon direct introduction, via injection or otherwise, into animal tissues, are novel prophylactic pharmaceuticals which can provide immune protection against infection by homologous and heterologous strains of influenza virus.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
ΑŪ	Australia	GE	Georgia	MW	Malawi
BB	Berbados	GN	Guinea	NE NE	
BE	Belgium	GR	Greace	NIL.	Niger
BF	Burkina Feso	HO	Hungary		Netherlands
BG	Bulgaria	IR.	Imland	NO	Norway
Ŋ	Beain	ī	Italy	NZ	Now Zealand
BR	Brazil	JP		PL	Poland
BY	Belarus	KR	Japan	PT	Portugal
CA	Canada	. KG	Konya	RO	Romania
Œ	Central African Republic		Kyrgystan	RU	Russian Pederation
CG	Congo	KP	Democratic People's Republic	SD	Sudes
CE	Switzerland		of Korea	SE	Sweden
a	Côte d'Ivoire	KR	Republic of Korea	SI	Slovenia
CM		K2	Kazakhstan	SK	Slovakia
	Cameroon	Ц	Liechtenetein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Ched
CS	Czechoulovakie	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monace	TT.	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	ÜĀ	Ukraine
ES	Spain	MG	Madagascar	US	
π	Pinland	MI.	Mall		United States of America
FR	Prance	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	m21		VN	Vict Nam

15

20

25

30

- 1 -

# TITLE OF THE INVENTION NUCLEIC ACID PHARMACEUTICALS

## **CROSS REFERENCES TO RELATED APPLICATIONS**

This is a continuation-in-part of USSN 08/089,985, filed on July 8, 1993, pending, which was a continuation of USSN 08/032,383, filed on March 18, 1993, pending.

#### **BACKGROUND OF THE INVENTION**

# i. Field of the Invention:

This invention relates to the production and use of a novel pharmaceutical product: a nucleic acid which, when directly introduced into living vertebrate tissue, induces the production of immune responses which specifically recognize human influenza virus.

#### ii. Background of the Invention:

Influenza is an acute febrile illness caused by infection of the respiratory tract with influenza A or B virus. Outbreaks of influenza occur worldwide nearly every year with periodic epidemics or pandemics. Influenza can cause significant systemic symptoms, severe illness (such as viral pneumonia) requiring hospitalization, and complications such as secondary bacterial pneumonia. Recent U.S. epidemics are thought to have resulted in >10,000 (up to 40,000) excess deaths per year and 5,000-10,000 deaths per year in non-epidemic years. The best strategy for prevention of the morbidity and mortality associated with influenza is vaccination. The current licensed vaccines are derived from virus grown in eggs, then inactivated, and include three virus strains (two A strains and one B strain). Three types of vaccines are available: whole-virus, subvirion, and purified surface antigen. Only the latter two are used in children because of increased febrile responses with the whole-virus vaccine. Children under the age of 9 require two immunizations, while adults require only a single injection. However, it has been suggested [see Medical Letter 32:89-90,

10

15

20

25

30

Sept. 17, 1993] that "patients vaccinated early in the autumn might benefit from a second dose in the winter or early spring," due to the observations that in some elderly patients, the antibody titers following vaccination may decline to less-than-protective levels within four months or less. These vaccines are reformulated every year by predicting which recent viral strains will clinically circulate and evaluating which new virulent strain is expected to be predominant in the coming flu season. Revaccination is recommended annually.

## A. The limitations of the licensed vaccine are:

- 1) Antigenic variation, particularly in A strains of influenza, results in viruses that are not neutralized by antibodies generated by a previous vaccine (or previous infection). New strains arise by point mutations (antigenic drift) and by reassortment (antigenic shift) of the genes encoding the surface glycoproteins (hemagglutinin [HA] and neuraminidase), while the internal proteins are highly conserved among drifted and shifted strains. Immunization elicits "homologous" strain-specific antibody-mediated immunity, not "heterologous" groupcommon immunity based on cell-mediated immunity.
- 2) Even if the predominant, circulating strains of influenza virus do not shift or drift significantly from one year to the next, immunization must be given each year because antibody titers decline. Although hemagglutination-inhibiting (HI) and neutralizing antibodies are reported by some to persist for months to years with a subsequent gradual decline, the Advisory Committee on Immunization Practices cites the decline in antibody titers in the year following vaccination as a reason for annual immunization even when there has been no major drift or shift. (HI antibodies inhibit the ability of influenza virus to agglutinate red blood cells. Like neutralizing antibodies, they are primarily directed against the HA antigen. Hemagglutination inhibition tests are easier and less expensive to perform than neutralization assays are, and thus are often used as a means to assess the ability of antibodies

25

30

raised against one strains of influenza to react to a different strain). As mentioned above, The <u>Medical Letter</u> suggests that certain high-risk, older individuals should be vaccinated twice in one season due to short-lived protective antibody titers.

5 3) The effectiveness of the vaccine is suboptimal. Development of the next season's vaccine relies upon predicting the upcoming circulating strains (via sentinel sampling in Asia), which is inexact and can result in a poor match between strains used for the vaccine and those that actually circulate in the field. Moreover, as occurred during the 1992-1993 flu 10 season, a new H3N2 strain (A/Beijing/92) became clinically apparent during the latter phase of the flu season. This prompted a change in the composition of the 1993-1994 vaccine, due to poor cross-reactivity with A/Beijing/92 of the antibody induced by the earlier H3N2 strain (A/Beijing/89) due to antigenic shift. However, due to the length of 15 time needed to make and formulate the current licensed vaccine, the new vaccine strain could not be introduced during the 1992-1993 season despite the evidence for poor protection from the existing vaccine and the increased virulence of the new circulating H3N2 strain.

Even when the vaccine and circulating strains are well-matched, the licensed vaccine prevents illness in only about 70% of healthy children and young adults and in 30-40% of frail older adults. Thus other criteria are used to indicate the vaccine's efficacy when the vaccine strains correspond to the circulating strains. These criteria include prevention of severe illness and secondary complications, which are reflected by prevention of hospitalization (70% for the elderly living at home vs. 50-60% for the elderly living in nursing homes) and prevention of death (80% for nursing home residents). Herd immunity to reduce the spread of infection in a nursing home is considered another benefit of immunization.

15

20

25

30

4

# B. Characteristics of an Ideal Universal Influenza Vaccine (Objects of the Invention):

1) Generation of group-common (heterologous) protection. A universal vaccine would be able to protect against different strains, within an H3N2 subtype for example, and possibly even across subtypes, e.g., from H1N1 to H3N2. This likely would be mediated by cytotoxic T lymphocytes (CTL) recognizing antigens from internal conserved viral proteins, although neutralizing antibodies directed against conserved portions of membrane-bound proteins also might play a role.

2) Increased breadth of antibody response. Because CTL are thought to play a role in recovery from disease, a vaccine based solely upon a CTL response would be expected to shorten the duration of illness (potentially to the point of rendering illness subclinical), but it would not prevent illness completely. The method of producing the current influenza vaccine by passage in eggs has been shown experimentally to be capable of selecting for virus subpopulations that have altered HA antigenicity. As a result, vaccine efficacy could be diminished because the antibody elicited by the vaccine may not be completely effective against the predominant circulating strain. Thus, one would like to generate antibodies which would have an improved breadth of response compared to the current vaccine. The 1992-93 flu season offered an excellent case study of the limitations of the current vaccine in that the vaccine, which utilized A/Beijing/89, generated antibodies which were poorly cross-reactive (and poorly protective) against the new A/Beijing/92 strain which was also more virulent. Both strains are H3N2, i.e., of the same subtype. In terms of amino acid sequence, however, the A/Beijing/92-like strains differed from the A/Beijing/89like strains by only 11 point mutations (positions 133, 135, 145, 156, 157, 186, 190, 191, 193, 226, and 262) in the HA1 region. It is not known whether the current manufacturing process influenced the lack of cross-reactivity, but clearly an improvement in the breadth of antibody response is desired.

30

3) Increased duration of antibody responses. Because one of the very groups that is at highest risk for the morbidity and mortality of influenza infection (elderly) is also the group in whom protective antibody titers may decline too rapidly for annual immunization to be effective, an improved vaccine should generate protective titers of antibody that persist longer.

#### C. Polynucleotides as a Vaccine

10 Intramuscular inoculation of polynucleotide constructs, i.e., DNA plasmids encoding proteins have been shown to result in the in situ generation of the protein in muscle cells. By using cDNA plasmids encoding viral proteins, both antibody and CTL responses were generated, providing homologous and heterologous protection against 15 subsequent challenge with either the homologous or cross-strain protection, respectively. Each of these types of immune responses offers a potential advantage over existing vaccination strategies. The use of PNVs to generate antibodies may result in an increased duration of the antibody responses as well as the provision of an antigen that can 20 have both the exact sequence of the clinically circulating strain of virus as well as the proper post-translational modifications and conformation of the native protein (vs. a recombinant protein). The generation of CTL responses by this means offers the benefits of cross-strain protection without the use of a live potentially pathogenic vector or 25 attenuated virus.

#### D. Further Description of the Background:

Thus, a major challenge to the development of vaccines against viruses such as influenza, against which neutralizing antibodies are generated, is the diversity of the viral envelope proteins among different isolates or strains. As cytotoxic T-lymphocytes in both mice and humans are capable of recognizing epitopes derived from conserved internal viral proteins [J.W. Yewdell et al., Proc. Natl. Acad. Sci.

15

20

25

30

(USA) 82, 1785 (1985); A.R.M. Townsend, et al., Cell 44, 959 (1986); A.J. McMichael et al., J. Gen. Virol. 67, 719 (1986); J. Bastin et al., J. Exp. Med. 165, 1508 (1987); A.R.M. Townsend and H. Bodmer, Annu. Rev. Immunol. 7, 601 (1989)], and are thought to be important in the immune response against viruses [Y.-L. Lin and B.A. Askonas, J. Exp. Med. 154, 225 (1981); I. Gardner et al., Eur. J. Immunol. 4, 68 (1974); K.L. Yap and G.L. Ada, Nature 273, 238 (1978); A.J. McMichael et al., New Engl. J. Med. 309, 13 (1983); P.M. Taylor and B.A. Askonas, Immunol. 58, 417 (1986)], efforts have been directed towards the development of CTL vaccines capable of providing heterologous protection against different viral strains.

CD8+ CTLs kill virally-infected cells when their T cell receptors recognize viral peptides associated with MHC class I molecules [R.M. Zinkernagel and P.C. Doherty, *ibid.* 141, 1427 (1975); R.N. Germain, Nature 353, 605 (1991)]. These peptides are derived from endogenously synthesized viral proteins, regardless of the protein's location or function within the virus. Thus, by recognition of epitopes from conserved viral proteins, CTLs may provide cross-strain protection. Peptides capable of associating with MHC class I for CTL recognition originate from proteins that are present in or pass through the cytoplasm or endoplasmic reticulum [J.W. Yewdell and J.R. Bennink, Science 244, 1072 (1989); A.R.M. Townsend *et al.*, Nature 340, 443 (1989); J.G. Nuchtern *et al.*, *ibid.* 339, 223 (1989)]. Therefore, in general, exogenous proteins, which enter the endosomal processing pathway (as in the case of antigens presented by MHC class II molecules), are not effective at generating CD8+ CTL responses.

Most efforts to generate CTL responses have either used replicating vectors to produce the protein antigen within the cell [J.R. Bennink et al., ibid. 311, 578 (1984); J.R. Bennink and J.W. Yewdell, Curr. Top. Microbiol. Immunol. 163, 153 (1990); C.K. Stover et al., Nature 351, 456 (1991); A. Aldovini and R.A. Young, Nature 351, 479 (1991); R. Schafer et al., J. Immunol. 149, 53 (1992); C.S. Hahn et al., Proc. Natl. Acad. Sci. (USA) 89, 2679 (1992)], or they have focused upon the introduction of peptides into the cytosol [F.R. Carbone

10

15

30

and M.J. Bevan, J. Exp. Med. 169, 603 (1989); K. Deres et al., Nature 342, 561 (1989); H. Takahashi et al., ibid. 344, 873 (1990); D.S. Collins et al., J. Immunol. 148, 3336 (1992); M.J. Newman et al., ibid. 148, 2357 (1992)]. Both of these approaches have limitations that may reduce their utility as vaccines. Retroviral vectors have restrictions on the size and structure of polypeptides that can be expressed as fusion proteins while maintaining the ability of the recombinant virus to replicate [A.D. Miller, Curr. Top. Microbiol. Immunol. 158, 1 (1992)], and the effectiveness of vectors such as vaccinia for subsequent immunizations may be compromised by immune responses against the vectors themselves [E.L. Cooney et al., Lancet 337, 567 (1991)]. Also, viral vectors and modified pathogens have inherent risks that may hinder their use in humans [R.R. Redfield et al., New Engl. J. Med. 316, 673 (1987); L. Mascola et al., Arch. Intern. Med. 149, 1569 (1989)]. Furthermore, the selection of peptide epitopes to be presented is dependent upon the structure of an individual's MHC antigens and, therefore, peptide vaccines may have limited effectiveness due to the diversity of MHC haplotypes in outbred populations.

Benvenisty, N., and Reshef, L. [PNAS 83, 9551-9555, (1986)] showed that CaCl2 precipitated DNA introduced into mice 20 intraperitoneally, intravenously or intramuscularly could be expressed. The intramuscular (i.m.) injection of DNA expression vectors in mice has been demonstrated to result in the uptake of DNA by the muscle cells and expression of the protein encoded by the DNA [J.A. Wolff et al., Science 247, 1465 (1990); G. Ascadi et al., Nature 352, 815 25 (1991)]. The plasmids were shown to be maintained episomally and did not replicate. Subsequently, persistent expression has been observed after i.m. injection in skeletal muscle of rats, fish and primates, and cardiac muscle of rats [H. Lin et al., Circulation 82, 2217 (1990); R.N. Kitsis et al., Proc. Natl. Acad. Sci. (USA) 88, 4138 (1991); E. Hansen et al., FEBS Lett. 290, 73 (1991); S. Jiao et al., Hum. Gene Therapy 3, 21 (1992); J.A. Wolff et al., Human Mol. Genet. 1, 363 (1992)]. The technique of using nucleic acids as therapeutic agents was reported in

10

15

20

25

30

WO90/11092 (4 October 1990), in which naked polynucleotides were used to vaccinate vertebrates.

It is not necessary for the success of the method that immunization be intramuscular. Thus, Tang et al., [Nature, 356, 152-154 (1992)] disclosed that introduction of gold microprojectiles coated with DNA encoding bovine growth hormone (BGH) into the skin of mice resulted in production of anti-BGH antibodies in the mice. Furth et al., [Analytical Biochemistry, 205, 365-368, (1992)] showed that a jet injector could be used to transfect skin, muscle, fat, and mammary tissues of living animals. Various methods for introducing nucleic acids was recently reviewed by Friedman, T., [Science, 244, 1275-1281 (1989)]. See also Robinson et al., Abstracts of Papers Presented at the 1992 meeting on Modern Approaches to New Vaccines, Including Prevention of AIDS, Cold Spring Harbor, p92, where the im, ip, and iv administration of avian influenza DNA into chickens was alleged to have provided protection against lethal challenge. However, there was no disclosure of which avian influenza virus genes were used. In addition, only H7 specific immune responses were alleged, without any mention of induction of cross-strain protection.

Therefore, this invention contemplates any of the known methods for introducing nucleic acids into living tissue to induce expression of proteins. This invention provides a method for introducing viral proteins into the antigen processing pathway to generate virus-specific CTLs. Thus, the need for specific therapeutic agents capable of eliciting desired prophylactic immune responses against viral pathogens is met for influenza virus by this invention. Of particular importance in this therapeutic approach is the ability to induce T-cell immune responses which can prevent infections even of virus strains which are heterologous to the strain from which the antigen gene was obtained. Therefore, this invention provides DNA constructs encoding viral proteins of the human influenza virus nucleoprotein (NP), hemagglutinin (HA), neuraminidase (NM), matrix (M), nonstructural (NS), polymerase (PB1 and PB2= basic polymerases

10

20

25

30

1 and 2; PA= acidic polymerase) or any of the other influenza genes which encode products which generate specific CTLs.

The influenza virus has a ribonucleic acid (RNA) genome, consisting of multiple RNA segments. Each RNA encodes at least one gene product. The NP gene product binds to RNA and translocates viral RNA into the nucleus of the infected cell. The sequence is conserved, with only about 7% divergence in the amino acid sequence having arisen over a period of 50 years. The P gene products (PB1, PB2, PA) are responsible for synthesizing new viral RNAs. These genes are even more highly conserved than the NP gene. HA is the major viral envelope gene product. It is less highly conserved than NP. It binds a cellular receptor and is therefore instrumental in the initiation of new influenza infections. The major neutralizing antibody response is directed against this gene product. A substantial cytotoxic T lymphocyte response is also directed against this protein. Current vaccines against human influenza virus incorporate three strains of influenza virus or their HA proteins. However, due to the variability in the protein sequence of HA in different strains, the vaccine must constantly be tailored to the strains which are current in causing pathology. However, HA does have some conserved elements for the generation of CTLs, if properly presented. The NS1 and NS2 gene products have incompletely characterized biological functions, but may be significant in production of protective CTL responses. Finally, the M1 and M2 gene products, which are slightly more conserved than in HA, induce a major CTL response. The M1 protein is a very abundant viral gene product.

The protective efficacy of DNA vaccination against subsequent viral challenge is demonstrated by immunization with non-replicating plasmid DNA encoding one or more of the above mentioned viral proteins. This is advantageous since no infectious agent is involved, no assembly of virus particles is required, and determinant selection is permitted. Furthermore, because the sequence of nucleoprotein and several of the other viral gene products is conserved among various strains of influenza, protection against subsequent

10

15

20

25

30

challenge by a virulent strain of influenza virus that is homologous to or heterologous to the strain from which the cloned gene is obtained is enabled.

#### SUMMARY OF THE INVENTION

DNA constructs capable of being expressed upon direct introduction, via injection or otherwise, into animal tissues, are novel prophylactic pharmaceuticals. They induce cytotoxic T lymphocytes (CTLs) specific for viral antigens which respond to different strains of virus, in contrast to antibodies which are generally strain-specific. The generation of such CTLs in vivo usually requires endogenous expression of the antigen, as in the case of virus infection. To generate a viral antigen for presentation to the immune system, without the limitations of direct peptide delivery or the use of viral vectors, plasmid DNA encoding human influenza virus proteins was injected into the quadriceps of BALB/c mice, this resulted in the generation of influenza virus-specific CTLs and protection from subsequent challenge with a heterologous strain of influenza virus, as measured by decreased viral lung titers, inhibition of weight loss, and increased survival. High titer neutralizing antibodies to hemagglutinin and antibodies to nucleoprotein were generated in rhesus monkeys, and decreased nasal virus titers were observed following homologous and heterologous challenge in ferrets.

Key observations relating to our invention include:

1) Demonstration of efficacy. Heterologous protection is seen following immunization with nucleoprotein (NP) DNA as measured by increased survival, decreased viral lung titers, and inhibition of weight loss in mice challenged with a strain of influenza different from the source strain for the NP gene. In this case, the surface proteins of the two strains were quite different (H1N1 vs. H3N2), and the challenge strain arose 34 years after the initial strain. Immunization of ferrets with NP DNA and matrix (M1) DNA, either separately, together, or in conjunction with HA DNA, provided protection (decreased nasal virus shedding) against challenge with a drifted strain (a clinical isolate).

10

Notably the protection by the DNA cocktail (NP and M1 DNA encoding the Beijing/89 proteins, and HA DNA encoding either the Beijing/89 or the Hawaii/91 HA) was greater against a drifted strain (Georgia/93) in ferrets than that afforded by the licensed vaccine (containing Beijing/89). The cocktail containing the HA DNA from Hawaii/91 appeared to be slightly more efficacious than the cocktail containing the HA DNA from Beijing/89. The protection seen with the cocktail including the HA DNA for Hawaii/91 resulted in protection identical to the protection seen with the homologous HA DNA (Georgia/93), whereas the cocktail with the HA DNA for Beijing/89 was different from the homologous protection, although it still was significantly better than the licensed product. HI antibody was generated in all species tested including mice, ferrets, Rhesus monkeys, and African green monkeys.

- 15 2) Persistence. In studies using a DNA encoding a reporter gene, the presence of DNA and protein expression persisted for at least 1.5 years (the longest time tested in mice; Wolff et al., Human Mol. Genet., 1992). Thus, if the influenza gene products also are expressed persistently, the resulting immune response also should persist. The 20 antibodies and CTL (Yankauckas et al., DNA & Cell Biol., 1993), and homologous protective immunity (MRL data) generated by influenza DNA injection have been shown to persist for over one year in mice. Antibodies have been shown to persist in Rhesus monkeys for at least one year so far. Duration of CTL responses and heterologous 25 protection (increased survival) persists to 6 months (the longest time point tested thus far). A slight decline in the degree of heterologous protection occurred, but the protection is boostable.
- 3) Dose ranging. Dosage studies have been performed in Rhesus monkeys showing that 100 µg of HA DNA given twice resulted in good titers of HI antibody that have persisted to one year so far. The generation of protection (increased survival following heterologous challenge) in mice was seen with doses as low as 6 µg (given 3 times)

WO 94/21797 PCT/US94/02751

- 12 -

and with a single injection of 200 µg, but in general an increased number of injections (up to 3) improved the degree of protection. Primate studies revealed that 2 injections of 10 or 100 µg of DNA encoding 3 HAs and NP and M1 (the latter encoding the H3N2 Beijing/89 genes) resulted in HI antibody titers quite similar to those generated by the licensed vaccine. It is important to remember that all of the animals studied are naive to influenza, whereas the target clinical population (older individuals) are all experienced to flu. (Recall that children under 9 are given 2 injections of the licensed vaccine.)

10

15

20

25

30

# BRIEF DESCRIPTION OF THE FIGURES

Fig. 1. Detection of NP plasmid DNA in muscle by PCR. Mice were injected three times, at three week intervals, with RSV-NP DNA or blank vector (100 µg/leg) into both quadriceps muscles of BALB/c mice, followed by influenza infection. The muscles were removed 4 weeks after the final injection and immediately frozen in liquid nitrogen. They were then pulverized in lysis buffer (25mM Tris-H3PO4 pH8, 2mM trans-1:2-diaminocyclohexan-tetra-acetic acid (CDTA), 2mM DTT, 10% glycerol, 1% Triton X-100) in a MIKRO-DISMEMBRATOR™ (B. Braun Instruments), and high molecular weight DNA was extracted by phenol/chloroform and ethanol precipitation. A 40 cycle PCR reaction (PCR was performed as per instructions in Perkin Elmer Cetus GENEAMP<sup>TM</sup> kit) was performed to detect the presence of NP plasmid DNA in muscle. A 772 base-pair PCR product (see arrowhead), which spans from the CMV promoter through most of the 5' portion of the inserted NP gene was generated from an 18 base long sense oligonucleotide which primed in the promoter region, (GTGTGCACCTCAAGCTGG, SEQ. ID:1:) and a 23 base long oligonucleotide antisense primer in the of the 5' portion of the inserted NP sequence (CCCTTTGAGAATGTTGCACATTC, SEQ. ID:2:). The 772 bp product is seen on an ethidium bromide-stained agarose gel in selected NP DNA-injected muscle samples but not in the

10

blank vector control (600L). Labeling above each lane indicates mouse identification number and right or left leg.

- Fig. 2. Production of NP antibodies in mice injected with NP DNA. Mice were injected with 100 μg V1-NP DNA in each leg at 0, 3 and 6 weeks, and blood was drawn on 0, 2, 5 and 8 weeks. The presence of anti-NP IgG in the serum was assayed by an ELISA (J. J. Donnelly et al., J. Immunol. 145, 3071 (1990)), with NP purified from insect cells that had been transfected with a baculovirus expression vector. The results are plotted as mean log10 ELISA titer ± SEM (n=10) against time after the first injection of NP DNA. Mice immunized with blank vector generated no detectable NP antibodies.
- Fig. 3. Percent specific lysis, determined in a 4-hour 51Cr release assay, for CTLs obtained from mice immunized with DNA. Mice were 15 immunized with 400  $\mu g$  V1-NP DNA (solid circles) or blank vector (solid squares) and sacrificed 3-4 weeks later. Negative control CTL were obtained from a naive mouse (open triangles) and positive controls from a mouse that had recovered from infection with A/HK/68 four weeks previously (solid triangles). Graphs depict data from 20 representative individual mice. At least eight individuals were studied for each set of conditions. Panel A: Spleen cells restimulated with NP147-155-pulsed autologous spleen cells and assayed against NP147-155-pulsed P815 cells. Panel B: Spleen cells restimulated with NP147-155-pulsed autologous spleen cells and assayed against P815 targets 25 infected with influenza A/Victoria/73 (H3N2) for 6 hours before addition of CTL. Panel C: Spleen cells restimulated with Con A and IL-2 without additional antigen and assayed against P815 cells pulsed with NP147-155. Panel D: Mice were injected with 200 µg per injection of V1-NP DNA or blank vector three times at three week 30 intervals. Spleens were harvested 4 weeks after the last immunization, spleen cells were cultured with IL-2 and Con A for 7 days, and CTL were assayed against P815 target cells infected with A/Victoria/73.

10

- Fig. 4. Mass loss (in grams) and recovery in DNA-immunized mice after unanesthetized intranasal challenge with 10<sup>4</sup> TCID50 of A/HK/68. Mice were immunized three times at 3-week intervals with V1-NP DNA or blank vector, or were not injected, and were challenged 3 weeks after the last immunization. Weights for groups of 10 mice were determined at the time of challenge and daily from day 4 for NP DNA-injected mice (solid circles), blank vector controls (open triangles), and uninjected controls (open circles). Shown are mean weights ± SEM. NP DNA-injected mice displayed significantly less weight loss on day 8 through 13 than blank vector-injected (p≤0.005) and uninjected mice (p≤0.01), as analyzed by the t-test. No significant difference was noted between the two controls (p=0.8 by the t-test).
- Fig. 5. Survival of DNA immunized mice after intranasal challenge (under anesthesia) with 10<sup>2.5</sup> TCID50 of A/HK/68. Mice immunized three times at three week intervals with V1-NP DNA (closed circles) or blank vector (open circles) and uninjected controls (open triangles) were challenged three weeks after the final immunization. Percent survival is shown for groups of 9 or 10 mice. Survival of NP DNA-injected mice was significantly greater than controls (p=0.0004 by Chisquare analysis), while no significant difference was seen between blank vector-injected and uninjected mice (p=0.17 by Chi-square analysis).
  - Fig. 6. Sequence of the expression vector V1J, SEQ.ID:10:.
- Fig. 7. Sequence of the expression vector V1Jneo, SEQ. ID:18:.
  - Fig. 8. Sequence of the CMVintA-BGH promoter-terminator sequence, SEQ. ID:11.
- Fig. 9. Monkey anti-NP antibody

- Fig. 10. Ferret hemagglutination inhibition, with the dotted line indicating the minimal protective antibody titer, and the average value being denoted with a circle having a line through it.
- Fig. 11. IgG Anti-NP antibody in ferrets after DNA immunization.
  - Fig. 12. Influenza virus shedding in ferrets with and without DNA immunization.
- Fig. 13. Diagram of pRSV-PR-NP and VI-NP vectors. X denotes the inserted coding region.
  - Fig. 14. Schematic of influenza proteins and strains.
- Fig. 15. Schematic of injected DNA processing inside a cell.
  - Fig. 16. Resistence of ferrets to influenza A/RP/8/34 induced by immunization with HA and internal protein genes.
- Fig.17. Schematic of V1Jns vector.
- Fig. 18. African green monkeys were injected with a cocktail of DNAs consisting of HA DNA (A/Beijing/89, B/Panama/90, A/Texas/91), NP DNA (A/PR/34) and M1 DNA (A/PR/34). Each component was either 10 μg (solid squares) or 100 μg (solid circles) administered twice with a six week interval (see arrows). For comparison, other animals were injected with licensed subvirion (open squares) and whole virion (open circles) vaccines at the full human dose (45 μg protein equivalent; 15 μg per HA). Serum samples were collected every two weeks for 18 weeks and analyzed for HI titer against A/Beijing/89 HA. Data is represented as geometric mean HI titer ± SEM where n=3.

- Fig.19. Female BALB/c mice (4-6 weeks) were injected with A/PR/34 NP DNA (200 μg) three times with three week intervals. Negative controls included mice injected with control DNA (200 μg), recombinant NP protein (10 μg), and naive, uninjected mice (mock). For comparison, mice infected with influenza virus A/HK/68 (flu) were also tested. CTL were obtained 6 months post-dose one and restimulated *in vitro* with virus-infected, syngeneic spleen cells and tested against NP peptide-pulsed P815 cells at an effector:target ratio of 10:1. Data represent % specific lysis ±sd, where n=3.
- 10 Fig. 20. C3H/HeN mice were injected with normal C2C12 myoblasts (1 X 107 cells), recombinant NP protein (2 µg), or NP-transfected myoblasts (1 X  $10^7$  cells). This amount of NP protein (2  $\mu$ g) was sufficient to generate antibody responses and was equivalent to approximately 100 times the amount of NP present in the transplanted 15 NP-transfected myoblasts. CTL were prepared from these mice six weeks after treatment and restimulated in vitro with influenza virusinfected syngeneic spleen cells. As a positive control, CTL were prepared from mice that had been infected with influenza virus A/HK/68. Untreated (solid bars), influenza virus A/Victoria/73-infected 20 (striped bars) and NP-transfected myoblasts (stippled bars) were used as target cells at an effector:target ratio of 25:1. Data are presented as % specific lysis  $\pm$  sd, where n=3.
- Fig. 21. Four week old female BALB/c mice were immunized intramuscularly with 200 µg of NP DNA 3 times at 3 week intervals. Mice were challenged 3 weeks after the third immunization with 300 TCID50 of A/HK/68 administered under anesthesia (total respiratory tract challenge). The proportion of surviving mice (10 mice/group) is plotted versus time after challenge.
  - Fig. 22. Four week old female BALB/c mice were immunized intramuscularly with 100 µg of NP DNA 3 times at 3 week intervals. Mice were challenged 3 weeks after the third immunization with 300

TCID50 of A/HK/68 administered under anesthesia (total respiratory tract challenge). Mice were weighed daily and the proportion of the initial weight was calculated for each surviving mouse. Mean percent of initial weights are plotted  $\pm$  SEM versus time after challenge.

- Fig. 23. Four week old female BALB/c mice were immunized intramuscularly with 200 μg of NP DNA 3 times at 3 week intervals. Mice were challenged 3 weeks after the third immunization with 2000 TCID50 of A/HK/68 administered without anesthesia (upper respiratory tract challenge). Mice were euthanized 7 days after challenge, the lungs were removed and homogenized, and viral titers were determined by serial titration on MDCK cells.
- Fig. 24. Four week old female BALB/c mice were immunized intramuscularly with 6.25, 25, 100, or 200 µg of NP DNA 3 times at 3 week intervals. Mice were challenged 3 weeks after the third immunization with 300 TCID50 of A/HK/68 administered under anesthesia (total respiratory tract challenge). The proportion of surviving mice (10 mice/group) is plotted versus time after challenge.
- Fig. 25. Four week old female BALB/c mice were immunized i.m. 3 times at 3 week intervals with 200 μg of A/PR/34 NP DNA, control DNA, or sham injected. Mice were then challenged with 300 TCID50 of A/HK/68 under anesthesia 6, 12, and 25 weeks following the third injection of DNA. Selected mice were reimmunized with 200 μg of NP DNA at week 22 and then challenged at week 25 ("Reboost"). Mean weights are shown as a percentage of the initial total weight for each group. The control weight shown is the mean of the weights of all of the control groups from the 6, 12, and 25 week challenges, a total of 6 groups that received control DNA or were sham injected. Groups initially contained 10 animals each; mice were excluded from further weight analysis after death.

Fig. 26. Adult (22-28 week old) male ferrets were immunized i.m. with 1 mg of DNA encoding the NP from A/Beijing/89, 1 mg of DNA encoding the M1 from A/Beijing/89, or 1 mg of each DNA combined, on days 0 and 42. Control ferrets received noncoding DNA or a full human dose (15 µg/strain) of the licensed whole virus influenza vaccine 5 (92-93 formulation) containing A/Beijing/89 on days 0 and 42. Ferrets were challenged with A/Georgia/93 on day 56. Viral shedding in nasal washes was determined as described above. Viral shedding on days 3-5 was compared with shedding in ferrets given control DNA by a twoway analysis of variance. Shedding in ferrets given NP DNA, M1 10 DNA, or NP+M1 DNA was significantly lower (p<0.0001, 0.0016, and <0.0001, respectively) than shedding in control ferrets. Shedding in ferrets given NP (data not shown), M1, or NP+M1 was not significantly different from shedding in ferrets given the licensed vaccine (p=0.104, 0.533, and 0.145, respectively). The immunization dose of 1 mg was 15 chosen arbitrarily; dose-ranging studies were conducted in nonhuman primates.

Fig. 27. Groups of 8 male 22-25 week old ferrets were immunized intramuscularly with control DNA, saline, or DNA encoding influenza 20 A/PR/8/34 proteins on days 0, 21, and 121, and were challenged intranasally with 200 TCID50 of A/PR/8/34 on day 148. Immunized animals received 1 mg of NP DNA, or 2 mg of NP, NS1, PB1, PB2, and M1 DNA combined (400 µg each construct). Controls received 0.5 ml/leg of saline or 1 mg of control DNA. For purposes of analysis, the 25 groups that received saline and control DNA were combined (Control), as were the groups that received NP DNA alone or in combination with other internal protein genes (Internal). The graph shows the nasal wash infectivity titers in TCID50 per 50 µl of a 3 ml volume of nasal wash fluid. Undiluted wash fluid (the lowest dilution tested) was assumed to 30 be a 1:10 dilution of the original nasal exudate and a positive undiluted sample was assigned a value of 1 log. Titers above 1 log were assigned on the basis of Reed-Muench interpolation among three replicates to yield a 50% infectivity endpoint. Samples that were negative when

15

20

25

30

tested undiluted were assigned a value of 0 logs. Values for p shown on the graph are computed for immunized ferrets vs controls on the indicated days by the T-test for two means. Values for p for the entire curves were computed by two-way analysis of variance and were <0.0001 for NP vs control and <0.001 for the combined DNAs vs control.

Fig. 28. Survival of mice immunized with DNA and then challenged with influenza virus. Mice were injected i.m. with 200 µg of DNA encoding the HA from A/PR/34 or control (noncoding) DNA, three 10 times at three week intervals. Three weeks after the final immunization mice were given total respiratory tract challenge (by intranasal instillation under anesthesia) with 1000 TCID50 of A/PR/34. Data are plotted as % survival versus time after challenge (n=9 or 10 mice per group).

Fig. 29. Weight loss in mice immunized with DNA and then challenged with influenza virus. Mice were injected i.m. with 200  $\mu g$  of DNA encoding the HA from A/PR/34 or control (noncoding) DNA, three times at three week intervals. Three weeks after the final immunization mice were given total respiratory tract challenge (by intranasal instillation under anesthesia) with 1000 TCID50 of A/PR/34. Data are plotted as % of initial weight for each individual animal, averaged for each group, versus time. (Dead animals are excluded from the mean.)

Fig. 30. Survival of mice immunized with DNA and then challenged with influenza virus. Mice were injected i.m. with 1, 10, or 100  $\mu g$  of DNA encoding the HA from A/PR/34 or control (noncoding) DNA, three times at three week intervals. Three weeks after the final immunization mice were given total respiratory tract challenge (by intranasal instillation under anesthesia) with 1000 TCID50 of A/PR/34. Data are plotted as % survival versus time after challenge (n=9 or 10 mice per group).

WO 94/21797 PCT/US94/02751

5

- 20 -

Fig. 31. Groups of 8 male 22-25 week old ferrets were immunized intramuscularly with control DNA, saline, or DNA encoding influenza A/PR/34 proteins on days 0, 21, and 121, and were challenged intranasally with 200 TCID50 of A/PR/34 on day 148. Immunized animals received 1 mg of HA DNA, or 2 mg of HA, NP, NS1, PB1, PB2, and M1 DNA combined (330 µg each construct). Controls received 0.5 ml/leg of saline or 1 mg of control DNA. For purposes of analysis, the groups that received saline and control DNA were combined (Control), as were the groups that received HA DNA alone or 10 in combination with other internal protein genes (HA, HA+Internal). The graph shows the nasal wash infectivity titers in TCID50 per 50  $\mu$ l of a 3 ml volume of nasal wash fluid. Undiluted wash fluid (the lowest dilution tested) was assumed to be a 1:10 dilution of the original nasal exudate and a positive undiluted sample was assigned a value of 1 log. 15 Titers above 1 log were assigned on the basis of Reed-Muench interpolation among three replicates to yield a 50% infectivity endpoint. Samples that were negative when tested undiluted were assigned a value of 0 logs. Values for p for the entire curves were computed by twoway analysis of variance and were <0.0001 for HA vs control and 20 <0.0001 for the combined DNAs vs control.

Fig. 32. Adult (22-28 week old) male ferrets were immunized i.m. with 1 mg of DNA encoding the HA from A/Georgia/93, on days 0 and 42. Control ferrets received noncoding DNA or a full human dose (15 25  $\mu$ g/strain) of the licensed whole virus influenza vaccine (92-93 formulation) containing A/Beijing/89 on days 0 and 42. Ferrets were challenged with A/Georgia/93 on day 56. Viral shedding in nasal washes was determined as described above. Viral shedding on days 1-6 was compared with shedding in ferrets given control DNA by a two-30 way analysis of variance. Shedding in ferrets given HA DNA was significantly lower (p<0.0001) than shedding in control ferrets.

Fig. 33. Adult (22-28 week old) male ferrets were immunized i.m. with 1 mg of DNA encoding the HA from A/Hawaii/91 or A/Beijing/89 (data not shown), on days 0 and 42. Control ferrets received noncoding DNA or a full human dose (15 µg/strain) of the licensed whole virus influenza vaccine (92-93 formulation) containing A/Beijing/89 on days 5 0 and 42. Ferrets were challenged with A/Georgia/93 on day 56. Viral shedding in nasal washes was determined as described above. Viral shedding on days 1-6 was compared with shedding in ferrets given control DNA by a two-way analysis of variance. Shedding in ferrets given A/Hawaii/91 HA DNA was significantly lower (p<0.0001) than 10 shedding in control ferrets. Shedding in ferrets given A/Hawaii/91 HA DNA was significantly less than shedding in ferrets given licensed product (p=0.021 for A/Hawaii/91 HA DNA; two-way ANOVA for days 1-6); shedding in ferrets given A/Beijing/89 HA DNA (data not shown) was not significantly different from shedding in ferrets given 15 licensed product (p=0.058; two-way ANOVA for days 1-6).

Fig. 34. Adult (22-28 week old) male ferrets were immunized i.m. with 1 mg of DNA encoding the HA from A/Hawaii/91 (see Figure 13), or with 330 µg each of DNAs encoding the HA from A/Hawaii/91, and 20 the NP and M1 from A/Beijing/89, on days 0 and 42. Control ferrets received noncoding DNA or a full human dose (15, µg/strain) of the licensed whole virus influenza vaccine (92-93 formulation) containing A/Beijing/89 on days 0 and 42. Ferrets were challenged with A/Georgia/93 on day 56. Viral shedding in nasal washes was 25 determined as described above. Viral shedding on days 1-6 was compared with shedding in ferrets given control DNA by a two-way analysis of variance. Shedding in ferrets given HA+NP+M1 DNA was significantly lower than shedding in ferrets given licensed vaccine (p<0.0001) or HA DNA alone (p=0.0053). 30

Fig. 35. Adult (22-28 week old) male ferrets were immunized i.m. with 1 mg of DNA encoding the HA from A/Georgia/93, or with 330 µg each of DNAs encoding the HA from A/Hawaii/91, and the NP and

10

15

20

25

30

M1 from A/Beijing/89, on days 0 and 42. Control ferrets received noncoding DNA or a full human dose (15 µg/strain) of the licensed whole virus influenza vaccine (92-93 formulation) containing A/Beijing/89 on days 0 and 42. Ferrets were challenged with A/Georgia/93 on day 56. Viral shedding in nasal washes was determined as described above. Viral shedding on days 1-6 was compared with shedding in ferrets given control DNA by a two-way analysis of variance. Shedding in ferrets given HA+NP+M1 DNA was not significantly different from virus shedding in ferrets given the homologous HA DNA (p=0.064).

Fig. 36. Sequence of V1R.

# DETAILED DESCRIPTION OF THE INVENTION

This invention provides nucleic acid pharmaceuticals which, when directly introduced into an animal, including vertebrates, such as mammals and humans, induce the expression of encoded proteins within the animal. Where the protein is one which does not normally occur in that animal except in pathological conditions, such as proteins associated with influenza virus, for example but not limited to the influenza nucleoprotein, neuraminidase, hemagglutinin, polymerase, matrix or nonstructural proteins, the animals' immune system is activated to launch a protective response. Because these exogenous proteins are produced by the animals' own tissues, the expressed proteins are processed and presented by the major histocompatibility complex, MHC. This recognition is analogous to that which occurs upon actual infection with the related organism. The result, as shown in this disclosure, is induction of immune responses which protect against virulent infection.

This invention provides nucleic acids which, when introduced into animal tissues in vivo, by injection, inhalation, or impression by an analogous mechanism (see BACKGROUND OF THE INVENTION above), the expression of the human influenza virus gene product occurs. Thus, for example, injection of DNA constructs of this invention into the muscle of mice, induces expression of the encoded gene products. Likewise, in ferrets and rhesus monkeys. Upon

WO 94/21797 PCT/US94/02751

subsequent challenge with virulent influenza virus, using doses which uniformly kill control animals, animals injected with the polynucleotide vaccine exhibit much reduced morbidity and mortality. Thus, this invention discloses a vaccine useful in humans to prevent influenza virus infections.

5

10

We have shown that DNA constructs encoding influenza viral proteins elicit protective immune responses in animals. As will be described in more detail below, immune responses in animals have included antibody and CTL generation in mice, antibody generation in ferrets and primates, and protection from viral challenge in mice and ferrets with homologous, drifted and shifted strains of influenza. Perhaps the most striking result of immunization with DNA encoding viral proteins was the ability to confer protection against distinct subtypes of virus. This suggests that adding a CTL-eliciting component to a vaccine should serve to mitigate the impact of new variants which arise in mid-season or are unanticipated when the vaccine strains are chosen each year for the following year. Importantly, immunization with cDNA vectors encoding an HA, NP and M1 gene was able to protect more effectively against a drifted strain of virus in ferrets than was the licensed vaccine. This provides a justification for the use of constructs encoding internal genes in the PNV.

20

25

30

15

In one embodiment, the vaccine product will consist of separate DNA plasmids encoding, for example, HA from the 3 prevalent clinical strains representing A/H1N1 (A/Texas/91), A/H3N2 (A/Georgia/93), and B (B/Panama/90) viruses as well as DNA constructs encoding the internal conserved proteins NP and M1 (matrix) from both A (Beijing/89; H3N2) and B strains in order to provide group-common protection against drifted and shifted antigens. The HA DNAs will function by generating HA and resulting neutralizing antibodies against HA. This will be type-specific, with some increased breadth of protection against a drifted strain compared to the current licensed, protein-based vaccine. The NP and M1 constructs will result in the generation of CTL which will provide cross-strain protection with potentially lower viral loads and with acceleration of recovery

WO 94/21797 PCT/US94/02751

5

10

15

20

25

30

- 24 -

from illness. The expected persistence of the DNA constructs (in an episomal, non-replicating, non-integrated form in the muscle cells) is expected to provide an increased duration of protection compared to the current vaccine.

The anticipated advantages over the current, licensed vaccines include: increased breadth of protection due to CTL responses ± increased breadth of antibody, and increased duration of protection. The PNV approach avoids the need to make, select and propagate reassortants as is done for the current licensed vaccine since a new DNA construct can be made more directly from a clinical field isolate.

In one embodiment of the invention, the human influenza virus nucleoprotein, NP, sequence, obtained from the A/PR/8/34 strain. is cloned into an expression vector. The vector contains a promoter for RNA polymerase transcription, and a transcriptional terminator at the end of the NP coding sequence. In one preferred embodiment, the promoter is the Rous sarcoma virus (RSV) long terminal repeat (LTR) which is a strong transcriptional promoter. A more preferred promoter is the cytomegalovirus promoter with the intron A sequence (CMV-intA). A preferred transcriptional terminator is the bovine growth hormone terminator. The combination of CMVintA-BGH terminator is particularly preferred. In addition, to assist in preparation of the pharmaceutical, an antibiotic resistance marker is also preferably included in the expression vector. Ampicillin resistence genes, neomycin resistance genes or any other pharmaceutically acceptable antibiotic resistance marker may be used. In a preferred embodiment of this invention, the antibiotic resistence gene encodes a gene product for neomycin resistence. Further, to aid in the high level production of the pharmaceutical by fermentation in prokaryotic organisms, it is advantageous for the vector to contain an origin of replication and be of high copy number. Any of a number of commercially available prokaryotic cloning vectors provide these benefits. In a preferred embodiment of this invention, these functionalities are provided by the commercially available vectors

known as pUC. It is desirable to remove non-essential DNA sequences.

10

15

20

25

30

Thus, the lacZ and lacI coding sequences of pUC are removed in one embodiment of the invention.

In one embodiment, the expression vector pnRSV is used, wherein the rous sarcoma virus (RSV) long terminal repeat (LTR) is used as the promoter. In another embodiment, V1, a mutated pBR322 vector into which the CMV promoter and the BGH transcriptional terminator were cloned is used. The V1-NP construct was used to immunize mice and induce CTLs which protect against heterologous challenge. In a particularly preferred embodiment of this invention, the elements of V1 have been been combined to produce an expression vector named VIJ. Into VIJ is cloned an influenza virus gene, such as an A/PR/8/34 NP, PB1, NS1, HA, PB2, or M1 gene. In yet another emobodiment, the ampicillin resistance gene is removed from V1J and replaced with a neomycin resistance gene, to generate V1J-neo (SEQ.ID:18:, Figure 7), into which any of a number of different influenza virus genes have been cloned for use according to this invention. In yet another embodiment, the vector is V1Jns, which is the same as VIJ except that a unique Sfi1 restriction site has been engineered into the single Kpn1 site at position 2114 of V1J-neo. The incidence of Sfil sites in human genomic DNA is very low (aproximately 1 site per 100,000 bases). Thus, this vector allows careful monitoring for expression vector integration into host DNA, simply by Sfi1 digestion of extracted genomic DNA. In a further refinement, the vector is V1R. In this vector, as much non-essential DNA as possible was "trimmed" from the vector to produce a highly compact vector. This vector is a derivative of VIJns and is shown in Figure 36, (SEQ.ID.:45:). This vector allows larger inserts to be used, with less concern that undesirable sequences are encoded and optimizes uptake by cells when the construct encoding specific influenza virus genes is introduced into surrounding tissue. In figure 36, the portions of V1Jneo (Figure 7) that are deleted are shown as a gap, and inserted sequence is in bold text, but the numbering of V1Ineo is unchanged. The foregoing vector modification and development proceedures may be accomplished according to methods known by those skilled in the art.

WO 94/21797 PCT/US94/02751

5

10

15

20

25

30

The particular products described however, though obtained by conventional means, are epecially useful for the particular purpose to which they are adapted.

While one embodiment of this invention incorporates the influenza NP gene from the A/PR/8/34 strain, more preferred embodiments incorporate an NP gene, an HA gene, an NA gene, a PB gene, a M gene, or an NS gene from more recent influenza virus isolates. This is accomplished by preparing DNA copies of the viral genes and then subcloning the individual genes. Sequences for many genes of many influenza virus strains are now publicly available on GENBANK (about 509 such sequences for influenza A genes). Thus, any of these genes, cloned from the recent Texas, Beijing or Panama isolates of the virus, which are strains recommended by the Center for Disease Control as being desirable in anti-influenza vaccines, are preferred in this invention (see FLU-IMMUNE® influenza virus vaccine of Lederle, Physicians Desk Reference, 1993, p1232, a trivalent purified influenza surface antigen vaccine containing the hemagglutinin protein from A/Texas/36/91, H1N1; A/Beijing/353/89, H3N2; and B/Panama/45/90). To keep the terminology consistent, the following convention is followed herein for describing DNA constructs: "Vector name-flu strain-gene". Thus, a construct wherein the NP gene of the A/PR/8/34 strain is cloned into the expression vector V1Jneo, the name it is given herein is: "V1Jneo-PR-NP". Naturally, as the etiologic strain of the virus changes, the precise gene which is optimal for incorporation in the pharmaceutical may change. However, as is demonstrated below, because cytotoxic lymphocyte responses are induced which are capable of protecting against heterologous strains, the strain variability is less critical in the novel vaccines of this invention, as compared with the whole virus or subunit polypeptide based vaccines. In addition, because the pharmaceutical is easily manipulated to insert a new gene, this is an adjustment which is easily made by the standard techniques of molecular biology.

Because the sequence of nucleoprotein is conserved among various strains of influenza, protection was achieved against subsequent

10

15

20

25

30

challenge by a virulent strain of influenza A that was heterologous to the strain from which the gene for nucleoprotein was cloned. Comparisons of NP from numerous strains of influenza A have shown no significant differences in secondary structure [M. Gammelin et al., Virol. 170, 71, 1989] and very few changes in amino acid sequence [O. T. Gorman et al., J. Virol. 65, 3704, 1991]. Over an approximately 50 year period, NP in human strains evolved at a rate of only 0.66 amino acid changes per year. Moreover, our results which show that A/HK/68-specific CTLs recognize target cells pulsed with the synthetic peptide NP(147-155) derived from the sequence of A/PR8/34 NP indicate that this H-2Kd-restricted CTL epitope has remained functionally intact over a 34 year span (see Figure 2). It should be noted also that where the gene encodes a viral surface antigen, such as hemagglutinin or even neuraminidase, a significant neutralizing humoral (antibody) immune response is generated in addition to the very important cytotoxic lymphocyte response.

The i.m. injection of a DNA expression vector encoding a conserved, internal protein of influenza A resulted in the generation of significant protective immunity against subsequent viral challenge. In particular, NP-specific antibodies and primary CTLs were produced. NP DNA immunization resulted in decreased viral lung titers, inhibition of weight loss, and increased survival, compared to controls. The protective immune response was not mediated by the NP-specific antibodies, as demonstrated by the lack of effect of NP antibodies alone (see Example 4) in combating a virus infection, and was thus likely due to NP-specific cellular immunity. Moreover, significant levels of primary CTLs directed against NP were generated. The protection was against a virulent strain of influenza A that was heterologous to the strain from which the DNA was cloned. Additionally, the challenge strain arose more than three decades after the A/PR/8/34 strain, indicating that immune responses directed against conserved proteins can be effective despite the antigenic shift and drift of the variable envelope proteins. Because each of the influenza virus gene products exhibit some degree of conservation, and because CTLs may be

10

15

20

25

30

generated in response to intracellular expression and MHC processing, it is predictable that other influenza virus genes will give rise to responses analogous to that achieved for NP. Methods for identifying immunogenic epitopes are now well known in the art [see for example Shirai et al., <u>J. Immunol 148</u>:1657-1667, 1992; Choppin et al., <u>J. Immunol 147</u>:575-583, 1991; Calin-Laurens, et al., <u>Vaccine 11</u>:974-978, 1993]. Thus, many of these genes have been cloned, as shown by the cloned and sequenced junctions in the expression vector (see below) such that these constructs are prophylactic agents in available form.

Therefore, this invention provides expression vectors encoding an influenza viral protein as an immunogen. The invention offers a means to induce cross-strain protective immunity without the need for self-replicating agents or adjuvants. In addition, immunization with DNA offers a number of other advantages. First, this approach to vaccination should be applicable to tumors as well as infectious agents. since the CD8+ CTL response is important for both pathophysiological processes [K. Tanaka et al., Annu. Rev. Immunol. 6, 359 (1988)]. Therefore, eliciting an immune response against a protein crucial to the transformation process may be an effective means of cancer protection or immunotherapy. Second, the generation of high titer antibodies against expressed proteins after injection of viral protein (NP and hemagglutinin) and human growth hormone DNA, [see for example D.c. Tang et al., Nature 356, 152, 1992], indicates this is a facile and highly effective means of making antibody-based vaccines, either separately or in combination with cytotoxic T-lymphocyte vaccines targeted towards conserved antigens.

The ease of producing and purifying DNA constructs compares favorably with traditional protein purification, facilitating the generation of combination vaccines. Thus, multiple constructs, for example encoding NP, HA, M1, PB1, NS1, or any other influenza virus gene may be prepared, mixed and co-administered. Finally, because protein expression is maintained following DNA injection [H. Lin et al., Circulation 82, 2217 (1990); R.N. Kitsis et al., Proc. Natl. Acad. Sci. (USA) 88, 4138 (1991); E. Hansen et al., FEBS Lett. 290, 73 (1991);

WO 94/21797 PCT/US94/02751

- 29 -

S. Jiao et al., Hum. Gene Therapy 3, 21 (1992); J.A. Wolff et al., Human Mol. Genet. 1, 363 (1992)], the persistence of B- and T-cell memory may be enhanced [D. Gray and P. Matzinger, J. Exp. Med. 174, 969 (1991); S. Oehen et al., ibid. 176, 1273 (1992)], thereby engendering long-lived humoral and cell-mediated immunity.

5

10

15

20

25

30

The current limitations of licensed influenza vaccines emphasize the need for development of more effective means for prevention of infection and amelioration of disease. The older vaccines provide limited protection, are effective against only a few, selected strains of virus, and wane in their efficacy after a short period. Thus, the current vaccines must be reformulated for yearly inoculation in order to be effective. Generation of an improved CTL response against internal proteins would likely provide significant long-term, cross-reactive immunity not now elicited by licensed vaccine.

We have demonstrated protein expression from PNV constructs in mice, ferrets, and non-human primates by detection of host immune response directed against influenza antigens. Injection of mice with DNA encoding influenza NP has resulted in increased survival, decreased viral lung titers and less weight loss in comparison with control animals following challenge with influenza subtypes (shifted strains) different from that included in the DNA constructs. We have also observed decreased viral shedding following challenge with shifted strains in ferrets inoculated with NP DNA. These results indicate that protection against a major shift in influenza strains is aided by a DNA vaccine that includes genes encoding NP. Injection with HA DNA followed by challenge of experimental animals with drifted virus strains resulted in an even more substantial decrease in virus shedding. The addition of the internal protein DNA slightly augmented the high degree of protection observed after injection of HA DNA alone.

The immune response to influenza DNA has been followed in mice for as long as six months after injection, with persistence of antibodies, CTL activity, and in vivo protection. Repeat injection of DNA further increased survival following challenge at 25 weeks with an influenza strain of different subtype and indicated an ability to boost

WO 94/21797

5

10

15

20

25

30

protective cell-mediated immunity. Antibody persistence has also been documented for at least one year following two injections of HA DNA, with persistence for at least nine months following a single injection of HA DNA in African green monkeys.

The results of these animal experiments indicate that direct DNA injection provides an improved method for protection of humans against influenza infection and disease. Of note, experimental protection by DNA injection was achieved through vaccination of unprimed mice and ferrets. Adult humans vaccinated with DNA will have previously been exposed to influenza. These persons will demonstrate an even more substantial immune response, possibly of increased duration, following immunization with DNA constructs.

A range of doses is compared for immunogenicity in order to optimize concentrations for use. In small mammal experiments, as little as 1 ug of NP DNA induced antibody and CTL responses. Immunization of Rhesus monkeys demonstrated antibody response in 2 of 2 animals with doses of 100 and 1000 ug of HA DNA (A/PR/08/34), while 1 of 2 animals responded to a single 10 ug injection. In separate experiments, naive African Green monkeys were injected with a mixture of five different DNA constructs encoding HA from three virus subtypes as well as DNA encoding NP and M1 from influenza A virus. Three of three monkeys in each group responded to vaccines which included 10 ug or 100 ug of each of the five constructs. Based on these findings, it is predictable that dosages of 10, 50, 100, and 200 ug of DNA are efficacious in man.

Prevention of infection by licensed, inactivated, vaccine correlates with serum and mucosal antibody levels directed against HA but is not correlated with antibody responses to internal influenza proteins. Thus, HA must be included in the development of the influenza DNA vaccine. However, immune response to NP enhances antibody response to HA, and influenza internal proteins provide a CTL response cross-reactive with antigenically diverse strains of influenza. As noted above, animal experimentation has also indicated improved immunogenicity and protection when injections included DNA

WO 94/21797 PCT/US94/02751

- 31 -

constructs encoding internal proteins as well as HA. Inclusion of DNA constructs encoding internal proteins would likely enhance the efficacy of the DNA vaccine in humans. Since dosage levels are likely to be dependent upon interactions of these components, routine testing will allow one skilled in the art to determine the amount of DNA in the vaccine to make a mixture of HA, NP and M1 DNA constructs. Host response to each of these components can be measured separately, with comparisons of hemagglutinin inhibition (HI) titers and neutralizing against the HA components and CTL responses against M1 and NP epitopes. Results are compared with antibody responses following injection of constructs which express only HA. These studies allow evaluation of the potential enhanced response to a vaccine containing DNA encoding HA as well as internal proteins.

5

10

15

20

25

30

Human efficacy is shown in volunteers who receive influenza DNA vaccine, followed by an intranasal challenge in order to show vaccine efficacy against similar virus strains as well as influenza strains of different subtype. The composition, dosage and administration regimens for the vaccine are based on the foregoing studies. Clinical efficacy is shown by infection rate, illness scores, and duration of illness. These clinical findings are compared with laboratory evaluation of host immune response and viral shedding in order to determine surrogate markers which correlate with protection.

The standard techniques of molecular biology for preparing and purifying DNA constructs enable the preparation of the DNA therapeutics of this invention. While standard techniques of molecular biology are therefore sufficient for the production of the products of this invention, the specific constructs disclosed herein provide novel therapeutics which surprisingly produce cross-strain protection, a result heretofore unattainable with standard inactivated whole virus or subunit protein vaccines.

The amount of expressible DNA to be introduced to a vaccine recipient will depend on the strength of the transcriptional and translational promoters used in the DNA construct, and on the immunogenicity of the expressed gene product. In general, an

10

15

20

25

30

immunologically or prophylactically effective dose of about 1  $\mu g$  to 1 mg, and preferably about 10  $\mu g$  to 300  $\mu g$  is administered directly into muscle tissue. Subcutaneous injection, intradermal introduction, impression through the skin, and other modes of administration such as intraperitoneal, intravenous, or inhalation delivery are also contemplated. It is also contemplated that booster vaccinations are to be provided.

The DNA may be naked, that is, unassociated with any proteins, adjuvants or other agents which impact on the recipients immune sytem. In this case, it is desirable for the DNA to be in a physiologically acceptable solution, such as, but not limited to, sterile saline or sterile buffered saline. Alternatively, the DNA may be associated with liposomes, such as lecithin liposomes or other liposomes known in the art, as a DNA-liposome mixture, (see for example WO9324640) or the DNA may be associated with an adjuvant known in the art to boost immune responses, such as a protein or other carrier. Agents which assist in the cellular uptake of DNA, such as, but not limited to, calcium ions, viral proteins and other transfection facilitating agents may also be used to advantage. These agents are generally referred to as transfection facilitating agents and as pharmaceutically acceptable carriers. As used herein, the term gene refers to a segment of nucleic acid which encodes a discrete polypeptide. The term pharmaceutical, and vaccine are used interchangeably to indicate compositions useful for inducing immune responses. The terms construct, and plasmid are used interchangeably. The term vector is used to indicate a DNA into which genes may be cloned for use according to the method of this invention.

Accordingly, one embodiment of this invention is a method for using influenza virus genes to induce immune responses in vivo, in a vertebrate such as a mammal, including a human, which comprises:

- a) isolating the gene,
- b) linking the gene to regulatory sequences such that the gene is operatively linked to control sequences which, when introduced into a

10

15

20

25

30

living tissue direct the transcription initiation and subsequent translation of the gene,

- c) introducing the gene into a living tissue, and
- d) optionally, boosting with additional influenza gene.

A preferred embodiment of this invention is a method for protecting against heterologous strains of influenza virus. This is accomplished by administering an immunologically effective amount of a nucleic acid which encodes a conserved influenza virus epitope. For example, the entire influenza gene for nucleoprotein provides this function, and it is contemplated that coding sequences for the other influenza genes and portions thereof encoding conserved epitopes within these genes likewise provide cross-strain protection.

In another embodiment of this invention, the DNA vaccine encodes human influenza virus nucleoprotein, hemagglutinin, matrix, nonstructural, or polymerase gene product. Specific examples of this embodiment are provided below wherein the human influenza virus gene encodes the nucleoprotein, basic polymerase1, nonstructural protein1, hemagglutinin, matrix1, basic polymerase2 of human influenza virus isolate A/PR/8/34, the nucleoprotein of human influenza virus isolate A/Beijing/353/89, the hemagglutinin gene of human influenza virus isolate A/Texas/36/91, or the hemagglutinin gene of human influenza virus isolate B/Panama/46/90.

In specific embodiments of this invention, the DNA construct encodes an influenza virus gene, wherein the DNA construct is capable of being expressed upon introduction into animal tissues in vivo and generating an immune response against the expressed product of the encoded influenza gene. Furthermore, combinations comprising such constructs with polynucleotides encoding other antigens, unrelated to influenza virus, are clearly contemplated by the instant invention. Examples of preferred influenza gene encoding DNA constructs include:

- a) pnRSV-PR-NP,
- b) V1-PR-NP,

	c)	VIJ-PR-NP, the 5' end of which is SEQ. ID:12:,
	d)	V1J-PR-PB1, the 5' end of which is SEQ. ID:13:,
	e)	V1J-PR-NS, the 5' end of which is SEQ. ID:14:,
	f)	V1J-PR-HA, the 5' end of which is SEQ. ID:15:,
5	g)	V1J-PR-PB2, the 5' end of which is SEQ. ID:16:,
,	h)	V1J-PR-M1, the 5' end of which is SEQ. ID:17:,
	i)	V1Jneo-BJ-NP, the 5' end of which is SEQ. ID:20: and
		the 3' end of which is SEQ. ID:21:,
	j)	V1Jneo-TX-NP, the 5' end of which is SEQ. ID:24 and
10		the 3' end of which is SEQ. ID:25: and
10	k)	V1Jneo-PA-HA, the 5' end of which is SEQ. ID:26: and
		the 3' end of which is SEQ. ID:27:
	1)	V1Jns-GA-HA (A/Georgia/03/93), construct size 6.56 Kb,
		the 5' end of which is SEQ.ID:46: and
15		the 3' end of which is SEQ. ID:47:,
	m)	V1Jns-TX-HA (A/Texas/36/91), construct size 6.56 Kb,
		the 5' end of which is SEQ.ID:48: and
		the 3' end of which is SEQ. ID:49:,
	n)	V1Jns-PA-HA (B/Panama/45/90), construct size 6.61 Kb,
20		the 5' end of which is SEQ.ID:50: and
		the 3' end of which is SEQ. ID:51:,
	o)	V1Jns-BJ-NP (A/Beijing/353/89), construct size 6.42 Kb,
		the 5' end of which is SEQ.ID:52: and
		the 3' end of which is SEQ. ID:53:,
25	<b>p</b> )	V1Jns-BJ-M1 (A/Beijing/353/89), construct size 5.62 Kb,
		the 5' end of which is SEQ.ID:54: and
	_	the 3' end of which is SEQ. ID:55:,
	q)	V1Jns-PA-NP (B/Panama/45/90), construct size 6.54 Kb,
		the 5' end of which is SEQ.ID:56: and
30		the 3' end of which is SEQ. ID:57:,
_	r)	V1Jns-PA-M1 (B/Panama/45/90), construct size 5.61 Kb,
		the 5' end of which is SEQ.ID:58: and
		the 3' end of which is SEQ. ID:59:,.

- 35 -

The following examples are provided to further define the invention, without limiting the invention to the specifics of the examples.

5

10

15

20

25

30

# EXAMPLE 1 PREPARATION OF DNA CONSTRUCTS ENCODING HUMAN INFLUENZA VIRUS PROTEINS:

i). pnRSV-PRNP: The A/PR/8/34 NP gene was isolated from pAPR-5 501 [J.F. Young et al., in The Origin of Pandemic Influenza Viruses, W.G. Laver, Ed. (Elsevier Science Publishing Co., Inc., 1983)] as a 1565 base-pair EcoRI fragment, Klenow filled-in and cloned into the Klenow filled-in and phosphatase-treated XbaI site of pRSV-BL. pRSV-BL was constructed by first digesting the pBL-CAT3 [B. Luckow and 10 G. Schutz, Nuc. Acids Res. 15, 5490 (1987)] vector with Xho I and Nco I to remove the CAT coding sequence and Klenow filled-in and selfligated. The RSV promoter fragment was isolated as an Nde I and Asp718 fragment from pRshgmx [V. Giguere et al., Nature 330, 624 (1987)], Klenow filled-in and cloned into the HindIII site of the 15 intermediate vector generated above (pBL-CAT lacking the CAT sequence).

ii) V1-NP: The expression vector V1 was constructed from pCMVIE-AKI-DHFR [Y. Whang et al., J. Virol. 61, 1796 (1987)]. The AKI and 20 DHFR genes were removed by cutting the vector with EcoR I and selfligating. This vector does not contain intron A in the CMV promoter, so it was added as a PCR fragment that had a deleted internal Sac I site [at 1855 as numbered in B.S. Chapman et al., Nuc. Acids Res. 19, 3979 (1991)]. The template used for the PCR reactions was pCMVintA-Lux, 25 made by ligating the Hind III and Nhe I fragment from pCMV6a120 [see B.S. Chapman et al., ibid.,] which includes hCMV-IE1 enhancer/promoter and intron A, into the Hind III and Xba I sites of pBL3 to generate pCMVIntBL. The 1881 base pair luciferase gene fragment (Hind III-Sma I Klenow filled-in) from RSV-Lux [J.R. de Wet 30 et al., Mol. Cell Biol. 7, 725, 1987] was cloned into the Sal I site of pCMVIntBL, which was Klenow filled-in and phosphatase treated.

The primers that spanned intron A are:

- 37 -

5' primer, SEQ. ID:5: 5'-CTATATAAGCAGAG CTCGTTTAG-3'. The 3' primer, SEQ ID:6: 5'-GTAGCAAAGATCTAAGGACGGTGA CTGCAG-3'.

The primers used to remove the Sac I site are:
sense primer, SEQ ID:7:
5-GTATGTGTCTGAAAATGAGCGTGGAGATTGGGCTCGCAC-3'
and the antisense primer, SEQ ID:8:
5'-

GTGCGAGCCCAATCTCCACGCTCATTTTCAGACACA TAC-3'.

The PCR fragment was cut with Sac I and Bgl II and inserted into the vector which had been cut with the same enzymes. The NP gene from Influenza A (A/PR/8/34) was cut out of pAPR501 [J.F. Young et al., in The Origin of Pandemic Influenza Viruses, W.G. Laver, Ed. (Elsevier Science Publishing Co., Inc., 1983)] as a 1565 base-pair EcoR I fragment and blunted. It was inserted into V1 at the blunted Bgl II site, to make V1-NP. Plasmids were propagated in E. coli and purified by the alkaline lysis method [J. Sambrook, E.F. Fritsch, and T. Maniatis, in Molecular Cloning, A Laboratory Manual, second edition (Cold Spring Harbor Laboratory Press, 1989)]. CsCl banded DNA was ethanol precipitated and resuspended in 0.9% saline at 2mg/ml for injection.

25

# EXAMPLE 2 ASSAY FOR HUMAN INFLUENZA VIRUS CYTOTOXIC TLYMPHOCYTES:

Cytotoxic T lymphocytes were generated from mice that had been immunized with DNA or that had recovered from infection with A/HK/68. Control cultures were derived from mice that had been injected with control DNA and from uninjected mice. Single cell suspensions were prepared, red blood cells were removed by lysis with

ammonium chloride, and spleen cells were cultured in RPMI 1640 supplemented with 10% Fetal Bovine Serum (FBS), 100 U/ml penicillin, 100 µg/ml streptomycin, 0.01 M HEPES (pH 7.5), and 2 mM lglutamine. An equal number of autologous, irradiated stimulator cells, pulsed for 60 min. with the H-2Kd-restricted peptide epitope NP147-5 155 (Thr Tyr Gln Arg Thr Arg Ala Leu Val, SEQ ID:9:) at 10 μM or infected with influenza A/PR8/34 (H1N1), and 10 U/ml recombinant human IL-2 (Cellular Products, Buffalo, NY) were added and cultures were maintained for 7 days at 37°C with 5% CO2 and 100% relative humidity. In selected experiments, rhIL-2 (20 U/ml) and Con A (2 10 μg/ml) were added in place of autologous stimulator cells. Cytotoxic T cell effector activity was determined with P815 cells labeled for 3 hr with 60 μCi of <sup>51</sup>Cr per 10<sup>6</sup> cells, and pulsed as above with NP147-155, or infected with influenza A/Victoria/73 (H3N2). Control targets (labeled P815 cells without peptide or virus) were not lysed. Targets 15 were plated at 1 x 10<sup>4</sup> cells/well in round-bottomed 96-well plates and incubated with effectors for 4 hours in triplicate. Supernatant (30 µl) was removed from each well and counted in a Betaplate scintillation counter (LKB-Wallac, Turku, Finland). Maximal counts, released by addition of 6M HCl, and spontaneous counts released without CTL were 20 determined for each target preparation. Percent specific lysis was calculated as: [(experimental - spontaneous)/(maximal - spontaneous)] X 100.

## 25 PRODUCTION OF NP SPECIFIC CTLs AND ANTIBODIES IN VIVO:

BALB/c mice were injected in the quadriceps of both legs with plasmid cDNA encoding A/PR/8/34 nucleoprotein driven by either a Rous sarcoma virus or cytomegalovirus promoter.

Expression vectors used were:

i) pnRSV-PRNP, see Example 1;

10

15

20

25

30

### ii) V1-NP, see Example 1.

Animals used were female BALB/c mice, obtained from Charles River Laboratories, Raleigh, NC. Mice were obtained at 4-5 weeks of age and were initially injected with DNA at 5-6 weeks of age. Unless otherwise noted, injections of DNA were administered into the quadriceps muscles of both legs, with each leg receiving 50 µl of sterile saline containing 100 µg of DNA. Mice received 1, 2 or 3 sets of inoculations at 3 week intervals. Negative control animals were uninjected or injected with the appropriate blank vector lacking the inserted NP gene.

The presence or absence of NP plasmid DNA in the muscles of selected animals was analyzed by PCR (Fig. 1). Plasmid DNA (either NP or luciferase DNA) was detected in 44 of 48 injected muscles tested. In mice injected with luciferase DNA, protein expression was demonstrated by luciferase activity recovered in muscle extracts according to methods known in the art [J.A. Wolff et al., Science 247, 1465 (1990); G. Ascadi et al., Nature 352, 815 (1991); H. Lin et al., Circulation 82, 2217 (1990); R.N. Kitsis et al., Proc. Natl. Acad. Sci. (USA) 88, 4138 (1991); E. Hansen et al., FEBS Lett. 290, 73 (1991); S. Jiao et al., Hum. Gene Therapy 3, 21 (1992); J.A. Wolff et al., Human Mol. Genet. 1, 363 (1992)].

NP expression in muscles after injection of NP DNA was below the limit of detection for Western blot analysis (< 1 ng) but was indicated by the production of NP-specific antibodies (see Fig. 2). For analysis of NP-specific CTL generation, spleens were removed 1-4 weeks following immunization, and spleen cells were restimulated with recombinant human IL-2 plus autologous spleen cells that had been either infected with influenza A (A/PR/8/34) or pulsed with the H-2Kd-restricted nucleoprotein peptide epitope (NP residues 147-155, see O.K. Rötzscke et al., Nature 348, 252 (1990)). Spleen cells restimulated with virally-infected or with epitope-pulsed syngeneic cells were capable of killing nucleoprotein epitope-pulsed target cells (Fig. 3A). This indicates that i.m. injection of NP DNA generated the appropriate NP-derived peptide in association with MHC class I for induction of the

- 40 -

specific CTL response. These CTLs were capable of recognizing and lysing virally infected target cells, (Fig 3B), or target cells pulsed with the H-2Kd-restricted nucleoprotein peptide epitope and virally-infected target cells. This demonstrates their specificity as well as their ability to detect the epitope generated naturally in infected cells.

A more stringent measure of immunogenicity of the NP DNA vaccine was the evaluation of the primary CTL response. Spleen cells taken from NP DNA-injected mice were activated by exposure to Con A and IL-2, but did not undergo in vitro restimulation with antigenexpressing cells prior to testing their ability to kill appropriate targets. Splenocytes from mice immunized with NP DNA, when activated with Con A and IL-2 in vitro without antigen-specific restimulation, lysed both epitope-pulsed and virally-infected target cells (Fig. 3C and D). This lytic activity of both the restimulated and activated spleen cells compares favorably with that of similarly treated splenocytes derived from mice that had been previously infected with influenza A/HK/68, a virulent mouse-adapted H3N2 strain that arose 34 years after A/PR/8/34 (H1N1). Thus, injection of NP DNA generated CTL that were specific for the nucleoprotein epitope and that were capable of identifying the naturally processed antigen (i.e., could kill virally-infected cells). NP CTL have also been generated in C3H and B6 transgenic mice expressing human HLA-A2

NP CTL have been detected in spleens of BALB/c mice injected with as little as 1 dose of 1  $\mu$ g NP DNA (the lowest dose tested) (Table 3-IV):

5

10

15

20

25

Table 3-IV

- 41 -

CTL Responses in Mice After a Single Injection of NP DNA

5	inoculum	dose (μg)	% specific lysis	sd		
	NP DNA	400	·	107		
	INI DINA	1	<b>52.5</b>	10.7		
	· ·	400	80.4	10.3		
	NP DNA	200	75.6	5.4		
10		200	44.6	4.4		
	NP DNA	100	76.7	2.9		
		100	35.6	8.9		
	NP DNA	50	62.9	0.6		
		50	76.7	7.4		
15	NP DNA	10	83.2	10.1		
		10	37.7	2.5		
	NP DNA	1	44.2	0.3		
		1	13	2		
	Control DNA	100	4.9	1		
20	Flu		79.3	8.3		

Table 3-IV: Female BALB/c mice (4-6 weeks) were injected with a single dose of A/PR/34 NP DNA (V1JNP) or control DNA (V1J) at the indicated doses. For comparison, mice were infected with influenza virus A/PR/34. CTL were obtained after 8 weeks, restimulated *in vitro* with NP peptide-pulsed syngeneic spleen cells, and assayed against NP peptide-pulsed P815 cells at an effector:target ratio of 50:1. Data is represented as % specific lysis for representative individual mice.

25

30

Followup experiments have shown that mice receiving 1 dose of 1  $\mu$ g NP DNA maintained NP CTL for at least 4.5 months (latest time point tested). The magnitude of the CTL responses after

DNA injection were comparable to that in influenza-infected mice. However, it should be noted that analysis of CTL after antigen restimulation *in vitro* is not strictly quantitative. Therefore, we are currently developing limiting dilution assays to more quantitatively assess the levels of NP-specific CTL in mice. In mice that were injected with 3 doses of 100 µg NP DNA, CTL responses have been detected at least 6 months after immunization (Figure 19). Therefore, an influenza PNV has the potential to generate long-lived CTL responses directed toward conserved influenza antigens.

10

15

20

25

30

5

Injection of mice with NP DNA resulted in the production of high titer anti-NP IgG antibodies (Fig. 2). Generation of high titer IgG antibodies in mice is thought to require CD4+ T cell help (P. Vieira and K. Rajewsky, Int. Immunol. 2, 487 (1990); J. J. Donnelly et al., J. Immunol. 145, 3071 (1990)). This shows that NP expressed from the plasmid in situ was processed for presentation by both MHC class I and class II.

# EXAMPLE 4 PROTECTION OF MICE UPON CHALLENGE WITH VIRULENT HUMAN INFLUENZA VIRUS:

The role of NP antibodies in protective immunity to influenza is shown by two approaches: First, viral lung titers were determined in a passive-transfer experiment. Female BALB/c mice ≥ 10 weeks of age were injected intraperitoneally with 0.5 ml of pooled serum (diluted in 2.0 ml of PBS) from mice that had been injected 3 times with 200 µg of NP DNA. Control mice were injected with an equal volume of pooled normal mouse serum, or with pooled serum from mice that had recovered from infection with A/HK/68, also in 2.0 ml of PBS. The dose of A/HK/68 immune serum was adjusted such that the ELISA titer of anti-NP antibody was equal to that in the pooled serum from NP DNA-injected mice. Mice were challenged unanesthetized in a blinded

- 43 -

fashion with 10<sup>4</sup> TCID50 of A/HK/68 2 hours after serum injection, and a further injection of an equal amount of serum was given 3 days later. Mice were sacrificed 6 and 7 days after infection and viral lung titers in TCID50 per ml were determined as described by Moran [J. Immunol. 146, 321, 1991].

5

10

15

20

25

30

Naive mice were infused with anti-NP antiserum, obtained from mice that were injected with NP DNA, and then challenged with A/HK/68. Viral challenges were performed with a mouse-adapted strain of A/HK/68 and maintained subsequently by in vivo passage in mice (Dr. I. Mbawuike, personal communication). The viral seed stock used was a homogenate of lungs from infected mice and had an infectivity titer of 5 x 108 TCID50/ml on MDCK cells. For viral lung titer determinations and weight loss studies, viral challenges were performed in blinded fashion by intranasal instillation of 20 µl containing 104 TCID50 onto the nares of unanesthetized mice, which leads to progressive infection of the lungs with virus but is not lethal in BALB/c mice [Yetter, R.A. et al., Infect. Immunity 29, 654, 1980]. In survival experiments, mice were challenged by instillation of 20 µl containing 102.5 TCID50 onto the nares under full anesthesia with ketamine and xylazine; infection of anesthetized mice with this dose causes a rapid lung infection which is lethal to 90-100% of nonimmunized mice [J.L. Schulman and E.D. Kilbourne, J. Exp. Med. 118, 257, 1963; G.H. Scott and R.J. Sydiskis, Infect. Immunity 14, 696, 1976; R.A. Yetter et al., Infect. Immunity 29, 654, 1980]. Viral lung titers were determined by serial titration on MDCK cells (obtained from ATCC, Rockville, MD) in 96-well plates as described by Moran et al., [ibid.].

No reduction in viral lung titers was seen in mice that had received anti-NP antiserum  $(6.3 \pm 0.2; \text{ mean} \pm \text{SEM}; \text{ n=4})$  as compared to control mice that had received normal serum  $(6.1 \pm 0.3; \text{ mean} \pm \text{SEM}; \text{ n=4})$ . As a positive control, serum was collected from mice that had been infected with A/HK/68 and passively transferred to four naive mice. After a challenge with A/HK/68, no viral infection was detectable in their lungs, indicating that this serum against whole virus was

5

10

15

20

25

30

- 44 -

completely protective for challenge with the homologous virus. Second, naive mice were immunized with purified NP (5  $\mu$ g/leg, 3 times over a period of 6 weeks) by i.m. injection. These mice generated high titer NP-specific antibodies but failed to produce NP-specific CTLs and were not protected from a lethal dose of virus. Therefore, unlike the neutralizing effect of antibodies to whole virus, circulating anti-NP IgG did not confer protective immunity to the mice.

The in vivo protective efficacy of NP DNA injections was evaluated to determine whether a cell-mediated immune response was functionally significant. One direct measure of the effectiveness of the immune response was the ability of mice first immunized with NP DNA to clear a progressive, sublethal lung infection with a heterologous strain of influenza (A/HK/68; H3N2). Viral challenges were conducted as described above. Mice immunized with NP DNA had viral lung titers after challenge that were three orders of magnitude lower on day 7 (1.0  $\pm$  1.0; mean  $\pm$  SEM; n=4) than those of control mice that had not been immunized (4.1  $\pm$  0.3; mean  $\pm$  SEM; n=4), or that had been immunized with blank vector  $(4.5 \pm 0.0; \text{ mean} \pm \text{SEM}; \text{ n=4})$ . In fact, three of four immunized mice had undetectable levels of virus in their lungs, while none of the controls had cleared virus at this point. The substantial difference in the viral lung titers seen in this experiment and six others demonstrates that the immune response accelerated clearance of the virus. The lack of protective effect of the blank vector control confirms that DNA per se was not responsible for the immune response. Moreover, because the challenge strain of virus, A/HK/68 (a virulent, mouse-adapted H3N2 strain), was heterologous to the strain A/PR8/34 (H1N1) from which the NP gene was cloned, the immunity was clearly heterotypic.

As a measure of virus-induced morbidity, the mass loss was monitored in mice that were infected sublethally with influenza A/HK/68 following immunization with NP DNA (Fig. 4). Uninjected mice or mice injected with the blank vector were used as controls. Mice immunized with NP DNA exhibited less weight loss and a more rapid

10

15

20

25

return to their pre-challenge weights following influenza A infection compared to control mice.

Intranasal infection of fully anesthetized mice with influenza A causes rapid widespread viral replication in the lung and death in 6-8 days if the infection is not controlled (R.A. Yetter et al., Infect. Immunity 29, 654 (1980)). Survival of mice challenged by this method reflects their ability to limit the severity of an acute lung infection. The capacity of mice to survive challenge with two different strains of influenza, A/HK/68 (see Fig. 5) and A/PR/8/34, was studied. Mice previously immunized with NP DNA showed a 90% survival rate compared to 0% in blank vector injected and 20% in uninjected control animals (Fig. 5). In a total of 14 such studies, mice immunized with NP DNA showed at least a 50% greater survival rate than controls. Thus, the ability of the NP DNA-induced immune response to effectively accelerate recovery and decrease disease caused by a virus of a different strain arising 34 years later supports the rationale of targeting a conserved protein for the generation of a cytotoxic T-lymphocyte response.

## EXAMPLE 5 ISOLATION OF GENES FROM INFLUENZA VIRUS ISOLATES:

Many of the older influenza virus strains are on deposit with the ATCC (the 1990 Catalogue of Animal Viruses & Antisera, Chlamydiae & Rickettsiae, 6th edition, lists 20 influenza A strains and 14 influenza B strains.

### A. Viral Strains and Purification:

Influenza strains which comprise the current, 1992 flu season vaccine were obtained from Dr. Nancy J. Cox at the Division of Viral and Rickettsial Diseases, Centers of Disease Control, Atlanta, GA. These strains are: (1) A/Beijing/353/89 (H3N2); (2) A/Texas/36/91 (H1N1); (3) B/Panama/45/90; and (4) A/Georgia/03/93.

10

15

20

25

30

All of these viruses were grown by passage in 9- to 11-day-old embryonated chicken eggs (except A/Georgia which was grown in MDCK cells), (100-200 per viral preparation) and purified by a modification of the method described by Massicot et al. (Virology 101, 242-249 (1980)). In brief, virus suspensions were clarified by centrifugation at 8000 rpm (Sorvall RC5C centrifuge, GS-3 rotor) and then pelleted by centrifugation at 18,000 rpm for 2 h in a Beckman Type 19 rotor. The pelleted virus was resuspended in STE (0.1 M NaCl, 20 mM Tris, pH 7.4, 1 mM EDTA) and centrifuged at 4,000 rpm for 10 min (Hermle Z 360 K centrifuge) to remove aggregates. 2 ml of supernatant was layered onto a discontinuous sucrose gradient consisting of 2 ml of 60% sucrose overlayed with 7 ml of 30% sucrose buffered with STE and centrifuged at 36,000 rpm (SW-40 rotor, Beckman) for 90 minutes. Banded virus was collected at the interface, diluted 10-fold with STE, and pelleted at 30,000 rpm for 2 h (Beckman Ti45 rotor). The pelleted virus was then frozen at -70°C.

### B. Extraction of Viral RNA and cDNA Synthesis:

Viral RNA was purified from frozen virus by guanidinium isothiocyanate extraction using a commercially available kit (Stratagene. La Jolla, CA) employing the method of Chomczynski and Sacchi (Anal. Biochem. 162, 156-159 (1987)). Double-stranded cDNA was prepared from viral RNA using a commercially available cDNA synthesis kit (Pharmacia) as directed by the manufacturers with several modifications. The first strand of cDNA was primed using a synthetic oligodeoxyribonucleotide, 5'-AGCAAAAGCAGG-3', SEQ. ID:30:, which is complementary to a conserved sequence located at the 3'terminus of the viral RNA for all A strain genes. This sequence is common to all type A influenza viral RNAs and therefore provides a method for cloning any A strain influenza virus gene. After synthesis of first and second strands of cDNA the reactions were extracted with phenol/chloroform and ethanol precipitated rather than continuing with the kit directions. These blunt-ended cDNA's were then directly ligated into the VIJneo or VIJns vector which had been digested with the BglII

10

15

restriction enzyme, blunt-ended with T4 DNA polymerase, and treated with calf intestinal alkaline phosphatase.

To screen for particular full-length viral genes we used synthetic oligodeoxyribonucleotides which were designed to complement the 3'-terminus of the end of the translational open reading frame of a given viral gene. Samples which appeared to represent full-length genes by restriction mapping and size determination on agarose electrophoresis gels were verified by dideoxynucleotide sequencing of both junctions of the viral gene with V1Jneo. The sequence junctions for each gene cloned from these viruses is given below in Example 8.

Similar strategies were used for cloning cDNA's from each of the viruses named above except that for B/Panama/45/90, which does not have common sequences at each end of viral RNA, a mixture of oligodeoxyribonucleotides were used to prime first strand cDNA synthesis. These primers were:

- (1) 5'-AGCAGAAGCGGAGC-3', SEQ. ID:31: for PB1 and PB2;
- (2) 5'-AGCAGAAGCAGAGCA-3', SEQ. ID:19: for NS and HA;
- (3) 5'-AGCAGAAGCACGCAC-3', SEQ. ID:22: for M; and
- (4) 5'-AGCAGAAGCACAGCA-3', SEQ. ID:23: for NP.
- For genes that were cloned by PCR, the blunt-ended cDNA solution was used directly in PCR reactions as the DNA template. The primers used for cloning the 6 influenza genes obtained by PCR are as follows:
- 1. HA gene from A/Georgia/03/93
   sense primer: SEQ.ID:33:
   5' GGT ACA ACC ATG AAG ACT ATC ATT GCT TTG AGC 3'
- anti-sense primer: SEQ.ID:34:
  5' CCA CAT AGA TCT TCA AAT GCA AAT GTT GCA CCT AAT G
  3'

- 48 -

### 2. HA gene from A/Texas/36/91

sense primer: SEQ.ID:35:

5' GGT ACA ACC ATG AAA GCA AAA CTA CTA GTC CTG TTA TG 3'

anti-sense primer: SEQ.ID:36:
 5' CCA CAT TCA GAT GCA TAT TCT ACA CTG CAA AG 3'

### 3. HA gene from B/Panama/45/90

sense primer: SEQ.ID:37:

5' GGT ACA ACC ATG AAG GCA ATA ATT GTA CTA CTC ATG

anti-sense primer: SEQ.ID:38:

5' CCA CAT TTA TAG ACA GAT GGA GCA AGA AAC ATT GTC 3'

### 4. M1 gene from A/Beijing/353/89

sense primer: SEQ.ID:39:

5' GGT ACA AGA TCT ACC ATG CTT CTA ACC GAG GTC 3'

anti-sense primer: SEQ.ID:40:

5' CCA CAT AGA TCT TCA CTT GAA CCG TTG CAT CTG CAC 3'

### 5. NP gene from B/Panama/45/90

sense primer: SEQ.ID:41:

5' GGT ACA GGA TCC ACC ATG TCC AAC ATG GAT ATT GAC GGC 3'

anti-sense primer: SEQ.ID:42:

5' CCA CAT GGA TCC TTA ATA ATC GAG GTC ATC ATA ATC CTC 3'

30

### 6. M1 gene from B/Panama/45/90

sense primer: SEQ.ID:43:

5' GGT ACA GGA TCC ACC ATG TCG CTG TTT GGA GAC ACA ATT GCC 3'

anti-sense primer: SEQ.ID:44:
 5' CCA CAT GGA TCC TTA TAG GTA TTT CTT CAC AAG AGC TG 3'

All influenza gene clones, whether cDNA or PCR generated, were verified by sequencing through the ligation sites into the gene and expressing the gene in transfected RD cells. Expression was detected by immunoblot.

The NP and M1 constructs for the A/H3N2 strain (vectors 4 and 5) were made from the A/Beijing/353/89 genes. These genes were chosen because of the expected high degree of conservation of both NP and M1 genes and because of their availablilty.

From the foregoing work, a particularly preferred group of 7 expression vectors that are combined to form a vaccine include:

- 1. V1Jns-HA (A/Georgia/03/93) 6.56 Kb
- 2. V1Jns-HA (A/Texas/36/91) 6.56 Kb
- 3. V1Jns-HA (B/Panama/45/90) 6.61 Kb
  - 4. V1Jns-NP (A/Beijing/353/89) 6.42 Kb
  - 5. V1Jns-M1 (A/Beijing/353/89) 5.62 Kb
    - 6. V1Jns-NP (B/Panama/45/90) 6.54 Kb
    - 7. V1Jns-M1 (B/Panama/45/90) 5.61 Kb.

The relevant sequences for junctions of these genes in the expression vectors are provided below. Only small portions of the constructs need be sequenced to confirm that the correct gene has been cloned. By comparison with similar known genes, it is easy to confirm 5 that the given gene is an NP gene, an HA gene, an M1 gene etc. For example, the A/Texas HA gene sequence is very similar to the HA gene sequence of A/Kiev/59/79, the sequence of which is available in GENBANK as accession number M38353. Likewise for the B/Panama HA sequence, which is very similar to the B/England/222/82 HA 10 sequence which is available on GENBANK as accession number M18384. In like manner, the identity of any cloned sequence for a given gene from any human influenza virus may be confirmed. In each case below, both a 5' sequence and a 3' sequence was confirmed to ensure that the entire gene was present. In each case, the bolded ATG 15 shows the start codon for the influenza gene, while bolded sequence in the 3' portion is the stop codon:

- 1. V1Jns-HA (A/Georgia/03/93) 6.56 Kb:
- 5' Sequence: (SEO.ID:46:)
  ...TCA CCG TCC TTA GAT C/GG TAC AAC CAT GAA GAC
  TAT CAT TGC TTT GAG CTA CAT TTT ATG TCT GGT
  TTT CGC....
- 25 3' Sequence: (SEO.ID:47:) ...TCA TGC TTT TTG CTT TGT GTT TTG CTG GGG TTC ATC ATG TGG GCC TGC CAA AAA GGC AAC ATT AGG TGC AAC ATT TGC ATT TGA A/GA TCT ATG TGG GAT CTG CTG TGC...
  - 2. V1Jns-HA (A/Texas/36/91) 6.56 Kb:
  - 5' Sequence: (SEO.ID:48:)

... TTA GAT C/GG AAC ATG AAA GCA AAA CTA CTA GTC CTG
TTA TGT GCA TTT ACA GCT ACA TAT GCA ....

### 3' Sequence: (SEO.ID:49:)

- ...CTG GTG CTT TTG GTC TCC CTG GGG GCA ATC AGC TTC
  TGG ATG TGT TCT AAT GGG TCT TTG CAG TGT AGA ATA
  TGC ATC TGA ATG TGG /GAT CTG CTG TGC CTT....
  - 3. V1Jns-HA (B/Panama/45/90) 6.61 Kb:
- 5' Sequence: (SEO.ID:50:)
  ...CCT TAG ATC/ GGT ACA ACC ATG AAG GCA ATA ATT GTA
  CTA CTC ATG GTA GTA ACA TCC AAC GCA GAT CGA ATC
  TGC ACT GGG ATA ACA TCT TCA AAC TCA CCT CAT GTG....
- <sup>15</sup> <u>3' Sequence: (SEO.ID:51:)</u>
- ...TTG GCT GTA ACA TTG ATG ATA GCT ATT TTT ATT
  GTT TAT ATG GTC TCC AGA GAC AAT GTT TCT TGC
  TCC ATC TGT CTA TAA ATG TGG /GAT CTG CTG TGC
  CTT...
  - 4. V1Jns-NP (A/Beijing/353/89) 6.42 Kb
- 5' Sequence: (SEO.ID:52:)
  ...GTC CTT AGA TC/C ACC ATG GCG TCC CAA GGC ACC AAA
  CGG TCT TAT GAA CAG ATG GAA ACT GAT GGG GAA CGC
  CAG AAT GCA ACT ...
- 3' Sequence: (SEO.ID:53:)

  ...GAA AAG GCA ACG AAC CCG ATC GTG CCC TCT TTT GAC
  ATG AGT AAT GAA GGA TCT TAT TTC TTC GGA GAC AAT
  GCA GAA GAG TAC GAC AAT TAA G/GA TCT GCT GTG CCT...

25

### 5. V1Jns-M1 (A/Beijing/353/89) 5.62 Kb

### 5' Sequence: (SEO.ID:54:)

...CTT AGA TC/C AGA TCT ACC ATG AGT CTT CTA ACC GAG
GTC GAA ACG TAT GTT CTC TCT ATC GTT CCA TCA GGC CCC
CTC AAA GCC GAA ATC GCG CAG AGA CTT GAA GAT GTC
TTT GCT GGG AAA AAC ACA GAT...

### 3' Sequence: (SEO.ID:55:)

- GGG ACT CAT CCT AGC TCC AGT ACT GGT CTA AAA GAT
  GAT CTT CTT GAA AAT TTG CAG ACC TAT CAG AAA CGA
  ATG GGG GTG CAG ATG CAA CGG TTC AAG TGA AGA TCT
  ATG TGG/ GAT CTG CTG TGC CTT...
- 6. V1Jns-NP (B/Panama/45/90) 6.54 Kb

### 5' Sequence: (SEO,ID:56:)

...CTT AGA TC/C ACC ATG TCC AAC ATG GAT ATT GAC GGT ATC AAC ACT GGG ACA ATT GAC AAA ACA CCG GAA GAA ATA ACT TCT...

### 3' Sequence: (SEO.ID:57:)

...GTT GAA ATT CCA ATT AAG CAG ACC ATC CCC AAT TTC TTC TTT GGG AGG GAC ACA GCA GAG GAT TAT GAT GAC CTC GAT TAT TAA G/GA TCT GCT GTG...

7. V1Jns-M1 (B/Panama/45/90) 5.61 Kb.

### 5' Sequence: (SEO.ID:58:)

...CTT AGA TC/C ACC ATG TCG CTG TTT GGA GAC ACA ATT GCC TAC CTG CTT TCA TTG ACA GAA GAT GGA GAA GGC AAA GCA GAA CTA GCA GAA AAA TTA ...

3' Sequence: (SEO.ID:59:)

...AGA TCT CTT GGG GCA AGT CAA GAG AAT GGG GAA GGA ATT GCA AAG GAT GTG ATG GAA GTG CTA AAG CAG AGC TCT ATG GGA AAT TCA GCT CTT GTG AAG AAA TAC CTA TAA G/GA TCT GCT GTG...

5

10

15

20

25

### EXAMPLE 6 VIJ EXPRESSION VECTOR, SEO. ID:10:

Our purpose in creating VIJ was to remove the promoter and transcription termination elements from our vector, VI, in order to place them within a more defined context, create a more compact vector, and to improve plasmid purification yields.

V1J is derived from vectors V1, (see Example 1) and pUC18, a commercially available plasmid. V1 was digested with SspI and EcoRI restriction enzymes producing two fragments of DNA. The smaller of these fragments, containing the CMVintA promoter and Bovine Growth Hormone (BGH) transcription termination elements which control the expression of heterologous genes (SEQ ID:11:), was purified from an agarose electrophoresis gel. The ends of this DNA fragment were then "blunted" using the T4 DNA polymerase enzyme in order to facilitate its ligation to another "blunt-ended" DNA fragment.

pUC18 was chosen to provide the "backbone" of the expression vector. It is known to produce high yields of plasmid, is well-characterized by sequence and function, and is of minimum size. We removed the entire *lac* operon from this vector, which was unnecessary for our purposes and may be detrimental to plasmid yields and heterologous gene expression, by partial digestion with the HaeII restriction enzyme. The remaining plasmid was purified from an agarose electrophoresis gel, blunt-ended with the T4 DNA polymerase, treated with calf intestinal alkaline phosphatase, and ligated to the CMVintA/BGH element described above. Plasmids exhibiting either of two possible orientations of the promoter elements within the pUC backbone were obtained. One of these plasmids gave much higher

yields of DNA in E. coli and was designated VIJ (SEQ. ID:10:). This vector's structure was verified by sequence analysis of the junction regions and was subsequently demonstrated to give comparable or higher expression of heterologous genes compared with V1.

5

10

15

20

25

30

- 55 -

## EXAMPLE 7 INFLUENZA VIRUS GENE CONSTRUCTS IN EXPRESSION VECTOR V1J:

Many of the genes from the A/PR/8/34 strain of influenza virus were cloned into the expression vector VIJ, which, as noted in Example 4, gives rise to expression at levels as high or higher than in the VI vector. The PR8 gene sequences are known and available in the GENBANK database. For each of the genes cloned below, the size of the fragment cloned was checked by sizing gel, and the GENBANK accession number against which partial sequence was compared are provided. For a method of obtaining these genes from virus strains, for example from virus obtained from the ATCC (A/PR/8/34 is ATCC VR-95; many other strains are also on deposit with the ATCC), see Example 5.

A. Subcloning the PR8 Genes into V1J:

### 1. NP gene

The NP gene was subcloned from pAPR501 (J.F. Young, U. Desselberber, P. Graves, P. Palese, A. Shatzman, and M. Rosenberg (1983), in <u>The Origins of Pandemic Influenza Viruses</u>, ed. W.G. Laver, (Elsevier, Amsterdam) pp.129-138). It was excised by cutting pAPR501 with EcoRI, the fragment gel purified, and blunted with T4 DNA Polymerase. The blunted fragment was inserted into V1J cut with Bgl II and also blunted with T4 DNA Polymerase. The cloned fragment was 1.6 kilobases long.

10

### 2. <u>NS</u>

The NS gene was subcloned from pAPR801 (J.F. Young, U. Desselberber, P. Graves, P. Palese, A. Shatzman, and M. Rosenberg (1983), in <u>The Origins of Pandemic Influenza Viruses</u>, ed. W.G. Laver, (Elsevier, Amsterdam) pp.129-138). It was excised by cutting pAPR801 with EcoRI, the fragment gel purified, and blunted with T4 DNA Polymerase. The blunted fragment was inserted into V1J cut with Bgl II and also blunted with T4 DNA Polymerase. The cloned fragment was 0.9 kilobases long (the complete NS coding region including NS1 and NS2).

### 3. <u>HA</u>

The HA gene was subcloned from pJZ102 (J.F. Young, U. Desselberber, P. Graves, P. Palese, A. Shatzman, and M. Rosenberg (1983), in The Origins of Pandemic Influenza Viruses, ed. W.G. Laver, (Elsevier, Amsterdam) pp.129-138). It was excised by cutting pJZ102 with Hind III, the fragment gel purified, and blunted with T4 DNA Polymerase. The blunted fragment was inserted into V1J cut with Bgl II and also blunted with T4 DNA Polymerase. The cloned fragment was 1.75 kilobases long.

### 4. <u>PB1</u>

The PB1 gene was subcloned from pGem1-PB1 (The 5' and 3' junctions of the genes with the vector were sequenced to verify their identity. See J.F. Young, U. Desselberber, P. Graves, P. Palese, A. Shatzman, and M. Rosenberg (1983), in The Origins of Pandemic Influenza Viruses, ed. W.G. Laver, (Elsevier, Amsterdam) pp.129-138). It was excised by cutting pGem-PB1 with Hind III, the fragment gel purified, and blunted with T4 DNA Polymerase. The blunted fragment was inserted into V1J cut with Bgl II and also blunted with T4 DNA Polymerase. The cloned fragment was 2.3 kilobases long.

#### 5. PB2

The PB2 gene was subcloned from pGem1-PB2 (The 5' and 3' junctions of the genes with the vector were sequenced to verify their identity. See J.F. Young, U. Desselberber, P. Graves, P. Palese, A. Shatzman, and M. Rosenberg (1983), in The Origins of Pandemic Influenza Viruses, ed. W.G. Laver, (Elsevier, Amsterdam) pp.129-138). It was excised by cutting pGem-PB2 with BamH I, and gel purifying the fragment. The sticky-ended fragment was inserted into 10 VIJ cut with Bgl II. The cloned fragment was 2.3 kilobases long.

#### 6. M1

The M1 gene was generated by PCR from the plasmid 15 p8901 MITE. The M sequence in this plasmid was generated by PCR from pAPR701 (J.F. Young, U. Desselberber, P. Graves, P. Palese, A. Shatzman, and M. Rosenberg (1983), in The Origins of Pandemic Influenza Viruses, ed. W.G. Laver, (Elsevier, Amsterdam) pp.129-138.), using the oligomer 5'-GGT ACA AGA TCT ACC ATG CTT 20 CTA ACC GAG GTC-3', SEQ. ID:3:, for the "sense" primer and the oligomer 5'-CCA CAT AGA TCT TCA CTT GAA CCG TTG CAT CTG CAC-3', SEQ. ID:4:, for the "anti-sense" primer. The PCR fragment was gel purified, cut with Bgl II and ligated into V1J cut with Bgl II. The cloned fragment was 0.7 kilobases long. The amino 25 terminus of the encoded M1 is encoded in the "sense" primer shown above as the "ATG" codon, while the M1 translation stop codon is encoded by the reverse of the "TCA" codon, which in the sense direction is the stop codon "TGA".

### 30 B. Influenza Gene-V1J Expression Constructs:

In each case, the junction sequences from the 5' promoter region (CMVintA) into the cloned gene is shown. The sequences were generated by sequencing off the primer:

5

10

15

20

25

30

- 58 -

CMVinta primer 5'- CTA ACA GAC TGT TCC TTT CCA TG-3', SEQ. ID:28:, which generates the sequence of the coding sequence. The position at which the junction occurs is demarcated by a "/", which does not represent any discontinuity in the sequence. The method for preparing these constructs is summarized after all of the sequences below. Each sequence provided represents a complete, available, expressible DNA construct for the designated influenza gene.

Each construct was transiently transfected into RD cells, (ATCC CCL136), a human rhabdomyosarcoma cell line in culture. Forty eight hours after transfection, the cells were harvested, lysed, and western blots were run (except for the V1J-PR-HA construct which was tested in mice and gave anti-HA specific antibody before a western blot was run, thus obviating the need to run a western blot as expression was observed in vivo). Antibody specific for the PB1, PB2 and NS proteins was provided by Stephen Inglis of the University of Cambridge, who used purified proteins expressed as B-galactosidase fusion proteins to generate polyclonal antisera. Anti-NP polyclonal antiserum was generated by immunization of rabbits with whole A/PR/8/34 virus. Anti-M1 antibody is commercially available from Biodesign as a goat, anti-fluA antiserum, catalog number B65245G. In each case, a protein of the predicted size was observed, confirming expression in vitro of the encoded influenza protein.

The nomenclature for these constructs follows the convention: "Vector name-flu strain-gene". In every case, the sequence was checked against known sequences from GENBANK for the cloned and sequenced A/PR/8/34 gene sequence. The biological efficacy of each of these constructs is demonstrated as in Examples 2, 3, and 4 above:

SEQUENCE ACROSS THE 5' JUNCTIONS OF CMVINTA AND FLU GENES FROM A/PR/8/34:

- 1. VIJ-PR-NP, SEO. ID:12:. GENBANK ACCESSION #:M38279
  5' GTC ACC GTC CTT AGA TC/A ATT CCA GCA AAA GCA GGG
  CMVintA NP....
- TAG ATA ATC ACT CAC TGA GTG ACA TCA AAA TCA TG
  - Y1J-PR-PB1, SEO, ID:13:, GENBANK ACCESSION #J02151
     ACC GTC CTT AGA TC/A GCT TGG CAA AAG CAG GCA AAC CMVintA PB1....
- CAT TTG AAT GGA TGT CAA TCC GAC CTT ACT TTT CTT AAA AGT GCC AGC ACA AAA TGC TAT AAG CAC AAC TTT CCC TTA TAC
- 3. V1J-PR-NS, SEO. ID:14:, GENBANK ACCESSION #J02150
  5' GTC ACC GTC CTT AGA TC/A ATT CCA GCA AAA GCA GGG
  CMVintA NS....
- TGA CAA AAA CAT AAT GGA TCC AAA CAC TGT GTC AAG
  CTT TCA GGT AGA TTG CTT TCT TTG GCA TGT CCG CAA
  ACG AGT TGC AGA CCA AGA ACT AGG TGA T...
  - 4. V1J-PR-HA, SEO. ID:15:, GENBANK ACCESSION #J02143
    5' TCT GCA GTC ACC GTC CTT AGA TC/ A GCT TGG AGC AAA
    CMVintA HA...
  - AGCAGG GGA AAA TAA AAA CAA CCA AAA TGA AGG CAA ACC TAC TGG TCC TGT TAA GTG CAC TTG CAG CTG CAG ATG CAG ACA CAA TAT GTA TAG GCT ACC ATG CGA ACA ATT CAA CC...

# 5. V1J-PR-PB2, SEQ. ID:16:, GENBANK ACCESSION #J02153 5'TTT TCT GCA GTC ACC GTC CTT AGA TC/ C CGA ATT CCA CMVintA PB2....

- GCA AAA GCA GGT CAA TTA TAT TCA ATA TGG AAA GAA TAA AAG AAC TAA GAA ATC TAA TGT CGC AGT CTG CCA CCC CGG AGA TAC TCA CAA AAA CCA CCG TGG ACC ATA TGG CCA TAA TCA AGA AGT...
- 6. V1J-PR-M1, SEO. ID:17:, GENBANK ACCESSION #J02145
  5' GTC ACC GTC CTT AGA TCT/ ACC ATG AGT CTT CTA ACC
  CMVINTA M1.....
- GAG GTC GAA ACG TAC GTA CTC TCT ATC ATC CCG TCA
  GGC CCC CTC AAA GCC GAG ATC GCA CAG AGA CTT GAA
  GAG TTG ACG GAA GA...

### How Fragments were joined:

25

- 1. V1J-PR-NP: Blunted BgIII (vector) to blunted EcoRI (NP)
- 2. VIJ-PR-PB1: Blunted BglII (vector) to blunted HinDIII (PB1)
  - 3. V1J-PR-NS: Blunted BglII (vector) to blunted EcoRI (NS1)
  - 4. V1J-PR-HA: Blunted BglII (vector) to blunted HinDIII (HA)
- 5. V1J-PR-PB2: Sticky BglII (vector) to sticky BamHI (PB2)
  - 6. V1J-PR-M1: Sticky BgIII (vector) to sticky BgIII (M1)
    M1 was obtained by PCR, using p8901-M1TE as template

and Primers that add a BglII site at both ends and start 3 bases befor the ATG and end right after the termination codon for M1 (TGA).

### EXAMPLE 8 VIJneo EXPRESSION VECTOR, SEO. ID:18:

It was necessary to remove the ampr gene used for antibiotic selection of bacteria harboring V1J because ampicillin may not be used in large-scale fermenters. The ampr gene from the pUC backbone of 10 VIJ was removed by digestion with SspI and Eam1105I restriction enzymes. The remaining plasmid was purified by agarose gel electrophoresis, blunt-ended with T4 DNA polymerase, and then treated with calf intestinal alkaline phosphatase. The commercially available kan<sup>r</sup> gene, derived from transposon 903 and contained within the 15 pUC4K plasmid, was excised using the PstI restriction enzyme, purified by agarose gel electrophoresis, and blunt-ended with T4 DNA polymerase. This fragment was ligated with the V1J backbone and plasmids with the kan<sup>r</sup> gene in either orientation were derived which were designated as V1Jneo #'s 1 and 3. Each of these plasmids was 20 confirmed by restriction enzyme digestion analysis, DNA sequencing of the junction regions, and was shown to produce similar quantities of plasmid as V1J. Expression of heterologous gene products was also comparable to V1J for these V1Jneo vectors. We arbitrarily selected V1Jneo#3, referred to as V1Jneo hereafter (SEQ. ID:18:), which 25 contains the kan<sup>r</sup> gene in the same orientation as the amp<sup>r</sup> gene in V1J as the expression construct. Genes from each of the strains A/Beijing/353/89, A/Texas/36/91, and B/Panama/46/90 were cloned into the vector V1Jneo as cDNAs. In each case, the junction sequences from the 5' promoter region (CMVintA) 30 into the cloned gene was sequenced using the primer: CMVinta primer 5'- CTA ACA GAC TGT TCC TTT CCA TG- 3', SEQ. ID:28:, which generates the sequence of the coding sequence. This is contiguous with the terminator/coding sequence, the junction of

which is also shown. This sequence was generated using the primer: BGH primer 5'- GGA GTG GCA CCT TCC AGG -3', SEQ. ID:29:, which generates the sequence of the non-coding strand. In every case, the sequence was checked against known sequences from GENBANK for cloned and sequenced genes from these or other influenza isolates. 5 The position at which the junction occurs is demarcated by a "/", which does not represent any discontinuity in the sequence. In the case of the V1Ineo-TX-HA junction, the sequencing gel was compressed and the initial sequence was difficult to read. Therefore, the first 8 bases at that junction were shown as "N". These nucleotides have been confirmed 10 and the identified nucleotides are provided. The first "ATG" encountered in each sequence is the translation initiation codon for the respective cloned gene. Each sequence provided represents a complete. available, expressible DNA construct for the designated influenza gene. The nomenclature follows the convention: "Vector name-flu strain-15 gene". The biological efficacy of each of these constructs is shown in the same manner as in Examples 2, 3, and 4 above:

SEQUENCE ACROSS THE 5' JUNCTIONS OF CMVintA AND THE FLU GENES AND ACROSS THE 3' JUNCTIONS OF THE FLU

GENES AND THE BGH TERMINATOR EXPRESSION

CONSTRUCTS, USING DIFFERENT INFLUENZA STRAINS AND PROTEINS:

### I. <u>A/BELJING/353/89</u>

### A. V1.Ineo-B.I-NP:

25

PROMOTER, SEO. ID:20:

5' TCA CCG TCC TTA GAT C/ AA GCA GGG TTA ATA ATC CMVintA NP....

- 63 -

ACT CAC TGA GTG ACA TCA AAA TC ATG GCG TCC CAA GGC ACC AAA CGG TCT TAT GAA CAG ATG GAA ACT GAT GGG GAA CGC CAG ATT

### TERMINATOR, SEO, ID:21:

5' GAG GGG CAA ACA ACA GAT GGC TGG CAA CTA GAA GGC ACA GCA GAT / ATT TTT TCC TTA ATT GTC GTA C...

BGH NP....

10

### II. <u>A/TEXAS/36/91</u>

### A. V1.Ineo-TX-HA

PROMOTER, SEO. ID:24:

5' CCT TAG ATC / GGA AAT AAA AAC AAC CAA AAT GAA CMVINTA HA....

AGC AAA ACT ACT AGT CC...

### TERMINATOR, SEO. ID:25:

<sup>25</sup> 5' GCA GAT C/ CT TAT ATT TCT GAA ATT CTG GTC... BGH HA....

TCA GAT...

30

### III. <u>B/PANAMA/46/90</u>

### A. V1Ineo-PA-HA

PROMOTER, SEO. ID:26: (The first 1080 bases of this sequence is available on GENBANK as accession number M65171: the sequence obtained below is identical with the known sequence: the 3' sequence. SEO. ID:27: below) has not been previously reported)

5'ACC GTC CTT AGA TC/ C AGA AGC AGA GCA TTT TCT AAT

### **CMVintA**

### HA....

ATC CAC AAA ATG AAG GCA ATA ATT GTA CTA CTC ATG GTA GTA ACA TCC AAC GCA GAT CGA ATC TGC...

TERMINATOR, SEO. ID:27:

5' GGC ACA GCA GAT C/ TT TCA ATA ACG TTT CTT TGT
BGH HA....

AAT GGT AAC...

20

25

30

### EXAMPLE 9

### Intradermal Injections of Influenza Genes:

The protocol for intradermal introduction of genes was the same as for intramuscular introduction: Three injections of 200µg each, three weeks apart, of V1-PR-NP. The spleens were harvested for the in vitro assay 55 days after the third injection, and restimulated with the nonapeptide nucleoprotein epitope 147-155, SEQ. ID:9:. Target cells (P815 cells, mouse mastocytoma, syngeneic with BALB/c mice H-2d) were infected with the heterologous virus A/Victoria/73, and specific lysis using the spleen cells as the effector at effector:target ratios ranging between 5:1 and 40:1. Negative controls were carried out by measuring lysis of target cells which were not infected with influenza virus. Positive controls were carried out by measuring lysis of influenza virus infected target cells by spleen cells obtained from a

10

mouse which was injected three times with 130 µg of V1-PR-NP and which survived a live influenza virus infection by strain A/HK/68.

Results: Specific lysis was achieved using the spleen cells from intradermally injected mice at all effector:target ratios. No specific lysis was seen when spleen cells obtained from uninjected mice, or mice injected with the vector V1 without the inserted PR-NP gene, were used as the effector cells. In addition, the specific lysis achieved using the intradermal delivery was comparable at all effector:target ratios to the results obtained using intramuscular delivery. Influenza virus lung titers were also measured in mice injected intradermally or intramusculary. The results, using 5 mice per group, 3 x 200 µg per dose three weeks apart, and challenge 3 weeks post last dose, were as follows:

15	Vaccine	Mode of Delivery	Mouse Lung Titer*		
15			Day 5	Day 7	
	V1-PR-NP	Intradermal	$5.2 \pm 0.2$	4.1 ± 1**	
	V1	Intradermal	$5.9 \pm 1$	$6.6 \pm 0.3$	
20	V1-PR-NP	Intramuscular	$4.6 \pm 0.4$	$4.5 \pm 1.1**$	
20	None		$6.2 \pm 0.3$	$5.9 \pm 0.3$	

<sup>\*</sup> Mean log titer ± SEM.

Finally, percent survival of mice was tested out to twenty eight days. By day twenty eight, of the mice receiving V1-NP-PR, 89% of the i.m. recipients and 50% of the i.d. recipients survived. None of the V1 vector and only 30% of the untreated mice survived. This experiment demonstrates that DNA encoding nucleoprotein from the A/PR/8/34 strain was able to induce CTL's that recognized the nucleoprotein from the hetereologous strain A/Victoria/73 and a protective immune response against the heterologous strain A/HK/68.

<sup>\*\*</sup> One mouse had no virus at all.

- 66 -

### EXAMPLE 10

### Polynucleotide vaccination in primates

- 1. Antibody to NP in Rhesus monkeys: Rhesus monkeys (006 NP, 009 5 NP or control 101; 021) were injected with 1 mg/site of RSV-NP i.m. in 3 sites on day 1. Injections of 1 mg each of RSV-LUX and CMV-int-LUX, constructs for the reporter gene firefly luciferase expression, were given at the same time into separate sites. Animals were reinjected on day 15 with the same amounts of DNA as before and also 10 with 1 mg of pD5-CAT, a construct for the reporter gene chloramphenical acetyl transferase expression, in 1 site each. Muscle sites containing reporter genes were biopsied and assayed for reporter gene activity. Serum was collected 3, 5, 9, 11, 13, and 15 weeks after the first injection. The first positive sample for anti-NP antibody was 15 collected at week 11 and positive samples were also collected on weeks 13 and 15. Anti-NP antibody was determined by ELISA. The results are shown in Figure 9.
- 2. Hemagglutination inhibiting (HI) antibody in rhesus monkeys:
   Monkeys were injected i.m. with V1J-PR-HA on day 1. Two animals each received 1 mg, 100 μg, or 10 μg DNA in each quadriceps muscle.
   Each injection was administered in a volume of 0.5 ml. Animals were bled prior to injection on day 1. All animals were reinjected with DNA on day 15, and blood was collected at 2-4 week intervals thereafter.
   Hemagglutination inhibition (HI) titers against A/PR/8/34 were positive at 5 weeks, 9 weeks and 12 weeks after the first injection of V1J-PR-HA DNA. Results are shown below in Table 10-I:

- 67 -

TABLE 10-I

## HI ANTIBODY TITER OF RHESUS MONKEYS RECEIVING V1J-PR-HA DNA

	RHESUS #	DOSE	HI ANTIBODY TITER AT WEEK #				<b>#</b>
			PRE	3 WK	5 WK	9 WK	12 WK
10	88-010	1 MG	<10	<10	320	320	320
	88-0200		<10	<10	<10	40	40
	88-021	100 UG	<10	<10	<10	40	20
	90-026		<10	<10	20	20	40
. 5	88-084	10 UG	<10	20	40	20	10
	90-028		<10	<10	20	<10	<10

### EXAMPLE 11

- 20 Polynucleotide vaccine studies in ferrets
  - 1. A study of polynucleotide vaccination in ferrets was initiated with the purpose of determining whether animals could be protected from influenza A infection by immunization with genes encoding either the HA (a surface protein capable of inducing strain-specific neutralizing antibody) or the interal protein NP, NSI, PBI, M (thought to induce a cell-mediated immune response that would be strain-independent). Animals were injected with DNA encoding the various influenza genes in our V1J-vector as shown:

30

5

- 68 -TABLE 11-1

	Group	Construct	Dose	No. Animals Immunized	Chall. H1N1	Chall. H3N2
_	1	V1J-HA	1000 mg	16	8	8
5	2	V1J-NP	1000 mg	16	8	8 .
	3	V1J-NP+NS1+	2000 mg	16	8	8
		PB1+PB2+M	total			
	4	V1J-HA+NP+	2000 mg	16	8	8
10		NS1+PB1+	total			
		PB2+M				
	5	V1J-	1000 mg	16	8	8
	6	None	None	10	5	5
	Total			90	45	45
15	Animals		. <u></u>		<u> </u>	

- 2. On days 22 and 43 postimmunization, serum was collected from the immunized animals and assayed for neutralizing (hemagglutination inhibiting-HI) antibodies and for antibodies to nucleoprotein (NP) by ELISA. Animals that had been injected with DNA expressed antibodies to the corresponding genes. These are reflected in the attached Figures 10, 11, and 16.
- On Day 128, selected immunized animals were challenged with 1200 TCID50 of Influenza A/HK/68. This strain is heterologous to the A/PR/8/34 strain that was the source of the coding sequences used to immunize and therefore protection indicates immunity based on cell-mediated, strain-independent immune mechanisms. As shown in the attached Figure 12, a statistically significant reduction in viral shedding compared to controls was seen in animals immunized with DNA encoding internal proteins, confirming that polynucleotide immunization in ferrets is capable of eliciting an immune response and that such responses are protective.

10

15

25

4. A homologous challenge using A/PR/8/34 is similarly tested and the protective efficacy of neutralizing antibody induced by polynucleotide vaccination is demonstrated similarly.

### **EXAMPLE 12**

### PRODUCTION OF VIJns

An Sfi I site was added to V1Jneo to facilitate integration studies. A commercially available 13 base pair Sfi I linker (New England BioLabs) was added at the Kpn I site within the BGH sequence of the vector. V1Jneo was linearized with Kpn I, gel purified, blunted by T4 DNA polymerase, and ligated to the blunt Sfi I linker. Clonal isolates were chosen by restriction mapping and verified by sequencing through the linker. The new vector was designated V1Jns (Figure 17). Expression of heterologous genes in V1Jns (with Sfi I) was comparable to expression of the same genes in V1Jneo (with Kpn I).

### **EXAMPLE 13**

### **IMMUNOGENICITY**

### 1. Humoral Immune Responses

Injection of DNA encoding influenza HA, NP and M1 has resulted in humoral immune responses in mice, ferrets or non-human primates (including African green monkeys and Rhesus monkeys). To date, PNVs containing HA genes cloned from the A/PR/34, B/Panama/90, A/Beijing/89, A/Texas/91, A/Hawaii/91, and A/Georgia/93 strains of influenza virus have been shown to generate antibodies.

a) Mice: Antibodies to NP and M1 were detected by ELISA in sera from mice after injection of DNA. Substantial antibody titers (10<sup>4</sup> - 10<sup>6</sup>) were generated with as low as 1 μg of NP DNA (A/PR/34) administered once (the smallest dose tested), arose as soon as 2 weeks after injection (the earliest time point tested), and

- 70 -

have not decreased for at least 6 months after injection. These NP antibodies are not neutralizing and do not participate in protection. They do, however, demonstrate NP protein expression *in vivo* after DNA injection. In contrast, antibodies to HA do provide protective immunity against the homologous strain of influenza virus. Injection of HA DNA cloned from the A/PR/34 strain resulted in the production of neutralizing antibodies, as measured *in vitro* by a hemagglutination inhibition (HI) assay. HI titers  $\geq$  1280 were measured in many mice given three doses of 100 µg of HA DNA, and detectable titers were seen in some animals that had received a little as two doses of 0.1 µg. There was a dose-response relationship between HI titer and DNA dose, as well as HI titer and number of injections (Table 13-I):

Table 13-I

Generation of Humoral Immune Responses in Mice

10

15

30

20		GMT HI # doses			
20					
	dose (μg)	1	2	3	
25	HA DNA (100)	75	106	260	
	HA DNA (10)	37	69	86	
	HA DNA (1)	<10a	13	24	
	HA DNA (0.1)	<10b	<10a	<10a	
	Control DNA (100)	<10 <sup>b</sup>	<10 <sup>b</sup>	<10 <sup>b</sup>	
	uninjected	<10 <sup>b</sup>			

Table 13-I: Female BALB/c mice (4-6 weeks) were injected with A/PR/34 HA DNA (V1JHA) at the indicated doses either once, twice or three times at three week intervals. Negative controls included mice injected with control DNA consisting of the vector without a gene insert

(VIJ) and naive, uninjected mice. Serum samples were collected at seven weeks post-dose one and analyzed for the presence of hemagglutination inhibition (HI) antibodies. The data is represented as geometric mean HI titer where n=10.

<sup>5</sup> a some of the mice tested positive for HI titer.

b all of the mice.

In every mouse tested, the presence of HI antibodies correlated with protection in a homologous challenge model. HI antibody responses in mice injected with HA DNA (A/PR/34) have remained essentially unchanged for at least six months. HA antibodies, as measured by ELISA, have also been generated in mice using HA DNA from A/Beijing/89, B/Panama/90, and A/Texas/91 strains of influenza virus.

Based on a report in the literature that demonstrated lower reporter gene expression in older mice after injection of DNA, the effect of age on humoral immune responses to HA was tested.

Due to the lack of availability of senescent virgin female mice, retired breeders of approximately 10 months of age were used. Retired breeders and 4-6 week-old virgin mice were compared for their ability to generate antibodies to HA. The older mice were able to generate HA antibodies after injection of HA DNA at doses as low as 1 μg (the lowest dose tested), albeit lower in titer than in the younger mice (Table 13-II):

- 72 -

Table 13-II

Effect of Age on Humoral Immune Responses

5			GMT	GMT
3	inoculum	dose (μg)	(4-6 wk)	(10 mo)
	HA DNA	100	1034	110
	HA DNA	10	338	68
10	HA DNA	1	80	20
	Control DNA	100	<5a	<5ª
	Uninjected	-	<5ª	<5ª
	Flu	-	538	36

Table 13-II: Female BALB/c mice (4-6 week virgins and 10-month retired breeders) were injected with A/PR/34 HA DNA (V1JHA) at the indicated doses three times at three week intervals. Negative controls included mice injected with control DNA (V1J) and naive, uninjected mice. For comparison, other mice were infected with a sublethal dose of influenza A/PR/34. Serum samples were collected at nine weeks post-dose one and analyzed for HI titer. Data is represented as geometric mean HI titer where n=15.

a all mice tested negative for HI titer

25

30

However, this was not a result of the PNV itself, but rather a diminished capacity in the older mice to generate humoral immune responses in general since older mice also exhibited lower HI responses than younger mice after infection with live A/PR/34 virus. In fact, the HI antibodies appeared to be less depressed in DNA-vaccinated aged mice than in influenza-infected aged mice. Moreover, the retired breeders used in these studies were approximately 50% heavier than typical virgins of the same age,

- 73 -

which based on studies of others using calorie-restricted diets in mice could have had a detrimental effect on the immune responses of these animals. For this and other reasons, the immune responses of these mice may not be representative. Nevertheless, age (up to at least 10 months) does not appear to have significantly reduced the ability of polynucleotide vaccination to induce humoral immune responses, even at doses of as low as 1  $\mu g$ .

- b) Ferrets: Humoral immune responses have been generated in ferrets injected with HA DNA from the A/PR/34, A/Beijing/89, A/Hawaii/91 and A/Georgia/93 strains of influenza virus. HI antibodies against A/PR/34 and ELISA antibodies against HAs from the other strains were elicited by the appropriate PNV. Sera from ferrets injected with A/Beijing/89, A/Hawaii/91, and A/Georgia/93 HA DNAs are found to have HI antibodies and neutralizing antibodies, since these animals were protected from virus challenge.
- c) Non-Human Primates: (See also Example 10 above).
   Rhesus monkeys were immunized twice with HA DNA (A/PR/34) at doses of 10, 100, and 1000 μg per leg. HI titers of up to 320 were measured in animals that had received 100 or 1000 μg doses, and one of the two 10 μg-dose monkeys had an HI of 80. So far, sera have been assayed out to 13 months; HI titers did not decline appreciably from 6-13 months (Table 13-III):

5

Table 13-III

Generation of HI Antibodies in Rhesus Monkeys

5	dose (µg)	рге	1 mo	2 mo	5.5 mo	13 mo
	2000	<10	640	320	160	80
	2000	<10	40	40	20	20
10	200	<10	80	40	40	80
	200	<10	80	80	40	20
	20	<10	40	20	20	20
	20	<10	<10	<10	<10	<10

Table 13-III: Rhesus monkeys (both male and female) ranging in size from 4.3 to 8.8 kg were injected with A/PR/34 HA DNA (V1JHA) at the indicated doses at 0 and 2 weeks. Serum samples were collected at the indicated times post-dose one and analyzed for HI titer. The data represent HI titers for individual animals.

20

25

30

In African green monkeys injected with a combination PNV containing 100  $\mu$ g HA DNA (A/Beijing/89), evaluation of sera 4-6 weeks post-dose 1 showed a GMT of 29 (8/9 responding). This compares favorably with responses to both licensed subvirion vaccine (GMT of 16, 5/6 responding) and licensed whole virion vaccine (GMT=36, 6/6 responding) at the same time point (Figure 18). A marked booster effect of the second immunization was seen in animals receiving a 10- $\mu$ g dose of HA DNA (GMT of 1.9 after 1 dose and 199 after two doses). Licensed whole virion vaccine demonstrated a similar boosting effect, whereas HI titers produced by the second dose of subvirion vaccine were only transient. To date, similar levels of HI antibodies have been measured out to 18 weeks in animals immunized with both 10  $\mu$ g and 100  $\mu$ g doses of HA DNA compared to the best licensed

10

25

30

vaccine (whole virion). These results demonstrate that the PNV was as least as effective in generating neutralizing antibodies as whole virion vaccine and superior to subvirion vaccine. Purified subunit vaccines were not detectably immunogenic in mice; hence they were not tested in non-human primates. In this study, the PNV contained a 5-valent cocktail of DNAs encoding HA from A/Beijing/89, B/Panama/90 and A/Texas/91, and NP and M1 from A/PR/34, in order to resemble a candidate vaccine. We have also tested these animals for the generation of humoral immune responses against the other components of the vaccine and have detected antibodies to B/Panama/90 HA and A/PR/34 NP. In a separate experiment, both HI and neutralizing antibodies against A/Texas/91 HA were induced by injection of two doses of PCR-cloned HA DNA.

# 2. Cell-Mediated Immune Responses

See Example 3 above.

## 3. Generation of Immune Responses

a) Humoral Immunity: The events leading to the production of humoral and cell-mediated immune responses after injection of DNA have not yet been elucidated. To engender neutralizing antibodies (e.g., against influenza virus HA), it is likely that cells must express the antigen on the plasma membrane or secrete it into the extracellular milieu. In addition, transfected cells should express HA with secondary, tertiary and quaternary structure similar to that in the virion. In a rosetting assay, cell surface expression of HA was demonstrated in RD cells (rhabdomyosarcoma; myoblast origin) transiently transfected with HA DNA. Red blood cells agglutinated to the surface of HA transfected cells but not mock-transfected cells, indicating that HA was not only expressed on the surface but also had retained the

15

20

30

proper conformation for binding to sialic acid-containing proteins.

b) Cell-Mediated Immunity: The generation of cellmediated immune responses (e.g., against influenza virus NP) requires proteolytic processing and presentation of peptides derived therefrom in association with MHC class I. The nature of the antigen presenting cell leading to the generation of immune responses after injection of DNA is not yet known. Muscle cells express low levels of MHC class I and are not thought to express costimulatory molecules on their surfaces. Therefore, muscle cells are not generally considered to be antigen presenting cells. However, several lines of evidence suggest that muscle cells are involved in the generation of immune responses after i.m. injection of DNA. First, a limited survey of the tissues capable of internalizing naked plasmid DNA leading to protein expression in situ demonstrated that many cell types can express reporter genes when the plasmid is injected directly into the tissue, but substantially less efficiently than muscle cells. A complete analysis of the uptake of DNA by non-muscle cells after i.m. injection has not yet been reported, but it is likely that uptake would be even less efficient. Second, expression of reporter genes after i.m. injection of DNA has been demonstrated in skeletal and cardiac muscle cells in many different species. Third, although CTL responses can be generated after injection of DNA via other routes (i.v. and i.d.), the best protective immune responses in mice were elicited after i.m. injection of DNA. Fourth, myoblasts and myocytes can be recognized and lysed by CTL in vitro and this lysis can by enhanced by pretreatment with  $\gamma$ -interferon, which upregulates MHC class I expression. Finally, transplantation of stably transfected, NP-expressing myoblasts into naive, syngeneic mice resulted in the generation of protective cell-mediated immune responses in vivo (Figure 20). Therefore, expression of antigens by muscle cells is sufficient to induce the protective immune responses seen after DNA injection. Furthermore,

15

20

30

uptake and expression of DNA by non-muscle cells may not be required to account for the generation of protective immunity. From the standpoint of polynucleotides as vaccines, it would be potentially advantageous to limit DNA uptake to muscle cells. First, myocytes are terminally differentiated and do not divide. This could be important for reducing the possibility of integration of plasmid DNA into chromosomal DNA and maintaining a persistent expression of antigen, which could lead to long-lived immune responses. Second, myocytes are large, multinucleate cells that can be regenerated by fusion of myoblasts. This may 10 help to explain why injection of DNA leads to protein expression that can potentially persist for long periods of time without evidence of cytolytic destruction by CTL.

## **EXAMPLE 14**

## Protection Studies

Immunization with DNA encoding influenza virus antigens provided protection from death and disease, and reduced viral burdens, in a variety of combinations of influenza strains, using two widely accepted animal models for human influenza infection (mice and ferrets).

- 1. Heterologous (heterotypic, group-common) protection is not provided efficiently by the licensed killed virus vaccine but was 25 provided by DNA vaccination in animal models. This protection was demonstrated when DNA that encoded NP or M1 was injected into laboratory animals. The cross-reactive cell-mediated immune (CMI) response induced by vaccination with these DNAs provided a protective response.
  - a. Mice: BALB/c and C3H mice injected i.m. with DNA coding for NP from A/PR/34 were protected from death and from disease (assessed by reduction in body weight) when challenged by total respiratory tract infection with an LD90 of A/Hong Kong/68 (H3N2), a

5

10

15

20

25

30

- 78 -

heterotypic strain (H3 vs H1 for A/PR/34). Figure 21 shows the survival of BALB/c mice immunized 3 times with NP DNA (200 µg/dose) at 3-week intervals and challenged 3 weeks after the last immunization. Figure 22 shows the inhibition of weight loss after challenge in immunized mice compared with the severe weight loss experienced by control mice. Figure 23 shows the reduction in viral burden in the lung 7 days after after upper respiratory tract challenge of mice immunized with NP DNA compared with mice given control noncoding DNA. Mice immunized with NP DNA were completely protected from death, experienced reduced weight loss, and showed lower viral burden in the lungs when compared with control mice. The amount of NP DNA required to protect mice from death and weight loss was found to be ≤6.25 µg per injection when 3 injections of DNA were given (Figure 24). The protection produced by immunization with NP DNA was found to persist essentially unchanged for at least 3 months in immunized mice. The level of protection persisted to 6 months, but declined slightly between 3 and 6 months after the last immunization. However revaccination with a single injection of NP DNA at 22 weeks, 3 weeks prior to challenge at 25 weeks, restored full protection (Figure 25). Thus immunization of mice with NP DNA produced a long-lasting, boostable, heterologous protection. The ability to generate an anamnestic response upon revaccination of these animals suggests that immunological memory was induced by the NP DNA immunization.

b. Ferrets: Ferrets are a commonly used model for human influenza infection because they are susceptible to infection with a wide variety of human isolates of influenza virus. Virus replication in the ferret occurs predominantly in the nares and trachea and to a much lesser extent in the lung, in contrast to mice in which the viral burden in the lung is large. Infection in the ferret is followed most readily by titration of virus in nasal wash fluid. Ferrets immunized with NP DNA or M1 DNA, singly or in combination, from a strain recently obtained from humans (A/Beijing/89, H3N2), exhibited significantly reduced

10

viral shedding on days 1-6 upon challenge with a field isolate, A/Georgia/93 (H3N2) (Figure 26). This field isolate exhibited antigenic drift from the A/Beijing/89 type strain such that the A/Beijing/89 licensed vaccine offered little or no protection of humans against disease caused by A/Georgia/93. Ferrets immunized with DNA encoding internal proteins of A/PR/34 exhibited significantly reduced nasal viral shedding on days 5 and 6 after infection with the homotypic strain, A/PR/34 (Figure 27). Reduction in viral shedding was seen after A/Georgia/93 challenge at both early and late time points, while late reduction in shedding was observed after A/PR/34 challenge; this may be due to a difference in virulence for ferrets between the two strains.

- 2. <u>Homologous</u> (homotypic, type-specific) protection was demonstrated readily in both mice and ferrets imunized with HA DNA.
- a. Mice: BALB/c mice immunized with HA DNA (A/PR/34) were fully protected against challenge with an LD90 of A/PR/34. Immunized mice experienced neither death (Figure 28) nor loss of more than 5% of body weight (Figure 29) after challenge, while 90-100% of control mice died and experienced severe weight loss. Titration of the dose of HA DNA required to achieve protection showed that three injections of 1 μg HA DNA was sufficient to achieve full protection (Figure 30).
- b. Ferrets: Ferrets that had been immunized with DNA that coded for the HA from A/PR/34 had significantly lower viral shedding on days 1-6 after homologous challenge infection than ferrets given control DNA (Figure 31). Similarly, ferrets that had been immunized with A/Georgia/93 HA DNA had reduced viral shedding on days 1 and 3-7 after homologous infection (Figure 32). HI antibodies were present against the appropriate strains in sera from all of the immunized ferrets (vide supra). Thus immunization with HA DNA produces homologous protection.

5

10

15

20

25

30

- 80 -

3. <u>Vaccine combinations</u>: The ability of HA DNA to provide superior breadth of protection when combined with NP and M1 DNAs was examined in ferrets.

a. Breadth of protection against antigenic drift variants: The antigenic drift that occurred between the A/Beijing/89 and A/Beijing/92 strains was of sufficient magnitude that many humans immunized with the licensed vaccine containing the A/Beijing/89 strain were not protected against disease caused by the A/Beijing/92 variant. In North America, widespread disease was caused by A/Beijing/92-like field isolates, for example, A/Georgia/93. The North American field isolates are antigenically similar to the type strain, A/Beijing/92, but differ in their geographic site of isolation, and in their passage history in that they were passaged in mammalian cell culture rather than in eggs. In terms of the amino acid sequence of HA, however, the A/Beijing/92-like strains differed from the A/Beijing/89-like strains by only 11 point mutations (positions 133, 135, 145, 156, 157, 186, 190, 191, 193, 226, and 262) in the HA1 region. We therefore sought to determine whether combining the homotypic immune responses induced by HA DNA with cross-reactive CMI responses induced by NP and M1 DNA would provide a greater degree of protection against this antigenic drift variant. Immunization of ferrets with licensed vaccine containing the A/Beijing/89 strain, or with HA DNA from A/Beijing/89 or a Beijing-89-like field isolate (A/Hawaii/91) gave a reduction in viral shedding when ferrets were challenged with A/Georgia/93 (Figure 33). Ferrets that were immunized with a combination PNV containing NP, M1 and HA DNAs had significantly lower virus shedding than ferrets immunized with licensed product, or with HA DNA alone (Figure 34). In the case of the A/Hawaii/91 HA DNA combined with A/Beijing/89 NP and M1 DNAs, the resulting protection was not significantly different from the maximal protection provided by the homologous A/Georgia/93 HA DNA (Figure 35). Thus combining HA, NP and M1 DNA's gave improved protection against an antigenic drift variant compared with the licensed vaccine.

10

15

20

25

30

b. Effect of passage history of the vaccine antigen: Ferrets that had been immunized with a vaccine consisting of the HA DNA sequence derived from a U.S. field isolate that had been passed in MDCK cells in tissue culture (A/Hawaii/91) experienced less (p=0.021 by two-way ANOVA) viral shedding than ferrets given the licensed killed virus vaccine containing the egg-passaged A/Beijing/89 strain, when challenged with the antigenically drifted strain A/Georgia/93 (Figure 33). In contrast, ferrets given HA DNA from A/Beijing/89 did not experience significantly different viral shedding (p=0.058) after A/Georgia/93 challenge from ferrets given the licensed vaccine containing the identical virus. The egg and mammalian cell-grown strains differ by two point mutations in the HA1 region of HA (positions 186 and 193), both of which are located in antigenic site B, close to the apex of the HA monomer in a region thought to be important for the binding of HI and neutralizing antibodies. In some instances, the ability of some human influenza isolates to bind to chicken RBC is initially very low but is increased by successive passages in eggs, suggesting that the receptor binding region of the HA may undergo substantial selection by growth in avian cells. The effect of small sequence variations on the efficacy of HA-based influenza vaccines in laboratory animals underscores the potential importance of remaining as close as possible to the sequence of the wild-type virus in preparing such vaccines.

c. Nonhuman primates: Nonhuman primates are not commonly used for influenza challenge models due to their lack of a clinical response to infection. However, we have investigated the immunogenicity of the PNV vaccine combinations in nonhuman primates in comparison with the licensed killed virus vaccines. The antibody titers elicited by the combination PNV containing the HA and internal protein genes were at least equivalent to the licensed product in terms of HI antibody titer and duration of response (vide supra). African Green Monkeys that had been immunized with PNV responded to an HA PNV for an antigenic drift variant, showing that type-specific responses to PNV can be generated in previously immunized subjects.

- 82 -

Monkeys that were immunized with PNV also responded to subsequent immunization with conventional killed-virus vaccine.

4. <u>Conclusion</u>: Polynucleotide vaccines against influenza are efficacious in laboratory animal models of influenza infection. Homologous protection can be achieved using DNA vectors encoding HA, most likely by an immunological mechanism analogous to that induced by the HA protein used in the current licensed influenza vaccine. Heterologous protection can be achieved against both antigenically shifted and drifted strains by also including DNA encoding conserved internal proteins of influenza. Combination of these approaches in a single immunization yields improved protection against antigenic drift variants in comparison with the currently licensed vaccine in the ferret model.

## **EXAMPLE 15**

## 15 <u>Vector V1R Preparation</u>

5

10

20

25

30

In an effort to continue to optimize our basic vaccination vector, we prepared a derivative of V1Jns which was designated as V1R. The purpose for this vector construction was to obtain a minimum-sized vaccine vector, i.e., without unnecessary DNA sequences, which still retained the overall optimized heterologous gene expression characteristics and high plasmid yields that V1J and V1Jns afford. We determined from the literature as well as by experiment that (1) regions within the pUC backbone comprising the <u>E. coli</u> origin of replication could be removed without affecting plasmid yield from bacteria; (2) the 3'-region of the kan<sup>r</sup> gene following the kanamycin open reading frame could be removed if a bacterial terminator was inserted in its stead; and, (3) ~300 bp from the 3'- half of the BGH terminator could be removed without affecting its regulatory function (following the original KpnI restriction enzyme site within the BGH element).

V1R was constructed by using PCR to synthesize three segments of DNA from V1Jns representing the CMVintA promoter/BGH terminator, origin of replication, and kanamycin resistance elements, respectively. Restriction enzymes unique for each segment were added to each segment end using the PCR oligomers: Sspl and Xhol for CMVintA/BGH; EcoRV and BamHI for the *kan* r gene; and, BcII and SalI for the *ori* r. These enzyme sites were chosen because they allow directional

10

15

20

25

30

ligation of each of the PCR-derived DNA segments with subsequent loss of each site: EcoRV and Sspl leave blunt-ended DNAs which are compatible for ligation while BamHI and BcII leave complementary overhangs as do Sall and XhoI. After obtaining these segments by PCR each segment was digested with the appropriate restriction enzymes indicated above and then ligated together in a single reaction mixture containing all three DNA segments. The 5'-end of the *ori* r was designed to include the T2 rho independent terminator sequence that is normally found in this region so that it could provide termination information for the kanamycin resistance gene. The ligated product was confirmed by restriction enzyme digestion (>8 enzymes) as well as by DNA sequencing of the ligation junctions. DNA plasmid yields and heterologous expression using viral genes within V1R appear similar to V1Jns. The net reduction in vector size achieved was 1346 bp (V1Jns = 4.86 kb; V1R = 3.52 kb), see figure 36, SEQ.ID:45:.

PCR oligomer sequences used to synthesize V1R (restriction enzyme sites are underlined and identified in brackets following sequence):

- (1) 5'-GGT ACA <u>AAT ATT</u> GG CTA TTG GCC ATT GCA TAC G-3' [Sspl], SEQ.ID:60:,
- (2) 5'-CCA CAT CTC GAG GAA CCG GGT CAA TTC TTC AGC ACC-3' [Xhol], SEQ.ID:61:

(for CMVintA/BGH segment)

- (3) 5'-GGT ACA <u>GAT ATC</u> GGA AAG CCA CGT TGT GTC TCA AAA TC-3'[EcoRV], SEQ.ID:62:
- (4) 5'-CCA CAT <u>GGA TCC</u> G TAA TGC TCT GCC AGT GTT ACA ACC-3' [BamHI], SEQ.ID:63:

(for kanamycin resistance gene segment)

- (5) 5'-GGT ACA <u>IGA TCA</u> CGT AGA AAA GAT CAA AGG ATC TTC TTG-3'[Bcll], SEQ.ID:64:,
- (6) 5'-CCA CAT GTC GAC CC GTA AAA AGG CCG CGT TGC TGG-3' [Sail], SEQ.ID:32:
  - (for E. coli origin of replication)

10

25

- 84 -

#### SEQUENCE LISTING

```
(1) GENERAL INFORMATION:
```

(i) APPLICANT: Donnelly, John J Dwarki, Varavani J Liu, Margaret A Montgomery, Donna L Parker, Suezanne E Shiver, John W

(ii) TITLE OF INVENTION: Nucleic Acid Pharmaceuticals

(iii) NUMBER OF SEQUENCES: 64

(iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Merck & Co., Inc.

(B) STREET: P.O. Box 2000

(C) CITY: Rahway

(D) STATE: New Jersey

(E) COUNTRY: United States of America

(F) ZIP: 07065

(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Ploppy disk

(B) COMPUTER: IBM PC compatible (C) OPERATING SYSTEM: PC-DOS/MS-DOS 15

(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER:

(B) FILING DATE:

(C) CLASSIFICATION:

(vii) PRIOR APPLICATION DATA: 20

(A) APPLICATION NUMBER: US 08/032,383

(B) FILING DATE: 18-MAR-1993

(vii) PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER: US 08/089,985

(B) FILING DATE: 08-JUL-1993

(viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: Bencen, Gerard H

(B) REGISTRATION NUMBER: 35,746

(C) REPERENCE/DOCKET NUMBER: 18972Y

(ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: (908)594-3901

(B) TELEFAX: (908)594-4720

(2) INFORMATION FOR SEQ ID NO:1: 30

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 18 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: single

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: cDNA

	(111) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
-	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:	
5	CTGTGCACCT CAAGCTGG	18
	(2) INFORMATION FOR SEQ ID NO:2:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 23 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	•	
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:	
	CCCTTTGAGA ATCTTGCACA TTC	23
	(2) INFORMATION FOR SEQ ID NO:3:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
25		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:	
	GGTACAAGAT CTACCATGCT TCTAACCGAG GTC	33
	(2) INFORMATION FOR SEQ ID NO:4:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	

liv	1 1	NTT	-SENSE:	YES

	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:	
	CCACATAGAT CTTCACTTGA ACCGTTGCAT CTGCAC	36
5	(2) INFORMATION FOR SEQ ID NO:5:	
ı	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 23 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
10	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	

## (xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

#### 15 CTATATAAGC AGAGCTCGTT TAG

(iv) ANTI-SENSE: NO

23

- (2) INFORMATION FOR SEQ ID NO:6:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 30 base pairs
    - (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear 20
  - (iii) HYPOTHETICAL: NO

(ii) MOLECULE TYPE: cDNA

- (iv) ANTI-SENSE: YES
- 25 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

#### GTAGCAAAGA TCTAAGGACG GTGACTGCAG

30

- (2) INFORMATION FOR SEQ ID NO:7:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 39 base pairs (B) TYPE: nucleic acid

30

- (C) STRANDEDNESS: single (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- (iii) HYPOTHETICAL: NO
- (iv) ANTI-SENSE: NO

	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:	
	GTATGTGTCT-GAAAATGAGC GTGGAGATTG GGCTCGCAC	39
	(2) INFORMATION FOR SEQ ID NO:8:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 39 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: CDNA	
10	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: YES	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:	
	GTOCGAGCCC AATCTCCACG CTCATTTTCA GACACATAC	39
15	(2) INFORMATION FOR SEQ ID NO:9:	
•	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 9 amino acids  (B) TYPE: amino acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
20	(ii) MOLECULE TYPE: peptide	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(v) FRAGMENT TYPE: internal	
25	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:	
	Thr Tyr Gln Arg Thr Arg Ala Leu Val	
	(2) INFORMATION FOR SEQ ID NO:10:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 4432 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	

(ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: NO

### (iv) ANTI-SENSE: NO

### (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

	TCGCGCGTTT	CGGTGATGAC	GGTGAAAACC	TCTGACACAT	GCAGCTCCCG	GAGACGGTCA	60
5	CACCTTGTCT	GTAAGCGGAT	GCCGGGAGCA	GACAAGCCCG	TCAGGGCGCG	TCAGCGGGTG	120
	TTGGCGGGTG	TCGGGGCTGG	CTTAACTATG	CGGCATCAGA	<b>GCAGATTGTA</b>	CTGAGAGTGC	180
	ACCATATGCG	GTGTGAAATA	CCGCACAGAT	GCGTAAGGAG	AAAATACCGC	ATCAGATTGG	240
	CTATTGGCCA	TTGCATACGT	TGTATCCATA	TCATAATATG	TACATTTATA	TTGGCTCATG	300
	TCCAACATTA	CCGCCATGTT	GACATTGATT	ATTGACTAGT	TATTAATAGT	AATCAATTAC	360
10	GGGGTCATTA	GTTCATAGCC	CATATATGGA	GTTCCGCGTT	ACATAACTTA	CGGTAAATGG	420
	CCCCCCTGGC	TGACCGCCCA	ACGACCCCCG	CCCATTGACG	TCAATAATGA	CGTATGTTCC	480
	CATAGTAACG	CCAATAGGGA	CTTTCCATTG	ACGTCAATGG	GTGGAGTATT	TACGGTAAAC	540
	TGCCCACTTG	GCAGTACATC	AAGTGTATCA	TATGCCAAGT	ACGCCCCCTA	TTGACGTCAA	600
15	TGACGGTAAA	TGGCCCGCCT	GGCATTATGC	CCAGTACATG	ACCTTATGGG	ACTTTCCTAC	660
	TTGGCAGTAC	ATCTACGTAT	TAGTCATCGC	TATTACCATG	GTGATGCGGT	TTTGGCAGTA	720
	CATCAATGGG	CGTGGATAGC	GGTTTGACTC	ACGGGGATTT	CCAAGTCTCC	ACCCCATTGA	780
	CGTCAATGGG	AGTTTGTTTT	GGCACCAAAA	TCAACGGGAC	TTTCCAAAAT	GTCGTAACAA	840
٠	CTCCGCCCCA	TTGACGCAAA	TGGGCGGTAG	GCGTGTACGG	TGGGAGGTCT	ATATAAGCAG	900
20	AGCTCGTTTA	GTGAACCGTC	AGATCGCCTG	GAGACCCCAT	CCACGCTGTT	TTGACCTCCA	960
	TAGAAGACAC	CGGGACCGAT	CCAGCCTCCG	CGGCCGGGAA	CGGTGCATTG	GAACGCGGAT	1020
	TCCCCGTGCC	AAGAGTGACG	TAAGTACCGC	CTATAGAGTC	TATAGGCCCA	CCCCCTTGGC	1080
	TTCTTATGCA	TGCTATACTG	TTTTTGGCTT	GGGGTCTATA	CACCCCCCCT	TCCTCATGTT	1140
25	ATAGGTGATG	GTATAGCTTA	GCCTATAGGT	CTCCCTTATT	GACCATTATT	GACCACTCCC	1200
	CTATTGGTGA	CGATACTTTC	CATTACTAAT	CCATAACATG	CCTCTTTCCC	ACAACTCTCT	1260
	TTATTGGCTA	TATGCCAATA	CACTGTCCTT	CAGAGACTGA	CACGGACTCT	GTATTTTTAC	1320
	AGGATGGGGT	CTCATTTATT	ATTTACAAAT	TCACATATAC	AACACCACCG	TCCCCAGTGC	1380
	CCGCAGTTTT	TATTAAACAT	AACGTGGGAT	CTCCACGCGA	ATCTCGGGTA	CGTCTTCCGG	1440
30	ACATGGGCTC	TTCTCCGGTA	GCGGCGGAGC	TTCTACATCC	CACCCCTCCT	CCCATGCCTC	1500
	CAGCGACTCA	TGGTCGCTCG	GCAGCTCCTT	GCTCCTAACA	GTGGAGGCCA	GACTTAGGCA	1560
	CAGCACGATG	CCCACCACCA	CCAGTGTGCC	GCACAAGGCC	GTGGCGGTAG	GGTATGTGTC	1620
	TGAAAATGAG	CTCGGGGAGC	GGGCTTGCAC	CGCTGACGCA	TTTGGAAGAC	TTAAGGCAGC	1680

	GGCAGAAGAA GATGCAGGCA GCTGAGTTGT TGTGTTCTGA TAAGAGTCAG AGGTAACTCC	1740
	CGTTGCGGTG CTGTTAACGG TGGAGGGCAG TGTAGTCTGA GCAGTACTCG TTGCTGCCGC	1800
	GCGCGCCACC AGACATAATA GCTGACAGAC TAACAGACTG TTCCTTTCCA TGGGTCTTTT	1860
	CTOCAGTCAC CGTCCTTAGA TCTGCTGTGC CTTCTAGTTG CCAGCCATCT GTTGTTTGCC	1920
5	CCTCCCCCGT GCCTTCCTTG ACCCTGGAAG GTGCCACTCC CACTGTCCTT TCCTAATAAA	1980
	ATGAGGAAAT TGCATCGCAT TGTCTGAGTA GGTGTCATTC TATTCTGGGG GGTGGGGTGG	2040
	GGCAGCACAG CAAGGGGGAG GATTGGGAAG ACAATAGCAG GCATGCTGGG GATGCGGTGG	2100
•	GCTCTATGGG TACCCAGGTG CTGAAGAATT GACCCGGTTC CTCCTGGGCC AGAAAGAAGC	2160
	AGGCACATCC CCTTCTCTGT GACACACCCT GTCCACGCCC CTGGTTCTTA GTTCCAGCCC	2220
10	CACTCATAGG ACACTCATAG CTCAGGAGGG CTCCGCCTTC AATCCCACCC GCTAAAGTAC	2280
	TTGGAGCGGT CTCTCCCTCC CTCATCAGCC CACCAAACCA AACCTAGCCT CCAAGAGTGG	2340
	GAAGAAATTA AAGCAAGATA GGCTATTAAG TGCAGAGGGA GAGAAAATGC CTCCAACATG	2400
	TGAGGAAGTA ATGAGAGAAA TCATAGAATT TCTTCCGCTT CCTCGCTCAC TGACTCGCTG	2460
15	CGCTCGGTCG TTCGGCTGCG GCGAGCGGTA TCAGCTCACT CAAAGGCCGGT AATACGGTTA	2520
	TCCACAGAAT CAGGGGATAA CGCAGGAAAG AACATGTGAG CAAAAAGGCCA GCAAAAGGCC	2580
	AGGAACCGTA AAAAGGCCGC GTTGCTGGCG TTTTTCCATA GGCTCCGCCC CCCTGACGAG	2640
	CATCACAAAA ATCGACGCTC AAGTCAGAGG TGGCGAAACC CGACAGGACT ATAAAGATAC	2700
	CAGGCGTTTC CCCCTGGAAG CTCCCTCGTG CGCTCTCCTG TTCCGACCCT GCCGCTTACC	2760
20	GGATACCTGT CCGCCTTTCT CCCTTCGGGA AGCGTGGCGC TTTCTCAATG CTCACGCTGT	2820
	AGGTATCTCA GTTCGGTGTA GGTCGTTCGC TCCAAGCTGG GCTGTGTGCA CGAACCCCCC	2880
	GTTCAGCCCG ACCGCTGCGC CTTATCCGGT AACTATCGTC TTGAGTCCAA CCCGGTAAGA	2940
	CACGACTTAT CGCCACTGGC AGCAGCCACT GGTAACAGGA TTAGCAGAGC GAGGTATGTA	3000
25	GGCGGTGCTA CAGAGTTCTT GAAGTGGTGG CCTAACTACG OCTACACTAG AAGGACAGTA	3060
	TTTGGTATCT GCGCTCTGCT GAAGCCAGTT ACCTTCGGAA AAAGAGTTGG TAGCTCTTGA	3120
	TCCGGCAAAC AAACCACCGC TGGTAGCGGT GGTTTTTTTG TTTGCAAGCA GCAGATTACG	3180
	CGCAGAAAAA AAGGATCTCA AGAAGATCCT TTGATCTTTT CTACGGGGTC TGACGCTCAG	3240
	TGGAACGAAA ACTCACGTTA AGGGATTTTG GTCATGAGAT TATCAAAAAG GATCTTCACC	3300
30	TAGATCCTTT TAAATTAAAA ATGAAGTTTT AAATCAATCT AAAGTATATA TGAGTAAACT	3360
	TGGTCTGACA GTTACCAATG CTTAATCAGT GAGGCACCTA TCTCAGCGAT CTGTCTATTT	3420
	CGTTCATCCA TAGTTGCCTG ACTCCCCGTC GTGTAGATAA CTACGATACG GGAGGGCTTA	3480
	CCATCTGGCC CCAGTGCTGC AATGATACCG CGAGACCCAC GCTCACCGGC TCCAGATTTA	3540

- 90 -

	TCAGCAATAA	ACCAGCCAGC	CGGAAGGGCC	GAGCGCAGAA	CTCCTCC	AACTTTATCC	3600
	GCCTCCATCC	AGTCTATTAA	TTCTTCCCCC	GAAGCTAGAG	TAAGTAGTTC	OCCAGTTAAT	3660
	AGTTTGCGCA	ACGTTGTTGC	CATTOCTACA	GGCATCGTGG	TGTCACGCTC	GTCGTTTGGT	3720
	ATGGCTTCAT	TCAGCTCCGG	TTCCCAACGA	TCAAGGCGAG	TTACATGATC	CCCCATGTTG	3780
5	TGCAAAAAAG	CGGTTAGCTC	CTTCGCTCCT	CCGATCGTTG	TCAGAAGTAA	GTTGGCCGCA	3840
	GTGTTATCAC	TCATGGTTAT	GGCAGCACTG	CATAATTCTC	TTACTGTCAT	CCCATCCCTA	3900
	AGATGCTTTT	CTGTGACTGG	TGAGTACTCA	ACCAAGTCAT	TCTGAGAATA	GTGTATGCGG	3960
	CGACCGAGTT	CCTCTTCCCC	GGCGTCAATA	CGGGATAATA	CCGCGCCACA	TAGCAGAACT	4020
	TTAAAAGTCC	TCATCATTGG	AAAACGTTCT	TCGGGGCGAA	AACTCTCAAG	GATCTTACCG	4080
10	CTGTTGAGAT	CCACTTCGAT	GTAACCCACT	CGTGCACCCA	ACTGATCTTC	AGCATCTTTT	4140
	ACTITCACCA	GCGTTTCTGG	GTGAGCAAAA	ACAGGAAGGC	AAAATGCCGC	AAAAAAGGGA	4200
	ATAAGGGCGA	CACGGAAATG	TTGAATACTC	ATACTCTTCC	TTTTTCAATA	TTATTGAAGC	4260
	ATTTATCAGG	GTTATTGTCT	CATGAGCGGA	TACATATTTG	AATGTATTTA	GAAAAATAAA	4320
15	CAAATAGGGG	TTCCGCGCAC	ATTTCCCCGA	AAAGTGCCAC	CTGACGTCTA	AGAAACCATT	4380
	ATTATCATGA	CATTAACCTA	TAAAAATAGG	CGTATCACGA	CCCCTTTCG	TC	4432
	(2) INPORMA	TION FOR SE	Q ID NO:11:	:			

(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 2196 base pairs

(B) TYPE: nucleic acid

(C) STRANDEDNESS: double

(D) TOPOLOGY: both

(ii) MOLECULE TYPE: cDNA

(iii) HYPOTHETICAL: NO

(iv) ANTI-SENSE: NO

25

30

20

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

ATTGGCTATT GGCCATTGCA TACGTTGTAT CCATATCATA ATATGTACAT TTATATTGGC 60

TCATGTCCAA CATTACCGCC ATGTTGACAT TGATTATTGA CTAGTTATTA ATAGTAATCA 120

ATTACGGGGT CATTAGTTCA TAGCCCATAT ATGGAGTTCC GCGTTACATA ACTTACGGTA 180

AATGGCCCGC CTGGCTGACC GCCCAACGAC CCCCGCCCAT TGACGTCAAT AATGACGTAT 240

GTTCCCATAG TAACGCCAAT AGGGACTTTC CATTGACGTC AATGGGTGGA GTATTTACGG 300

TAAACTGCCC ACTTGGCAGT ACATCAAGTG TATCATATGC CAAGTACGCC CCCTATTGAC 360

GTCAATGACG GTAAATGGCC CGCCTGGCAT TATGCCCAGT ACATGACCTT ATGGGACTTT 420

PCT/US94/02751

CCTACTTGGC AGTACATCTA CGTATTAGTC ATCGCTATTA CCATGGTGAT GCGGTTTTGG 480 CAGTACATCA ATGGGCGTGG ATAGCGGTTT GACTCACGGG GATTTCCAAG TCTCCACCCC 540 ATTGACGTCA ATGGGAGTTT GTTTTGGCAC CAAAATCAAC GGGACTTTCC AAAATGTCGT 600 AACAACTCCG CCCCATTGAC GCAAATGGGC GGTAGGCCTG TACGGTGGGA GGTCTATATA 660 AGCAGAGCTC GTTTAGTGAA CCGTCAGATC GCCTGGAGAC GCCATCCACG CTGTTTTGAC 720 5 CTCCATAGAA GACACCGGGA CCGATCCAGC CTCCGCGGCC GGGAACGGTG CATTGGAACG 780 CGGATTCCCC GTGCCAAGAG TGACGTAAGT ACCGCCTATA GAGTCTATAG GCCCACCCCC 840 TTGGCTTCTT ATGCATGCTA TACTGTTTTT GGCTTGGGGT CTATACACCC CCGCTTCCTC 900 ATGITATAGG TGATGGTATA GCTTAGCCTA TAGGTGTGGG TTATTGACCA TTATTGACCA 960 10 CTCCCCTATT GGTGACGATA CTTTCCATTA CTAATCCATA ACATGGCTCT TTGCCACAAC 1020 TCTCTTTATT GGCTATATGC CAATACACTG TCCTTCAGAG ACTGACACGG ACTCTGTATT 1080 TTTACAGGAT GGGGTCTCAT TTATTATTTA CAAATTCACA TATACAACAC CACCGTCCCC AGTGCCCGCA GTTTTTATTA AACATAACGT GGGATCTCCA CGCGAATCTC GGGTACGTGT 1200 TCCGGACATG GGCTCTTCTC CGGTAGCGGC GGAGCTTCTA CATCCGAGCC CTGCTCCCAT 1260 GCCTCCAGCG ACTCATGGTC GCTCGGCAGC TCCTTGCTCC TAACAGTGGA GGCCAGACTT 1320 AGGCACAGCA CGATGCCCAC CACCACCAGT GTGCCGCACA AGGCCGTGGC GGTAGGGTAT CTCTCTGAAA ATGAGCTCGG GGAGCGGGCT TGCACCGCTG ACGCATTTGG AAGACTTAAG 1440 GCAGCGGCAG AAGAAGATGC AGGCAGCTGA CTTCTTCTGT TCTGATAAGA GTCAGAGGTA 1500 20 ACTCCCGTTG CGGTGCTGTT AACGGTGGAG GGCAGTGTAG TCTGAGCAGT ACTCGTTGCT 1560 GCCGCGCGCG CCACCAGACA TAATAGCTGA CAGACTAACA GACTGTTCCT TTCCATGGGT 1620 CTTTTCTGCA GTCACCGTCC TTAGATCTGC TGTGCCTTCT AGTTGCCAGC CATCTGTTGT 1680 TTGCCCCTCC CCCGTGCCTT CCTTGACCCT GGAAGGTGCC ACTCCCACTG TCCTTTCCTA 1740 ATAAAATGAG GAAATTGCAT CGCATTGTCT GAGTAGGTGT CATTCTATTC TGGGGGGTGG 1800 25 GGTGGGGCAG CACAGCAAGG GGGAGGATTG GGAAGACAAT AGCAGGCATG CTGGGGATGC 1860 GGTGGGCTCT ATGGGTACCC AGGTGCTGAA GAATTGACCC GGTTCCTCCT GGGCCAGAAA 1920 GAAGCAGGCA CATCCCCTTC TCTGTGACAC ACCCTGTCCA CGCCCCTGGT TCTTAGTTCC 1980 AGCCCCACTC ATAGGACACT CATAGCTCAG GAGGGCTCCG CCTTCAATCC CACCCGCTAA 2040 30 AGTACTTGGA GCGGTCTCTC CCTCCCTCAT CAGCCCACCA AACCAAACCT AGCCTCCAAG 2100 AGTGGGAAGA AATTAAAGCA AGATAGGCTA TTAAGTGCAG AGGGAGAGAA AATGCCTCCA 2160 ACATGTGAGG AAGTAATGAG AGAAATCATA GAATTC 2196

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 71 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear  (ii) MOLECULE TYPE: cDNA  5 (iii) HYPOTHETICAL: NO  (iv) ANTI-SENSE: NO	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:  GTCACCGTCC TTAGATCAAT TCCAGCAAAA GCAGGGTAGA TAATCACTCA CTGAGTCACA  TCAAAATCAT G  (2) INFORMATION FOR SEQ ID	
(2) INPORMATION FOR SEQ ID NO:13:	
(1) SPOUL FOR SEQ ID NO:13:	60
(A) CHARACTERS	71
(C) con Pairs	•
(D) TOPOLOGY double	
(11) MOLECULE TYPE	
(iii) HYPOTHETICAL: NO	
(iv) ANTT	
(iv) ANTI-SENSE: NO	
20	
(X1) SEQUENCE DESCRIPTION: SEQ ID NO:13:	
ACCGTCCTTA GATCAGCTTC SEQ ID NO:13:	
TIACTITIC TTAAAA	
(2) INFORMATION FOR SEQ ID NO:14:  (1) SEQUENCE: (2) INFORMATION FOR SEQ ID NO:14:	
5 (1) POR SEQ ID NO:14.	
(A) Inc. "MACTIPDO	
(A) LENGTH: 136 base pairs (B) Type: nucleic acid (C) STRANDEDNESS: deld	
(D) TOPOLOGY doubt	
(ii) MOLECULE TYPE: CDNA	
(iii) HYPOTHETICAL: NO	
(iv) Alma	
(iv) ANTI-SENSE: NO	
(x1) SEQUENCE DESCRIPTION: SEQ ID NO:14:  GTCACCGTCC TTAGATCAAT TCCAGCAAAA GCAGGGTGAC AAAAACATAA TGGA'	
TGGA'	

- 93 -

	CACTGTGTCA AGCTTTCAGG TAGATTGCTT TCTTTGGCAT GTCCGCAAAC GAGTTGCAGA	120
	CCAAGAACTA GGTGAT	. 136
	(2) INFORMATION FOR SEC ID NO:15:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 152 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
10		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:	
	TCTGCAGTCA CCGTCCTTAG ATCAGCTTGG AGCAAAAGCA GGGGAAAATA AAAACAACCA	60
	AAATGAAGGC AAACCTACTG GTCCTGTTAA GTGCACTTGC AGCTGCAGAT GCAGACACAA	120
15	TATGTATAGG CTACCATGCG AACAATTCAA CC	152
	(2) INPORMATION POR SEQ ID NO:16:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 162 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
20	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
25	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:	
	TTTTCTGCAG TCACCGTCCT TAGATCCCGA ATTCCAGCAA AAGCAGGTCA ATTATATTCA	60
	ATATGGAAAG AATAAAAGAA CTAAGAAATC TAATGTCGCA GTCTGCCACC CCGGAGATAC	120
	TCACAAAAAC CACCGTGGAC CATATGGCCA TAATCAAGAA GT	162
	(2) INPORMATION FOR SEQ ID NO:17:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 122 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	

900

- 94 -

	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(x1) SEQUENCE DESCRIPTION: SEQ ID NO:17:	
5	GTCACCGTCC TTAGATCTAC CATGAGTCTT CTAACCGAGG TCGAAACGTA CGTACTCTCT	60
	ATCATCCCGT CAGGCCCCCT CAAAGCCGAG ATCGCACAGA GACTTGAAGA GTTGACGGAA	120
	GA	122
	(2) INFORMATION FOR SEQ ID NO:18:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 4864 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
15	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:	
	TCGCGCGTTT CGGTGATGAC GGTGAAAACC TCTGACACAT GCAGCTCCCG GAGACGGTCA	60
	CAGCTTGTCT GTAAGCGGAT GCCGGGAGCA GACAAGCCCG TCAGGGGCGCG TCAGCGGGTG	120
20	TTGGCGGGTG TCGGGGCTGG CTTAACTATG CGGCATCAGA GCAGATTGTA CTGAGAGTGC	180
	ACCATATGCG GTGTGAAATA CCGCACAGAT GCGTAAGGAG AAAATACCGC ATCAGATTGG	240
	CTATTGGCCA TTGCATACGT TGTATCCATA TCATAATATG TACATTTATA TTGGCTCATG	300
	TCCAACATTA CCGCCATGTT GACATTGATT ATTGACTAGT TATTAATAGT AATCAATTAC	360
25	GGGGTCATTA GTTCATAGGC CATATATGGA GTTCCGCGTT ACATAACTTA CGGTAAATGG	420
	CCCGCCTGGC TGACCGCCCA ACGACCCCCG CCCATTGACG TCAATAATGA CGTATGTTCC	480
	CATAGTAACG CCAATAGGGA CTTTCCATTG ACGTCAATGG CTGGAGTATT TACGGTAAAC	540
	TGCCCACTTG GCAGTACATC AAGTGTATCA TATGCCAAGT ACGCCCCCTA TTGACGTCAA	600
	TGACGGTAAA TGGCCCGCCT GGCATTATGC CCAGTACATG ACCTTATGGG ACTTTCCTAC	660
30	TTGGCAGTAC ATCTACGTAT TAGTCATCGC TATTACCATG GTGATGCGGT TTTGGCAGTA	720
	CATCAATGGG CGTGGATAGC GGTTTGACTC ACGGGGGATTT CCAAGTCTCC ACCCCATTGA	780
	CGTCAATGGG AGTTTGTTTT GGCACCAAAA TCAACGGGAC TTTCCAAAAT GTCGTAACAA	840

CTCCGCCCCA TTGACGCAAA TGGGCGGTAG GCGTGTACGG TGGGAGGTCT ATATAAGCAG

	AGCTCGTTTA	GTGAACCGTC	AGATCGCCTG	GAGACOCCAT	CCACGCTGTT	TTGACCTCCA	960
		CGGGACCGAT		•			1020
						CCCCCTTGGC	1080
		TGCTATACTG					1140
-		GTATAGCTTA					1200
5		CGATACTTTC					1260
		TATGCCAATA					1320
					•	TCCCCAGTGC	
		TATTAAACAT					1440
10						CCCATGCCTC	1500
		TGGTCGCTCG					1560
		CCCACCACCA					1620
						TTAAGGCAGC	1680
		GATGCAGGCA					1740
15		CTGTTAACGG					1800
		AGACATAATA					1860
		CGTCCTTAGA					1920
		GCCTTCCTTG					1980
20		TGCATCGCAT					2040
		CAAGGGGGAG					2100
		TACCCAGGTG					
		CCTTCTCTGT					2160
		ACACTCATAG					2220
25							2280
		CTCTCCCTCC					2340
						CTCCAACATG	
						TGACTCGCTG	
30						AATACGGTTA	
						GCAAAAGGCC	
						CCCTGACGAG	
						ATAAAGATAC	
	CAGGCGTTTC	CCCCTGGAAG	CTCCCTCGTG	CGCTCTCCTG	TTCCGACCCT	CCCCCTTACC	2760

- 96 -

	GGATACCTGT	CCCCCTTTCT	CCCTTCGGGA	AGCGTGGCGC	TTTCTCAATG	CTCACGCTGT	2820
	AGGTATCTCA	GTTCGGTGTA	GCTCCTTCGC	TCCAAGCTGG	CCTCTCTCCA	CGAACCCCCC	2880
	GTTCAGCCCG	ACCGCTGCGC	CTTATCCGGT	AACTATCGTC	TTGAGTCCAA	CCCGGTAAGA	2940
	CACGACTTAT	CGCCACTGGC	AGCAGCCACT	GGTAACAGGA	TTAGCAGAGC	GAGGTATGTA	3000
5	GGCGGTGCTA	CAGAGTTCTT	GAACTGGTGG	CCTAACTACG	GCTACACTAG	AAGGACAGTA	3060
	TTTGGTATCT	GCGCTCTGCT	GAAGCCAGTT	ACCTTCGGAA	AAAGAGTTGG	TAGCTCTTGA	3120
	TCCGGCAAAC	AAACCACCGC	TGGTAGCGGT	GCTTTTTTTG	TTTGCAAGCA	OCAGATTACG	3180
	CGCAGAAAAA	AAGGATCTCA	AGAAGATCCT	TTGATCTTTT	CTACGGGGTC	TGACGCTCAG	3240
	TGGAACGAAA	ACTCACGTTA	AGGGATTTTG	GTCATGAGAT	TATCAAAAAG	GATCTTCACC	3300
10	TAGATCCTTT	TAAATTAAAA	ATGAAGTTTT	AAATCAATCT	AAAGTATATA	TGAGTAAACT	3360
	TGGTCTGACA	GTTACCAATG	CTTAATCAGT	GAGGCACCTA	TCTCAGCGAT	CTGTCTATTT	3420
	CCTTCATCCA	TAGTTGCCTG	ACTCCGGGGG	GGGGGGGCGC	TGAGGTCTGC	CTCGTGAAGA	3480
	AGGTGTTGCT	GACTCATACC	AGGCCTGAAT	CGCCCCATCA	TCCAGCCAGA	AAGTGAGGGA	3540
15	GCCACGGTTG	ATGAGAGCTT	TCTTCTAGGT	GGACCAGTTG	GTGATTTTGA	ACTTTTGCTT	3600
	TGCCACGGAA	CGGTCTGCGT	TCTCGGGAAG	ATGCGTGATC	TGATCCTTCA	ACTCAGCAAA	3660
	AGTTCGATTT	ATTCAACAAA	GCCGCCGTCC	CGTCAAGTCA	GCGTAATGCT	CTGCCAGTGT	3720
	TACAACCAAT	TAACCAATTC	TGATTAGAAA	AACTCATCGA	CCATCAAATG	AAACTGCAAT	3780
	TTATTCATAT	CAGGATTATC	AATACCATAT	TTTTGAAAAA	CCCCTTTCTC	TAATGAAGGA	3840
20	GAAAACTCAC	CGAGGCAGTT	CCATAGGATG	GCAAGATCCT	GGTATCGGTC	TGCGATTCCG	3900
	ACTCGTCCAA	CATCAATACA	ACCTATTAAT	TTCCCCTCGT	CAAAAATAAG	GTTATCAAGT	3960
	GAGAAATCAC	CATGAGTGAC	GACTGAATCC	GGTGAGAATG	GCAAAAGCTT	ATGCATTTCT	4020
	TTCCAGACTT	GTTCAACAGG	CCAGCCATTA	CGCTCGTCAT	CAAAATCACT	CGCATCAACC	4080
25	AAACCGTTAT	TCATTCGTGA	TTGCGCCTGA	GCGAGACGAA	ATACGCGATC	CCTCTTAAAA	4140
	GGACAATTAC	AAACAGGAAT	CGAATGCAAC	CGGCGCAGGA	ACACTGCCAG	CGCATCAACA	4200
	ATATTTTCAC	CTGAATCAGG	ATATTCTTCT	AATACCTGGA	ATGCTGTTTT	CCCGGGGATC	4260
	GCAGTGGTGA	GTAACCATGC	ATCATCAGGA	GTACGGATAA	AATGCTTGAT	GGTCGGAAGA	4320
20	GGCATAAATT	CCGTCAGCCA	GTTTAGTCTG	ACCATCTCAT	CTGTAACATC	ATTGGCAACG	4380
30	CTACCTTTGC	CATGTTTCAG	AAACAACTCT	GGCGCATCGG	GCTTCCCATA	CAATCGATAG	4440
	ATTGTCGCAC	CTGATTGCCC	GACATTATCG	CGAGCCCATT	TATACCCATA	TAAATCAGCA	4500
	TCCATGTTGG	AATTTAATCG	CGGCCTCGAG	CAAGACGTTT	CCCGTTGAAT	ATGGCTCATA	4560
	ACACCCCTTG	TATTACTGTT	TATGTAAGCA	GACAGTTTTA	TTGTTCATGA	TGATATATTT	4620

	TTATCTTGTG CAATGTAACA TCAGAGATTT TGAGACACAA CGTGGCTTTC CCCCCCCCC	4686
	CATTATTGAA GCATTTATCA GGGTTATTGT CTCATGAGCG GATACATATT TGAATGTATT	4740
	TAGAAAAATA AACAAATAGG GGTTCCGCGC ACATTTCCCC GAAAAGTGCC ACCTGACGTC	4800
	TAAGAAACCA TTATTATCAT GACATTAACC TATAAAAATA GGCGTATCAC GAGGCCCTTT	4860
5	сстс	4864
	(2) INPORMATION FOR SEQ ID NO:19:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 15 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
10	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:	
	AGCAGAAGCA GAGCA	15
	(2) INFORMATION FOR SEQ ID NO:20:	
20.	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 119 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
25		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:	
	TCACCGTCCT TAGATCAAGC AGGGTTAATA ATCACTCACT GAGTGACATC AAAATCATGG	60
	CGTCCCAAGG CACCAAACGG TCTTATGAAC AGATGGAAAC TGATGGGGAA CGCCAGATT	119
	(2) INFORMATION FOR SEQ ID NO:21:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 67 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	

	(III) HIPOTHETICAL: NO	
	(iv) ANTI-SENSE: YES	
	(x1) SEQUENCE DESCRIPTION: SEQ ID NO:21:	
5	GAGGGGCAAA CAACAGATGG CTGGCAACTA GAAGGCACAG CAGATATTTT TTCCTTAATT	60
	CTCCTAC	67
	(2) INFORMATION FOR SEQ ID NO:22:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 15 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
15		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:	
	AGCAGAAGCA CGCAC	15
	(2) INFORMATION FOR SEQ ID NO:23:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 15 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
25	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:	
	AGCAGAAGCA CAGCA	15
	(2) INFORMATION FOR SEQ ID NO:24:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	

- 99 -

	(111) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:	
5	CCTTAGATCG GAAATAAAAA CAACCAAAAT GAA	33
	(2) INPORMATION FOR SEQ ID NO:25:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
10	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: YES	
	·	
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:	
	GCAGATCCTT ATATTTCTGA AATTCTGGTC TCAGAT	36
	(2) INPORMATION FOR SEQ ID NO:26:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 102 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
25		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:	
	ACCGTCCTTA GATCCAGAAG CAGAGCATTT TCTAATATCC ACAAAATGAA GGCAATAATT	60
	GTACTACTCA TGGTAGTAAC ATCCAACGCA GATCGAATCT GC	102
	(2) INFORMATION FOR SEQ ID NO:27:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 42 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE, anna	

- 100 -

	(III) RIPOTREITCAL: NO	
	(iv) ANTI-SENSE: YES	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:	
5	GGCACAGCAG ATCTTTCAAT AACGTTTCTT TGTAATGGTA AC	42
	(2) INFORMATION FOR SEQ ID NO:28:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 23 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
10	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:	
	CTAACAGACT GTTCCTTTCC ATG	23
	(2) INFORMATION FOR SEQ ID NO:29:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 18 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: YES	
25		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:29:	
	GGAGTGGCAC CTTCCAGG	18
	(2) INFORMATION FOR SEQ ID NO:30:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 12 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	

12

. 14

35

- 101 -

( .	iv	) /	ANT	I -	SEN	ISE	:	NO
-----	----	-----	-----	-----	-----	-----	---	----

(xi)	SEQUENCE	DESCRIPTION:	SEQ	ID	NO:30:

AGCAAAAGCA GG

(2) INFORMATION FOR SEQ ID NO:31: 5

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 14 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: cDNA
- 10 (iii) HYPOTHETICAL: NO
  - (iv) ANTI-SENSE: NO
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:31:
- AGCAGAAGCG GAGC
- (2) INFORMATION FOR SEQ ID NO: 32:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 35 base pairs
    - (B) TYPE: nucleic-acid
    - (C) STRANDEDNESS: both
    - (D) TOPOLOGY: linear
- 20 (ii) MOLECULE TYPE: cDNA
  - (iii) HYPOTHETICAL: NO
  - (iv) ANTI-SENSE: NO
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:32: 25

CCACATGTCG ACCCGTAAAA AGGCCGCGTT GCTGG

(2) INFORMATION FOR SEQ ID NO:33:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 33 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: both
- 30 (D) TOPOLOGY: linear
  - (ii) MOLECULE TYPE: cDNA
  - (iii) HYPOTHETICAL: NO
  - (iv) ANTI-SENSE: NO

	(x1) SEQUENCE DESCRIPTION: SEQ ID NO:33:	
	GGTACAACCA TGAAGACTAT CATTGCTTTG AGC	33
	(2) INPORMATION FOR SEQ ID NO:34:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 37 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
10	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:34:	
	CCACATAGAT CTTCAAATGC AAATGTTGCA CCTAATG	37
15	(2) INFORMATION FOR SEQ ID NO:35:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 38 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
20	(111) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:35:	
25	GGTACAACCA TGAAAGCAAA ACTACTAGTC CTGTTATG	38
20	(2) INFORMATION FOR SEQ ID NO:36:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 32 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
30	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	

- 103 -

	(X1	) SEQUENCE DESCRIPTION: SEQ ID NO:36:	
	CCACATT	CAG ATGCATATTC TACACTGCAA AG	32
	(2) INF	ORMATION POR SEQ ID NO:37:	
5	(1)	) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(11)	MOLECULE TYPE: cDNA	
	(iii)	HYPOTHETICAL: NO	
	(iv)	ANTI-SENSE: NO	
10			
	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:37:	
	GGTACAAC	CA TGAAGGCAAT AATTGTACTA CTCATG	36
	(2) INFO	PRMATION FOR SEQ ID NO:38:	
15	(1)	SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii)	MOLECULE TYPE: cDNA	
	(iii)	HYPOTHETICAL: NO	
20	(iv)	ANTI-SENSE: NO	
	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:38:	
	CCACATTT	AT AGACAGATGG AGCAAGAAAC ATTGTC	36
25	(2) INPO	RMATION FOR SEQ ID NO:39:	
	(1)	SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii)	MOLECULE TYPE: cDNA	
30	(111)	HYPOTHETICAL: NO	
	(iv)	ANTI-SENSE: NO	
	(xi)	SEQUENCE DESCRIPTION: SEQ ID NO:39:	

- 104 -

	GGTACAAGAT CTACCATGCT TCTAACCGAG GTC	33
	(2) INFORMATION FOR SEQ ID NO:40:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
10	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:40:	
	CCACATAGAT CTTCACTTGA ACCGTTGCAT CTGCAC	36
	(2) INFORMATION FOR SEQ ID NO:41:	
15	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 39 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
20	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:41:	
	GGTACAGGAT CCACCATGTC CAACATGGAT ATTGACGGC	39
	(2) INFORMATION FOR SEQ ID NO:42:	
25	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 39 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
30	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:42:	
	CCACATGGAT CCTTAATAAT CGAGGTCATC ATAATCCTC	39

- 105 -

	(2) INFORMATION FOR SEQ ID NO:43:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 42 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
5	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:43:	
10	GGTACAGGAT CCACCATGTC GCTGTTTGGA GACACAATTG CC	42
	(2) INFORMATION FOR SEQ ID NO:44:	
15	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 38 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: linear	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
20	(x1) SEQUENCE DESCRIPTION: SEQ ID NO:44:	
	CCACATGGAT CCTTATAGGT ATTTCTTCAC AAGAGCTG	38
	(2) INPORMATION FOR SEQ ID NO:45:	
25	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 3553 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: double  (D) TOPOLOGY: both	
	(ii) NOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
30	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:45:	
	GATATIGGCT ATTGGCCATT GCATACGITG TATCCATATC ATAATATGTA CATTTATATT	60
	GGCTCATGTC CAACATTACC GCCATGTTGA CATTGATTAT TCACTTA TTTACTTA	

	TCAATTACGG	GGTCATTAGT	TCATAGCCCA	TATATGGAGT	TCCGCGTTAC	ATAACTTACG	180
	GTAAATGGCC	CGCCTGGCTG	ACCGCCCAAC	GACCCCCGCC	CATTGACGTC	AATAATGACG	240
	TATGTTCCCA	TAGTAACGCC	AATAGGGACT	TTCCATTGAC	GTCAATGGGT	GGAGTATTTA	300
	CGGTAAACTG	CCCACTTGGC	AGTACATCAA	GTGTATCATA	TGCCAAGTAC	CCCCCTATT	360
5	GACGTCAATG	ACGGTAAATG	GCCCGCCTGG	CATTATGCCC	ACTACATGAC	CTTATGGGAC	420
	TTTCCTACTT	GGCAGTACAT	CTACGTATTA	GTCATCGCTA	TTACCATGGT	CATGCGGTTT	480
	TGGCAGTACA	TCAATGGGCG	TGGATAGCGG	TTTGACTCAC	GGGGATTTCC	AAGTCTCCAC	540
	CCCATTGACG	TCAATGGGAG	TTTGTTTTGG	CACCAAAATC	AACGGGACTT	TCCAAAATGT	600
	CGTAACAACT	CCGCCCCATT	GACGCAAATG	GGCGGTAGGC	GTGTACGGTG	GGAGGTCTAT	660
10	ATAAGCAGAG	CTCGTTTAGT	GAACCGTCAG	ATCGCCTGGA	CACGCCATCC	ACGCTGTTTT	720
	GACCTCCATA	GAAGACACCG	GGACCGATCC	ACCCTCCGCG	GCCGGGAACG	CTCCATTCCA	780
	ACGCGGATTC	CCCGTGCCAA	GAGTGACGTA	AGTACCGCCT	ATAGAGTCTA	TAGGCCCACC	840
	CCCTTGGCTT	CTTATGCATG	CTATACTGTT	TTTGGCTTGG	GCTCTATACA	CCCCCCCTTC	900
15	CTCATGTTAT	AGGTGATGGT	ATAGCTTAGC	CTATACCTCT	GCGTTATTCA	CCATTATTGA	960
	CCACTCCCCT	ATTGGTGACG	ATACTTTCCA	TTACTAATCC	ATAACATGGC	TCTTTGCCAC	1020
	AACTCTCTTT	ATTOGCTATA	TGCCAATACA	CTCTCCTTCA	GAGACTGACA	CGGACTCTGT	1080
	ATTTTTACAG	GATGGGGTCT	CATTTATTAT	TTACAAATTC	ACATATACAA	CACCACCGTC	1140
	CCCAGTGCCC	GCACTTTTTA.	TTAAACATGC	TAACGTGGGA	TCTCCACGCG	AATCTCGGGT	1200
20	ACGTGTTCCG	GACATGGGCT	CTTCTCCGGT	AGCGGCGGAG	CTTCTACATC	CGAGCCCTGC	1260
	TCCCATGCCT	CCAGCGACTC	ATGGTCGCTC	GGCAGCTCCT	TGCTCCTAAC	AGTGGAGGCC	1320
	AGACTTAGGC	ACAGCACGAT	GCCCACCACC	ACCAGTGTGC	CGCACAAGGC	CCTGGCGGTA	1380
	GGGTATGTGT	CTGAAAATGA	GCTCGGGGAG	CGGGCTTGCA	CCGCTCACGC	ATTTGGAAGA	1440
25	CTTAAGGCAG	CGGCAGAAGA	AGATOCAGGC	AGCTGAGTTG	TIGIGITCIG	ATAAGAGTCA	1500
	GAGGTAACTC	CCGTTCCGGT	GCTGTTAACG	CTCCACCCCA	CTCTACTCTC	AGCAGTACTC	1560
	CTTCCTCCCC	CGCGCGCCAC	CAGACATAAT	AGCTGACAGA	CTAACAGACT	GTTCCTTTCC	1620
	ATGGGTCTTT	TCTGCAGTCA	CCGTCCTTAG	ATCTGCTGTG	CCTTCTAGTT	GCCAGCCATC	1680
	TGTTGTTTGC	CCCTCCCCCC	TGCCTTCCTT	GACCCTGGAA	CCTCCCACTC	CCACTGTCCT	1740
30	TTCCTAATAA	AATGAGGAAA	TTGCATCGCA	TTGTCTGAGT	AGGTGTCATT	CTATTCTGGG	1800
	GGGTGGGGTG	GGGCAGCACA	GCAAGGGGGA	GGATTGGGAA	GACAATAGCA	GGCATGCTGG	1860
	GGATGCGGTG	GGCTCTATGG	GTACGGCCGC	AGCGGCCGTA	CCCAGGTGCT	GAAGAATTGA	1920
	CCCGGTTCCT	CGACCCGTAA	AAAGGCCGCG	TTGCTGGCGT	TTTTCCATAG	CCTCCCCCCC	1980

	CCTGACGAGC	ATCACAAAA	TCGACGCTCA	ACTCAGAGGT	GGCGAAACCC	GACAGGACTA	2040
	TAAAGATACC	AGGCGTTTCC	CCCTGGAAGC	TCCCTCGTGC	CCTCTCCTGT	TCCGACCCTG	2100
	CCCCTTACCC	GATACCTGTC	CCCCTTTCTC	CCTTCOCGAA	CCCTCCCCCT	TTCTCAATGC	2160
	TCACGCTGTA	GGTATCTCAG	TTCGGTGTAG	GTCGTTCGCT	CCAAGCTGGG	CTGTGTGCAC	2220
5	GAACCCCCCG	TTCAGCCCGA	CCCCTCCGCC	TTATCCGGTA	ACTATCGTCT	TGAGTCCAAC	2280
	CCGCTAAGAC	ACGACTTATC	GCCACTGGCA	GCAGCCACTG	GTAACAGGAT	TAGCAGAGCG	2340
	AGGTATGTAG	GCGGTGCTAC	AGAGTTCTTG	AAGTGGTGGC	CTAACTACGG	CTACACTAGC	2400
	TGAAGGACAG	TATTTGGTAT	CTGCGCTCTG	CTGAAGCCAG	TTACCTTCGG	AAAAAGAGTT	2460
	GGTAGCTCTT	GATCCGGCAA	ACAAACCACC	GCTGGTAGCG	GTGGTTTTTT	TGTTTGCAAG	2520
10	CAGCAGATTA	CGCGCAGAAA	AAAAGGATCT	CAAGAAGATC	CTTTGATCTT	TTCTACGTGA	2580
	TCCCGTAATG	CTCTGCCAGT	GTTACAACCA	ATTAACCAAT	TCTGATTAGA	AAAACTCATC	2640
	CACCATCAAA	TGAAACTGCA	ATTTATTCAT	ATCAGGATTA	TCAATACCAT	ATTTTTCAAA	2700
	AAGCCGTTTC	TGTAATGAAG	GAGAAAACTC	ACCGAGGCAG	TTCCATAGGA	TGGCAAGATC	2760
15	CTGGTATCGG	TCTGCGATTC	CGACTCGTCC	AACATCAATA	CAACCTATTA	ATTTCCCCTC	2820
	GTCAAAAATA	AGGTTATCAA	CTCACAAATC	ACCATGAGTG	ACGACTGAAT	CCGGTGAGAA	2880
	TGCCAAAAGC	TTATGCATTT	CTTTCCAGAC	TTGTTCAACA	GCCAGCCAT	TACGCTCGTC	2940
	ATCAAAATCA	CTCGCATCAA	CCAAACCGTT	ATTCATTCGT	GATTGCGCCT	GAGCGAGACG	3000
	AAATACGCGA	TCGCTGTTAA	AAGGACAATT	ACAAACAGGA	ATCGAATGCA	ACCGGCGCAG	3060
20	GAACACTGCC	AGCGCATCAA	CAATATTTTC	ACCTGAATCA	GGATATTCTT	CTAATACCTG	3120
	GAATGCTGTT	TTCCCGGGGA	TCGCAGTGGT	GAGTAACCAT	GCATCATCAG	GAGTACGGAT	3180
	AAAATGCTTG	ATGGTCGGAA	GAGGCATAAA	TTCCGTCAGC	CAGTTTAGTC	TGACCATCTC	3240
	ATCTGTAACA	TCATTGGCAA	CGCTACCTTT	GCCATGTTTC	AGAAACAACT	CTGGCGCATC	3300
25	GGGCTTCCCA	TACAATCGAT	AGATTGTCGC	ACCTGATTGC	CCGACATTAT	CGCGAGCCCA	3360
	TTTATACCCA	TATAAATCAG	CATCCATGIT	GGAATTTAAT	CCCCCCTCC	AGCAAGACGT	3420
	TTCCCGTTGA	ATATGGCTCA	TAACACCCCT	TGTATTACTG	TTTATGTAAG	CAGACAGTTT	3480
	TATTGTTCAT	GATGATATAT	TTTTATCTTG	TGCAATGTAA	CATCAGAGAT	TTTGAGACAC	3540
	AACGTGGCTT	TCC					3553
30	(2) INFORM	TION POP CE	20 TD NO.46			•	

(2) INFORMATION FOR SEQ ID NO:46:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 72 base pairs
  - (B) TYPE: núcleic acid
  - (C) STRANDEDNESS: both
    (D) TOPOLOGY: both

- 108 -

	(11) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	•
	(iv) ANTI-SENSE: NO	
5	(x1) SEQUENCE DESCRIPTION: SEQ ID NO:46:	
	TCACCGTCCT TAGATCGGTA CAACCATGAA GACTATCATT GCTTTGAGCT ACATTTTATG	60
	TCTGGTTTTC GC	72
	(2) INFORMATION FOR SEQ ID NO:47:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 111 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	•
	(iii) HYPOTHETICAL: NO	
15	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:47:	
	TCATGCTTTT TGCTTTGTGT TGTTTTGCTG GGGTTCATCA TGTGGGCCTG CCAAAAAGGC	60
	AACATTAGGT GCAACATTTG CATTTGAAGA TCTATGTGGG ATCTGCTGTG C	111
20	(2) INFORMATION FOR SEQ ID NO:48:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 63 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
25	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:48:	
30	TTAGATCGGA ACATGAAAGC AAAACTACTA GTCCTGTTAT GTGCATTTAC AGCTACATAT	60
	GCA	63
	(2) INFORMATION FOR SEQ ID NO:49:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 102 base pairs	

- 109 -

	(B) TYPE: nucleic acid (C) STRANDEDNESS: double (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
5	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:49:	
	CTGGTGCTTT TGGTCTCCCT GGGGGCAATC AGCTTCTGGA TGTGTTCTAA TGGGTCTTTG	60
10	CAGTGTAGAA TATGCATCTG AATGTGGGAT CTGCTGTGCC TT (2) INPORMATION POR SEQ ID NO:50:	102
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 108 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
15	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
20	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:50: CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC	60
20		60 108
20	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC	
20	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG	
	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION FOR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:	
	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION FOR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 102 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION FOR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 102 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both  (ii) MOLECULE TYPE: cDNA	
	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION FOR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 102 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both  (ii) MOLECULE TYPE: cDNA  (iii) HYPOTHETICAL: NO	
25	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION FOR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 102 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both  (ii) MOLECULE TYPE: cDNA  (iii) HYPOTHETICAL: NO	
25	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION POR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:	
25	CCTTAGATCG GTACAACCAT GAAGGCAATA ATTGTACTAC TCATGGTAGT AACATCCAAC  GCAGATCGAA TCTGCACTGG GATAACATCT TCAAACTCAC CTCATGTG  (2) INFORMATION FOR SEQ ID NO:51:  (i) SEQUENCE CHARACTERISTICS:	108

- 110 -

	(1) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 84 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
5	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:52:	
10	GTCCTTAGAT CCACCATGGC GTCCCAAGGC ACCAAACGGT CTTATGAACA GATGGAAACT	60
	GATGGGGAAC GCCAGAATGC AACT	84
	(2) INFORMATION FOR SEQ ID NO:53:	
15	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 108 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
20		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:53:	
	GAAAAGGCAA CGAACCCGAT CGTGCCCTCT TTTGACATGA GTAATGAAGG ATCTTATTTC	60
	TTCGGAGACA ATGCAGAAGA GTACGACAAT TAAGGATCTG CTGTGCCT	108
٥.	(2) INFORMATION FOR SEQ ID NO:54	
25	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 132 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	
30	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:54:	
	CTTAGATCCA GATCTACCAT GAGTCTTCTA ACCGAGGTCG AAACGTATCT TCTCTCTATC	60

## - 111 -

	GTTCCATCAG GCCCCCTCAA AGCCGAAATC GCGCAGAGAC TTGAAGATGT CTTTGCTGGG	120
	AAAAACACAG AT	132
	(2) INFORMATION FOR SEQ ID NO:55:	
5	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 129 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
10	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:55:	
	GGGACTCATC CTAGCTCCAG TACTGGTCTA AAAGATGATC TTCTTGAAAA TTTGCAGACC	60
	TATCAGAAAC GAATGGGGGT GCAGATGCAA CGGTTCAAGT GAAGATCTAT GTGGGATCTG	120
15	CTGTGCCTT	129
	(2) INFORMATION FOR SEQ ID NO:56:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 81 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
25	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:56:	
	CTTAGATCCA CCATGTCCAA CATGGATATT GACGGTATCA ACACTGGGAC AATTGACAAA	60
	ACACCGGAAG AAATAACTTC T	81
	(2) INFORMATION FOR SEQ ID NO:57:	
30	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 96 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	

(iv) ANTI-SENSE: NO

- 112 -

	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:57:	
	GTTGAAATTC CAATTAAGCA GACCATCCCC AATTTCTTCT TTGGGAGGGA CACAGCAGAG	60
5	GATTATGATG ACCTCGATTA TTAAGGATCT GCTGTG	96
	(2) INFORMATION FOR SEQ ID NO:58:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 96 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	•	
15	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:58:	
	CTTAGATCCA CCATGTCGCT GTTTGGAGAC ACAATTGCCT ACCTGCTTTC ATTGACAGAA	60
	GATGGAGAAG GCAAAGCAGA ACTAGCAGAA AAATTA	96
	(2) INFORMATION FOR SEQ ID NO:59:	
20	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 123 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
25	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:59:	
	AGATCTCTTG GGGCAAGTCA AGAGAATGGG GAAGGAATTG CAAAGGATGT GATGGAAGTG	60
30	CTANAGCAGA GCTCTATGGG ANATTCAGCT CTTGTGAAGA ANTACCTATA AGGATCTGCT	120
	GTG	123
	(2) INFORMATION FOR SEQ ID NO:60:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 33 base pairs  (B) TYPE: nucleic acid	

- 113 -

	(D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
5		
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:60:	
	GGTACAAATA TTGGCTATTG GCCATTGCAT ACG	33
	(2) INFORMATION FOR SEC ID NO:61:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 36 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
15	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:61:	
	CCACATCTCG AGGAACCGGG TCAATTCTTC AGCACC	36
20	(2) INFORMATION POR SEQ ID NO:62:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 38 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: cDNA	
25	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:62:	
30	GGTACAGATA TCGGAAAGCC ACGTTGTGTC TCAAAATC	38
	(2) INFORMATION FOR SEQ ID NO:63:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 37 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	

WO 94/21797 PCT/US94/02751

- 114 -

	(ii) MOLECULE TYPE: cDNA	
	(iii) HYPOTHETICAL: NO	
	(iv) ANTI-SENSE: NO	
•		
5	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:63:	
	CCACATGGAT CCGTAATGCT CTGCCAGTGT TACAACC	37
	(2) INFORMATION FOR SEQ ID NO:64:	
10	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 39 base pairs  (B) TYPE: nucleic acid  (C) STRANDEDNESS: both  (D) TOPOLOGY: both	
	(ii) MOLECULE TYPE: CDNA	
	(iii) HYPOTHETICAL: NO	
15	(iv) ANTI-SENSE: NO	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO:64:	
	GGTACATGAT CACGTAGAAA AGATCAAAGG ATCTTCTTG	. 39
20		

25

30

5

30

## WHAT IS CLAIMED IS:

- 1. A DNA construct comprising nucleic acid encoding an influenza virus gene, wherein said DNA construct is capable of inducing the expression of an antigenic influenza virus gene product which induces an influenza virus specific immune response upon introduction of said DNA construct into animal tissues in vivo and resultant uptake of the DNA construct by cells which express the encoded influenza gene.
- 2. The DNA construct of Claim 1 wherein the influenza virus gene encodes nucleoprotein, hemagglutinin, polymerase, matrix, or non-structural human influenza virus gene products.
- 3. A polynucleotide vaccine comprising a DNA construct which induces neutralizing antibody against human influenza virus, influenza virus specific cytotoxic lymphocytes, or protective immune responses upon introduction of said DNA pharmaceutical into animal tissues in vivo, wherein the animal is a vertebrate, and the polynucleotide vaccine encodes an influenza virus gene which is expressed upon introduction into said verterbrates' tissues in vivo.
  - 4. The polynucleotide vaccine of Claim 3 which contains a DNA construct selected from one or more of:
  - a) pnRSV-PR-NP,
- <sup>25</sup> b) V1-PR-NP,
  - c) V1J-PR-NP, the 5' end of which is SEQ. ID:12:,
  - d) V1J-PR-PB1, the 5' end of which is SEQ. ID:13:,
  - e) V1J-PR-NS, the 5' end of which is SEQ. ID:14:,
  - f) V1J-PR-HA, the 5' end of which is SEQ. ID:15:,
  - g) V1J-PR-PB2, the 5' end of which is SEQ. ID:16:,
  - h) V1J-PR-M1, the 5' end of which is SEQ. ID:17:,
  - i) V1Jneo-BJ-NP, the 5' end of which is SEQ. ID:20: and the 3' end of which is SEQ. ID:21:,

	j)	V1Jneo-TX-NP, the 5' end of which is SEQ. ID:24 and
	37	the 3' end of which is SEQ. ID:25: and
	k)	
	λ,	V1Jneo-PA-HA, the 5' end of which is SEQ. ID:26: and the 3' end of which is SEQ. ID:27:
	1)	
5	•)	V1Jns-GA-HA (A/Georgia/03/93), construct size 6.56 Kb,
		the 5' end of which is SEQ.ID:46: and
	m)	the 3' end of which is SEQ. ID:47:,
	1117	V1Jns-TX-HA (A/Texas/36/91), construct size 6.56 Kb,
		the 5' end of which is SEQ.ID:48: and
10	>	the 3' end of which is SEQ. ID:49:,
	n)	V1Jns-PA-HA (B/Panama/45/90), construct size 6.61 Kb,
		the 5' end of which is SEQ.ID:50: and
	_	the 3' end of which is SEQ. ID:51:,
	o)	V1Jns-BJ-NP (A/Beijing/353/89), construct size 6.42 Kb,
15		the 5' end of which is SEQ.ID:52: and
13		the 3' end of which is SEQ. ID:53:,
	p)	V1Jns-BJ-M1 (A/Beijing/353/89), construct size 5.62 Kb,
		the 5' end of which is SEQ.ID:54: and
		the 3' end of which is SEQ. ID:55:,
20	q)	V1Jns-PA-NP (B/Panama/45/90), construct size 6.54 Kb,
20		the 5' end of which is SEQ.ID:56: and
		the 3' end of which is SEQ. ID:57:, and
	r)	V1Jns-PA-M1 (B/Panama/45/90), construct size 5.61 Kb,
		the 5' end of which is SEQ.ID:58: and
		the 3' end of which is SEQ. ID:59:.
25		
		5. The expression vector VII SEO ID-10.

- The expression vector VIJ, SEQ. ID:10:.
- 6. The expression vector V1J-neo, SEQ. ID:18:.
- 30 7. A method for protecting against infection by human influenza virus which comprises immunization with a prophylactically effective amount of the DNA of Claim 1.

WO 94/21797

15

- 8. A method for protecting against infection by human influenza virus which comprises immunization with a prophylactically effective amount of the DNA of Claim 3.
- 9. A method for protecting against infection by human influenza virus which comprises immunization with a prophylactically effective amount of the DNA of Claim 4.
- 10. The method of Claim 7 which comprises direct administration of the DNA into tissue in vivo.
  - 11. The method of Claim 10 wherein the DNA is administered either as naked DNA in a physiologically acceptable solution without a carrier or as a mixture of DNA and a liposome, or as a mixture with an adjuvant or a trasfection facilitating agent.
  - 12. A method for using an influenza virus gene to induce immune responses in vivo which comprises:
  - a) isolating the gene,
- b) linking the gene to regulatory sequences such that the gene is operatively linked to control sequences which, when introduced into a living tissue direct the transcription initiation and subsequent translation of the gene, and
  - c) introducing the gene into a living tissue.
- 13. The method of Claim 12 which further comprises boosting induced immune responses by introducing influenza virus gene on multiple occasions.
- 14. The method of Claim 12 wherein the influenza virus gene encodes a human influenza virus nucleoprotein, hemagglutinin, matrix, nonstructural, or polymerase gene product.

WO 94/21797 PCT/US94/02751

- 118 -

- 15. The method of Claim 14 wherein the human influenza virus gene encodes the nucleoprotein, basic polymerase1, nonstructural protein1, hemagglutinin, matrix1, or basic polymerase2 of one or more of the human influenza virus isolates A/PR/8/34, A/Beijing/353/89, A/Texas/36/91, A/Georgia/03/93, and B/Panama/45/90.
- 16. A method for inducing immune responses against infection or disease caused by strains of influenza virus which comprises introducing into a vertebrate a nucleic acid which encodes a conserved influenza virus epitope specific to a first influenza virus strain such that the induced immune response protects not only against infection or disease by the first influenza virus strain but also protects against infection or disease by strains that are different to said first strain.
- 17. The method of any of claims 7-16 wherein the organism being treated by the method is a human.

18. The DNA:

- a) pnRSV-PR-NP,
- <sup>20</sup> b) V1-PR-NP,

5

- c) V1J-PR-NP, the 5' end of which is SEQ. ID:12:,
- d) V1J-PR-PB1, the 5' end of which is SEQ. ID:13:,
- e) V1J-PR-NS, the 5' end of which is SEQ. ID:14:,
- f) V1J-PR-HA, the 5' end of which is SEQ. ID:15:,
- g) V1J-PR-PB2, the 5' end of which is SEQ. ID:16;
  - h) V1J-PR-M1, the 5' end of which is SEQ. ID:17:,
  - i) V1Jneo-BJ-NP, the 5' end of which is SEQ. ID:20: and the 3' end of which is SEQ. ID:21:,
- y) V1Jneo-TX-NP, the 5' end of which is SEQ. ID:24 and the 3' end of which is SEQ. ID:25: and
  - k) V1Jneo-PA-HA, the 5' end of which is SEQ. ID:26: and the 3' end of which is SEQ. ID:27:
  - 1) V1Jns-GA-HA (A/Georgia/03/93), construct size 6.56 Kb,

WO 94/21797 PCT/US94/02751

- 119 -

		the 5' end of which is SEQ.ID:46: and
		the 3' end of which is SEQ. ID:47:,
	m)	V1Jns-TX-HA (A/Texas/36/91), construct size 6.56 Kb,
		the 5' end of which is SEQ.ID:48: and
		the 3' end of which is SEQ. ID:49:,
5	n)	V1Jns-PA-HA (B/Panama/45/90), construct size 6.61 Kb,
		the 5' end of which is SEQ.ID:50: and
		the 3' end of which is SEQ. ID:51:,
	o)	V1Jns-BJ-NP (A/Beijing/353/89), construct size 6.42 Kb,
		the 5' end of which is SEQ.ID:52: and
10		the 3' end of which is SEQ. ID:53:,
	p)	V1Jns-BJ-M1 (A/Beijing/353/89), construct size 5.62 Kb,
		the 5' end of which is SEQ.ID:54: and
		the 3' end of which is SEQ. ID:55:,
	g)	V1Jns-PA-NP (B/Panama/45/90), construct size 6.54 Kb,
15	_	the 5' end of which is SEQ.ID:56: and
		the 3' end of which is SEQ. ID:57:, and
	r)	V1Jns-PA-M1 (B/Panama/45/90), construct size 5.61 Kb,
		the 5' end of which is SEQ.ID:58: and
		the 3' end of which is SEQ. ID:59:.
20		

19. A composition of nucleic acid constructs encoding influenza virus genes from both A-type and B-type human influenza viruses.

20. The composition of Claim 19 comprising nucleic acid constructs encoding the hemagglutinin gene of at least three strains of influenza virus, the nucleoprotein gene of at least two strains of influenza virus, and the matrix protein gene of at least two strains of influenza virus.

21. The composition of Claim 19 wherein said influenza virus genes are derived from influenza viruses of the H1N1, H2N2, and H3N2 and B strains of influenza virus.

- 22. The composition of Claim 19 comprising: V1Jns-GA-HA (A/Georgia/03/93), construct size 6.56 Kb, a) the 5' end of which is SEQ.ID:46: and the 3' end of which is SEQ. ID:47:, 5 V1Jns-TX-HA (A/Texas/36/91), construct size 6.56 Kb, b) the 5' end of which is SEQ.ID:48: and the 3' end of which is SEQ. ID:49:, V1Jns-PA-HA (B/Panama/45/90), construct size 6.61 Kb, c) the 5' end of which is SEQ.ID:50: and 10 the 3' end of which is SEQ. ID:51:, V1Jns-BJ-NP (A/Beijing/353/89), construct size 6.42 Kb, d) the 5' end of which is SEQ.ID:52: and the 3' end of which is SEQ. ID:53:, V1Jns-BJ-M1 (A/Beijing/353/89), construct size 5.62 Kb, e) 15 the 5' end of which is SEQ.ID:54: and the 3' end of which is SEQ. ID:55:, V1Jns-PA-NP (B/Panama/45/90), construct size 6.54 Kb, f) the 5' end of which is SEQ.ID:56: and the 3' end of which is SEQ. ID:57:, and 20 V1Jns-PA-M1 (B/Panama/45/90), construct size 5.61 Kb, g) the 5' end of which is SEQ.ID:58: and the 3' end of which is SEQ. ID:59:.
- 25 23. The expression vector V1Jns.
  - 24. The expression vector V1JR, SEQ. ID:45:.
- 25. The use of an isolated human influenza virus gene operatively linked to one or more control sequences for incorporation in a vaccine for use in immunization against infection by human influenza virus.

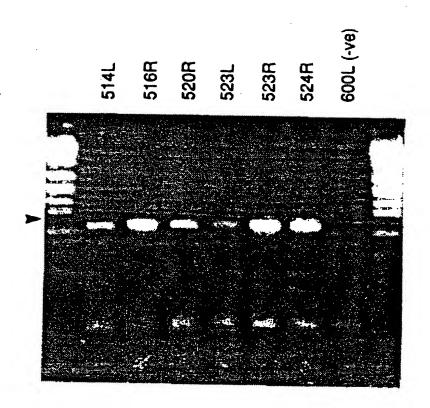


FIG. 1

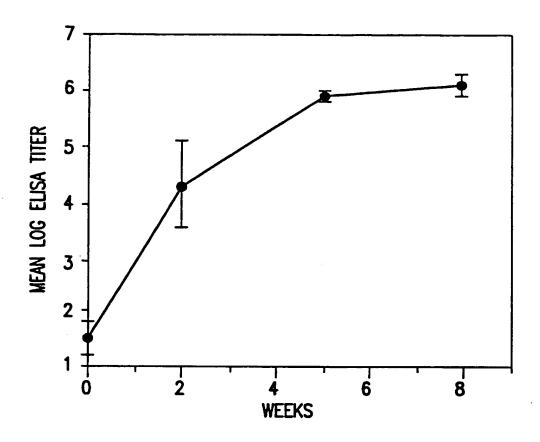
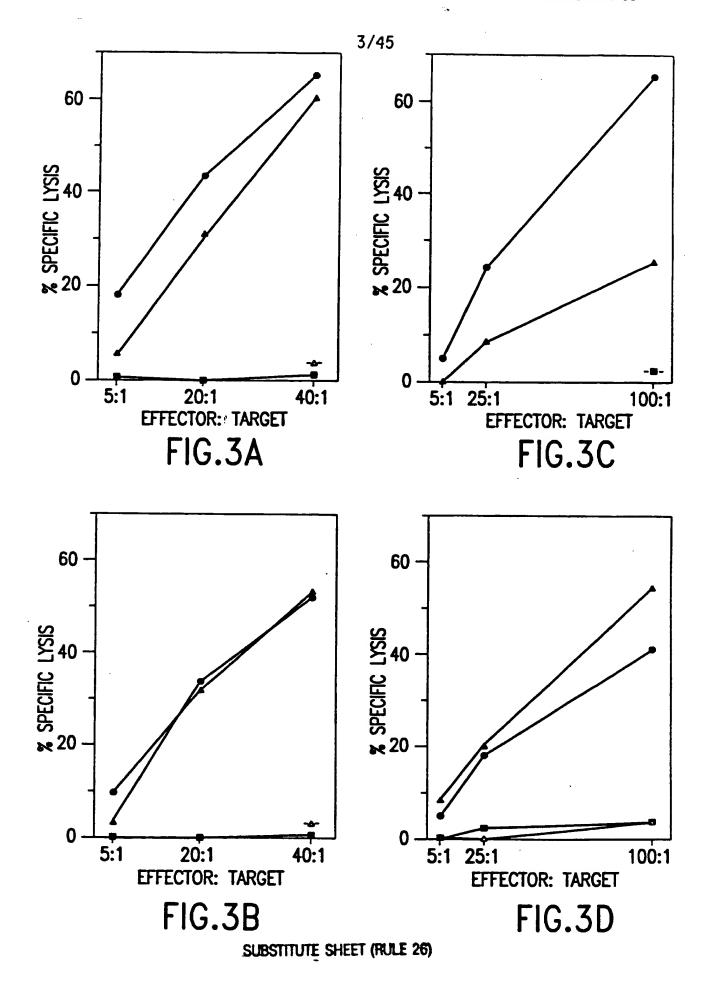
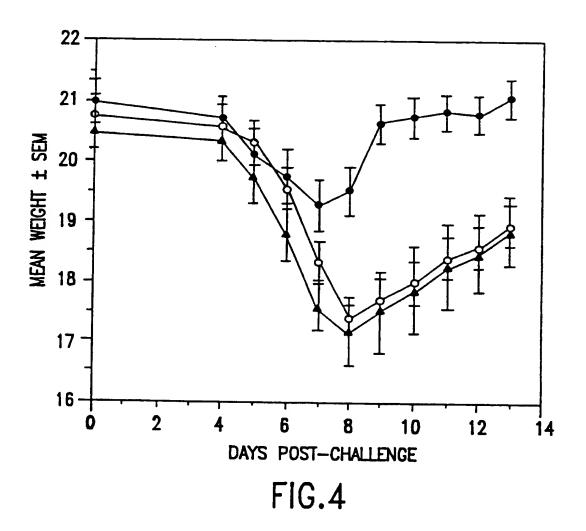


FIG.2





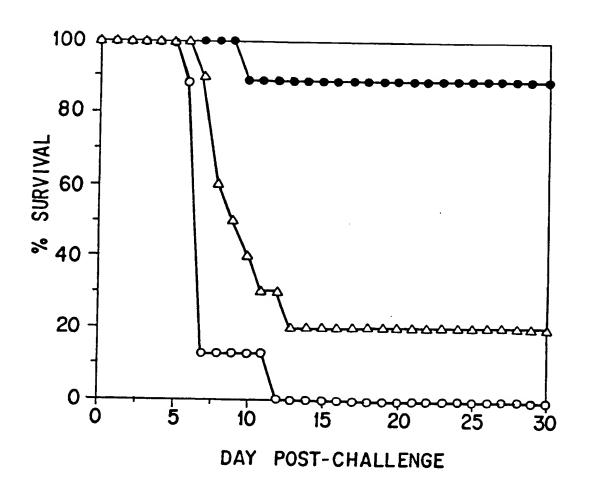


FIG.5

VIJ:Sequence, SEQ. ID:10:

1 TCGCGCGTTT CGGTGATGAC GGTGAAAACC TCTGACACAT GCAGCTCCCG 51 GAGACGGTCA CAGCTTGTCT GTAAGCGGAT GCCGGGAGCA GACAAGCCCG 101 TCAGGGCGCG TCAGCGGGTG TTGGCGGGTG TCGGGGCTGG CTTAACTATG 151 CGGCATCAGA GCAGATTGTA CTGAGAGTGC ACCATATGCG GTGTGAAATA 201 CCGCACAGAT GCGTAAGGAG AAAATACCGC ATCAGATTGG CTATTGGCCA 251 TTGCATACGT TGTATCCATA TCATAATATG TACATTTATA TTGGCTCATG 301 TCCAACATTA CCGCCATGTT GACATTGATT ATTGACTAGT TATTAATAGT 351 AATCAATTAC GGGGTCATTA GTTCATAGCC CATATATGGA GTTCCGCGTT 401 ACATAACTTA CGGTAAATGG CCCGCCTGGC TGACCGCCCA ACGACCCCCG 451 CCCATTGACG TCAATAATGA CGTATGTTCC CATAGTAACG CCAATAGGGA 501 CTTTCCATTG ACGTCAATGG GTGGAGTATT TACGGTAAAC TGCCCACTTG 551 GCAGTACATC AAGTGTATCA TATGCCAAGT ACGCCCCCTA TTGACGTCAA 601 TGACGGTAAA TGGCCCGCCT GGCATTATGC CCAGTACATG ACCTTATGGG 651 ACTTTCCTAC TTGGCAGTAC ATCTACGTAT TAGTCATCGC TATTACCATG 701 GTGATGCGGT TTTGGCAGTA CATCAATGGG CGTGGATAGC GGTTTGACTC 751 ACGGGGATTT CCAAGTCTCC ACCCCATTGA CGTCAATGGG AGTTTGTTTT 801 GGCACCAAAA TCAACGGGAC TTTCCAAAAT GTCGTAACAA CTCCGCCCCA 851 TTGACGCAAA TGGGCGGTAG GCGTGTACGG TGGGAGGTCT ATATAAGCAG 901 AGCTCGTTTA GTGAACCGTC AGATCGCCTG GAGACGCCAT CCACGCTGTT 951 TTGACCTCCA TAGAAGACAC CGGGACCGAT CCAGCCTCCG CGGCCGGGAA 1001 CGGTGCATTG GAACGCGGAT TCCCCGTGCC AAGAGTGACG TAAGTACCGC 1051 CTATAGAGTC TATAGGCCCA CCCCCTTGGC TTCTTATGCA TGCTATACTG 1101 TITTITGGCTT GGGGTCTATA CACCCCCGCT TCCTCATGTT ATAGGTGATG 1151 GTATAGCTTA GCCTATAGGT GTGGGTTATT GACCACTCCC 1201 CTATTGGTGA CGATACTTTC CATTACTAAT CCATAACATG GCTCTTTGCC

SUBSTITUTE SHEET (RULE 26)

1251 ACAACTCTCT TTATTGGCTA TATGCCAATA CACTGTCCTT CAGAGACTGA 1301 CACGGACTCT GTATTTTTAC AGGATGGGGT CTCATTTATT ATTTACAAAT 1351 TCACATATAC AACACCACCG TCCCCAGTGC CCGCAGTTTT TATTAAACAT 1401 AACGTGGGAT CTCCACGCGA ATCTCGGGTA CGTGTTCCGG ACATGGGCTC 1451 TTCTCCGGTA GCGGCGGAGC TTCTACATCC GAGCCCTGCT CCCATGCCTC 1501 CAGCGACTCA TGGTCGCTCG GCAGCTCCTT GCTCCTAACA GTGGAGGCCA 1551 GACTTAGGCA CAGCACGATG CCCACCACCA CCAGTGTGCC GCACAAGGCC 1601 GTGGCGGTAG GGTATGTGTC TGAAAATGAG CTCGGGGAGC GGGCTTGCAC 1651 CGCTGACGCA TTTGGAAGAC TTAAGGCAGC GGCAGAAGAA GATGCAGGCA 1701 GCTGAGTTGT TGTGTTCTGA TAAGAGTCAG AGGTAACTCC CGTTGCGGTG 1751 CTGTTAACGG TGGAGGGCAG TGTAGTCTGA GCAGTACTCG TTGCTGCCGC 1801 GCGCGCCACC AGACATAATA GCTGACAGAC TAACAGACTG TTCCTTTCCA 1851 TGGGTCTTTT CTGCAGTCAC CGTCCTTAG ATCTGCTGTG CCTTCTAGTT 1901 GCCAGCCATC TGTTGTTTGC CCCTCCCCCG TGCCTTCCTT GACCCTGGAA 1951 GGTGCCACTC CCACTGTCCT TTCCTAATAA AATGAGGAAA TTGCATCGCA 2051 GCAAGGGGGA GGATTGGGAA GACAATAGCA GGCATGCTGG GGATGCGGTG 2101 GGCTCTATGG GTACCCAGGT GCTGAAGAAT TGACCCGGTT CCTCCTGGGC 2151 CAGAAAGAAG CAGGCACATC CCCTTCTCTG TGACACACCC TGTCCACGCC 2201 CCTGGTTCTT AGTTCCAGCC CCACTCATAG GACACTCATA GCTCAGGAGG 2251 GCTCCGCCTT CAATCCCACC CGCTAAAGTA CTTGGAGCGG TCTCTCCCTC 2301 CCTCATCAGC CCACCAAACC AAACCTAGCC TCCAAGAGTG GGAAGAAATT 2351 AAAGCAAGAT AGGCTATTAA GTGCAGAGGG AGAGAAAATG CCTCCAACAT 2401 GTGAGGAAGT AATGAGAGAA ATCATAGAAT TTCTTCCGCT TCCTCGCTCA 2451 CTGACTCGCT GCGCTCGGTC GTTCGGCTGC GGCGAGCGGT ATCAGCTCAC

FIG. 6B SUBSTITUTE SHEET (RULE 26)

2501 TCAAAGGCGG TAATACGGTT ATCCACAGAA TCAGGGGATA ACGCAGGAAA 2551 GAACATGTGA GCAAAAGGCC AGCAAAAGGC CAGGAACCGT AAAAAGGCCG 2601 CGTTGCTGGC GTTTTTCCAT AGGCTCCGCC CCCCTGACGA GCATCACAAA 2651 AATCGACGCT CAAGTCAGAG GTGGCGAAAC CCGACAGGAC TATAAAGATA 2701 CCAGGCGTTT CCCCCTGGAA GCTCCCTCGT GCGCTCTCCT GTTCCGACCC 2751 TGCCGCTTAC CGGATACCTG TCCGCCTTTC TCCCTTCGGG AAGCGTGGCG 2801 CTTTCTCAAT GCTCACGCTG TAGGTATCTC AGTTCGGTGT AGGTCGTTCG 2851 CTCCAAGCTG GGCTGTGTGC ACGAACCCCC CGTTCAGCCC GACCGCTGCG 2901 CCTTATCCGG TAACTATCGT CTTGAGTCCA ACCCGGTAAG ACACGACTTA 2951 TCGCCACTGG CAGCAGCCAC TGGTAACAGG ATTAGCAGAG CGAGGTATGT 3001 AGGCGGTGCT ACAGAGTTCT TGAAGTGGTG GCCTAACTAC GGCTACACTA 3051 GAAGGACAGT ATTTGGTATC TGCGCTCTGC TGAAGCCAGT TACCTTCGGA 3101 AAAAGAGTTG GTAGCTCTTG ATCCGGCAAA CAAACCACCG CTGGTAGCGG 3151 TGGTTTTTTT GTTTGCAAGC AGCAGATTAC GCGCAGAAAA AAAGGATCTC 3201 AAGAAGATCC TTTGATCTTT TCTACGGGGT CTGACGCTCA GTGGAACGAA 3251 AACTCACGTT AAGGGATTTT GGTCATGAGA TTATCAAAAA GGATCTTCAC 3301 CTAGATCCTT TTAAATTAAA AATGAAGTTT TAAATCAATC TAAAGTATAT 3351 ATGAGTAAAC TTGGTCTGAC AGTTACCAAT GCTTAATCAG TGAGGCACCT 3401 ATCTCAGCGA TCTGTCTATT TCGTTCATCC ATAGTTGCCT GACTCCCCGT 3451 CGTGTAGATA ACTACGATAC GGGAGGGCTT ACCATCTGGC CCCAGTGCTG 3501 CAATGATACC GCGAGACCCA CGCTCACCGG CTCCAGATTT ATCAGCAATA 3551 AACCAGCCAG CCGGAAGGGC CGAGCGCAGA AGTGGTCCTG CAACTTTATC 3601 CGCCTCCATC CAGTCTATTA ATTGTTGCCG GGAAGCTAGA GTAAGTAGTT 3651 CGCCAGTTAA TAGTTTGCGC AACGTTGTTG CCATTGCTAC AGGCATCGTG 3701 GTGTCACGCT CGTCGTTTGG TATGGCTTCA TTCAGCTCCG GTTCCCAACG

SUBSTITUTE SHEET (RULE 26)

3751 ATCAAGGCGA GTTACATGAT CCCCCATGTT GTGCAAAAAA GCGGTTAGCT
3801 CCTTCGGTCC TCCGATCGTT GTCAGAAGTA AGTTGGCCGC AGTGTTATCA
3851 CTCATGGTTA TGGCAGCACT GCATAATTCT CTTACTGTCA TGCCATCCGT
3901 AAGATGCTTT TCTGTGACTG GTGAGTACTC AACCAAGTCA TTCTGAGAAT
3951 AGTGTATGCG GCGACCGAGT TGCTCTTGCC CGGCGTCAAT ACGGGATAAT
4001 ACCGCGCCAC ATAGCAGAAC TTTAAAAGTG CTCATCATTG GAAAACGTTC
4051 TTCGGGGCGA AAACTCTCAA GGATCTTACC GCTGTTGAGA TCCAGTTCGA
4101 TGTAACCCAC TCGTGCACCC AACTGATCTT CAGCATCTTT TACTTTCACC
4151 AGCGTTTCTG GGTGAGCAAA AACAGGAAGG CAAAATGCCG CAAAAAAGGG
4201 AATAAGGGCG ACACGGAAAT GTTGAATACT CATACTCTTC CTTTTTCAAT
4251 ATTATTGAAG CATTTATCAG GGTTATTGTC TCATGAGCGG ATACATATTT
4301 GAATGTATTT AGAAAAATAA ACAAATAGGG GTTCCGCGCA CATTTCCCCG
4351 AAAAGTGCCA CCTGACGTCT AAGAAACCAT TATTATCATG ACATTAACCT

FIG. 6D

VIJneo Sequence, SEQ. ID:18:

1 TCGCGCGTTT CGGTGATGAC GGTGAAAACC TCTGACACAT GCAGCTCCCG 51 GAGACGGTCA CAGCTTGTCT GTAAGCGGAT GCCGGGAGCA GACAAGCCCG 101 TCAGGGCGCG TCAGCGGGTG TTGGCGGGTG TCGGGGCTGG CTTAACTATG 151 CGGCATCAGA GCAGATTGTA CTGAGAGTGC ACCATATGCG GTGTGAAATA 201 CCGCACAGAT GCGTAAGGAG AAAATACCGC ATCAGATTGG CTATTGGCCA 251 TTGCATACGT TGTATCCATA TCATAATATG TACATTTATA TTGGCTCATG 301 TCCAACATTA CCGCCATGTT GACATTGATT ATTGACTAGT TATTAATAGT 351 AATCAATTAC GGGGTCATTA GTTCATAGCC CATATATGGA GTTCCGCGTT 401 ACATAACTTA CGGTAAATGG CCCGCCTGGC TGACCGCCCA ACGACCCCCG 451 CCCATTGACG TCAATAATGA CGTATGTTCC CATAGTAACG CCAATAGGGA 501 CTTTCCATTG ACGTCAATGG GTGGAGTATT TACGGTAAAC TGCCCACTTG 551 GCAGTACATC AAGTGTATCA TATGCCAAGT ACGCCCCCTA TTGACGTCAA 601 TGACGGTAAA TGGCCCGCCT GGCATTATGC CCAGTACATG ACCTTATGGG 651 ACTITICCTAC TIGGCAGTAC ATCTACGTAT TAGTCATCGC TATTACCATG 701 GTGATGCGGT TTTGGCAGTA CATCAATGGG CGTGGATAGC GGTTTGACTC 751 ACGGGGATTT CCAAGTCTCC ACCCCATTGA CGTCAATGGG AGTTTGTTTT 801 GGCACCAAAA TCAACGGGAC TTTCCAAAAT GTCGTAACAA CTCCGCCCCA 851 TTGACGCAAA TGGGCGGTAG GCGTGTACGG TGGGAGGTCT ATATAAGCAG 901 AGCTCGTTTA GTGAACCGTC AGATCGCCTG GAGACGCCAT CCACGCTGTT 951 TTGACCTCCA TAGAAGACAC CGGGACCGAT CCAGCCTCCG CGGCCGGGAA 1001 CGGTGCATTG GAACGCGGAT TCCCCGTGCC AAGAGTGACG TAAGTACCGC 1051 CTATAGAGTC TATAGGCCCA CCCCCTTGGC TTCTTATGCA TGCTATACTG 1101 TITTIGGCTT GGGGTCTATA CACCCCCGCT TCCTCATGTT ATAGGTGATG 1151 GTATAGCTTA GCCTATAGGT GTGGGTTATT GACCATTATT GACCACTCCC

FIG. 7A SUBSTITUTE SHEET (RULE 26)

WO 94/21797 PCT/US94/02751

11/45 1201 CTATTGGTGA CGATACTTTC CATTACTAAT CCATAACATG GCTCTTTGCC 1251 ACAACTCTCT TTATTGGCTA TATGCCAATA CACTGTCCTT CAGAGACTGA 1301 CACGGACTCT GTATTTTTAC AGGATGGGGT CTCATTTATT ATTTACAAAT 1351 TCACATATAC AACACCACCG TCCCCAGTGC CCGCAGTTTT TATTAAACAT 1401 AACGTGGGAT CTCCACGCGA ATCTCGGGTA CGTGTTCCGG ACATGGGCTC 1451 TTCTCCGGTA GCGCCGGAGC TTCTACATCC GAGCCCTGCT CCCATGCCTC 1501 CAGCGACTCA TGGTCGCTCG GCAGCTCCTT GCTCCTAACA GTGGAGGCCA 1551 GACTTAGGCA CAGCACGATG CCCACCACCA CCAGTGTGCC GCACAAGGCC 1601 GTGGCGGTAG GGTATGTGTC TGAAAATGAG CTCGGGGAGC GGGCTTGCAC 1651 CGCTGACGCA TTTGGAAGAC TTAAGGCAGC GGCAGAAGAA GATGCAGGCA 1701 GCTGAGTTGT TGTGTTCTGA TAAGAGTCAG AGGTAACTCC CGTTGCGGTG 1751 CTGTTAACGG TGGAGGGCAG TGTAGTCTGA GCAGTACTCG TTGCTGCCGC 1801 GCGCGCCACC AGACATAATA GCTGACAGAC TAACAGACTG TTCCTTTCCA 1851 TGGGTCTTTT CTGCAGTCAC CGTCCTTAG ATCTGCTGTG CCTTCTAGTT 1901 GCCAGCCATC TGTTGTTTGC CCCTCCCCG TGCCTTCCTT GACCCTGGAA 1951 GGTGCCACTC CCACTGTCCT TTCCTAATAA AATGAGGAAA TTGCATCGCA 2001 TTGTCTGAGT AGGTGTCATT CTATTCTGGG GGGTGGGGGTG GGGCAGCACA 2051 GCAAGGGGA GGATTGGGAA GACAATAGCA GGCATGCTGG GGATGCGGTG 2101 GGCTCTATGG GTACCCAGGT GCTGAAGAAT TGACCCGGTT CCTCCTGGGC 2151 CAGAAAGAAG CAGGCACATC CCCTTCTCTG TGACACACCC TGTCCACGCC 2201 CCTGGTTCTT AGTTCCAGCC CCACTCATAG GACACTCATA GCTCAGGAGG 2251 GCTCCGCCTT CAATCCCACC CGCTAAAGTA CTTGGAGCGG TCTCTCCCTC 2301 CCTCATCAGC CCACCAAACC AAACCTAGCC TCCAAGAGTG GGAAGAAATT 2351 AAAGCAAGAT AGGCTATTAA GTGCAGAGGG AGAGAAAATG CCTCCAACAT 2401 GTGAGGAAGT AATGAGAGAA ATCATAGAAT TTCTTCCGCT TCCTCGCTCA

FIG. 7B SUBSTITUTE SHEET (MULE 26)

2451 CTGACTCGCT GCGCTCGGTC GTTCGGCTGC GGCGAGCGGT ATCAGCTCAC 2501 TCAAAGGCGG TAATACGGTT ATCCACAGAA TCAGGGGATA ACGCAGGAAA 2551 GAACATGTGA GCAAAAGGCC AGCAAAAGGC CAGGAACCGT AAAAAGGCCG 2601 CGTTGCTGGC GTTTTTCCAT AGGCTCCGCC CCCCTGACGA GCATCACAAA 2651 AATCGACGCT CAAGTCAGAG GTGGCGAAAC CCGACAGGAC TATAAAGATA 2701 CCAGGCGTTT CCCCCTGGAA GCTCCCTCGT GCGCTCTCCT GTTCCGACCC 2751 TGCCGCTTAC CGGATACCTG TCCGCCTTTC TCCCTTCGGG AAGCGTGGCG 2801 CTTTCTCAAT GCTCACGCTG TAGGTATCTC AGTTCGGTGT AGGTCGTTCG 2851 CTCCAAGCTG GGCTGTGTGC ACGAACCCCC CGTTCAGCCC GACCGCTGCG 2901 CCTTATCCGG TAACTATCGT CTTGAGTCCA ACCCGGTAAG ACACGACTTA 2951 TCGCCACTGG CAGCAGCCAC TGGTAACAGG ATTAGCAGAG CGAGGTATGT 3001 AGGCGGTGCT ACAGAGTTCT TGAAGTGGTG GCCTAACTAC GGCTACACTA 3051 GAAGGACAGT ATTTGGTATC TGCGCTCTGC TGAAGCCAGT TACCTTCGGA 3101 AAAAGAGTTG GTAGCTCTTG ATCCGGCAAA CAAACCACCG CTGGTAGCGG 3151 TGGTTTTTTT GTTTGCAAGC AGCAGATTAC GCGCAGAAAA AAAGGATCTC 3201 AAGAAGATCC TTTGATCTTT TCTACGGGGT CTGACGCTCA GTGGAACGAA 3251 AACTCACGTT AAGGGATTTT GGTCATGAGA TTATCAAAAA GGATCTTCAC 3301 CTAGATCCTT TTAAATTAAA AATGAAGTTT TAAATCAATC TAAAGTATAT 3351 ATGAGTAAAC TTGGTCTGAC AGTTACCAAT GCTTAATCAG TGAGGCACCT 3401 ATCTCAGCGA TCTGTCTATT TCGTTCATCC ATAGTTGCCT GACTCCGGGG 3451 GGGGGGGGCG CTGAGGTCTG CCTCGTGAAG AAGGTGTTGC TGACTCATAC 3501 CAGGCCTGAA TCGCCCCATC ATCCAGCCAG AAAGTGAGGG AGCCACGGTT 3551 GATGAGAGCT TTGTTGTAGG TGGACCAGTT GGTGATTTTG AACTTTTGCT 3601 TTGCCACGGA ACGGTCTGCG TTGTCGGGAA GATGCGTGAT CTGATCCTTC 3651 AACTCAGCAA AAGTTCGATT TATTCAACAA AGCCGCCGTC CCGTCAAGTC

FIG. /C SUBSTITUTE SHEET (RULE 26)

3701 AGCGTAATGC TCTGCCAGTG TTACAACCAA TTAACCAATT CTGATTAGAA 3751 AAACTCATCG AGCATCAAAT GAAACTGCAA TITATTCATA TCAGGATTAT 3801 CAATACCATA TTTTTGAAAA AGCCGTTTCT GTAATGAAGG AGAAAACTCA 3851 CCGAGGCAGT TCCATAGGAT GGCAAGATCC TGGTATCGGT CTGCGATTCC 3901 GACTCGTCCA ACATCAATAC AACCTATTAA TTTCCCCTCG TCAAAAATAA 3951 GGTTATCAAG TGAGAAATCA CCATGAGTGA CGACTGAATC CGGTGAGAAT 4001 GGCAAAAGCT TATGCATTTC TTTCCAGACT TGTTCAACAG GCCAGCCATT 4051 ACGCTCGTCA TCAAAATCAC TCGCATCAAC CAAACCGTTA TTCATTCGTG 4101 ATTGCGCCTG AGCGAGACGA AATACGCGAT CGCTGTTAAA AGGACAATTA 4151 CAAACAGGAA TCGAATGCAA CCGGCGCAGG AACACTGCCA GCGCATCAAC 4201 AATATTTCA CCTGAATCAG GATATTCTTC TAATACCTGG AATGCTGTTT 4251 TCCCGGGGAT CGCAGTGGTG AGTAACCATG CATCATCAGG AGTACGGATA 4301 AAATGCTTGA TGGTCGGAAG AGGCATAAAT TCCGTCAGCC AGTTTAGTCT 4351 GACCATCTCA TCTGTAACAT CATTGGCAAC GCTACCTTTG CCATGTTTCA 4401 GAAACAACTC TGGCGCATCG GGCTTCCCAT ACAATCGATA GATTGTCGCA 4451 CCTGATTGCC CGACATTATC GCGAGCCCAT TTATACCCAT ATAAATCAGC 4501 ATCCATGTTG GAATTTAATC GCGGCCTCGA GCAAGACGTT TCCCGTTGAA 4551 TATGGCTCAT AACACCCCTT GTATTACTGT TTATGTAAGC AGACAGTTTT 4601 ATTGTTCATG ATGATATATT TTTATCTTGT GCAATGTAAC ATCAGAGATT 4651 TTGAGACACA ACGTGGCTTT CCCCCCCCC CCATTATTGA AGCATTTATC 4701 AGGGTTATTG TCTCATGAGC GGATACATAT TTGAATGTAT TTAGAAAAAT 4751 AAACAAATAG GGGTTCCGCG CACATTTCCC CGAAAAGTGC CACCTGACGT 4801 CTAAGAAACC ATTATTATCA TGACATTAAC CTATAAAAAT AGGCGTATCA 4851 CGAGGCCCTT TCGTC

FIG. 7D SUBSTITUTE SHEET (RULE 26)

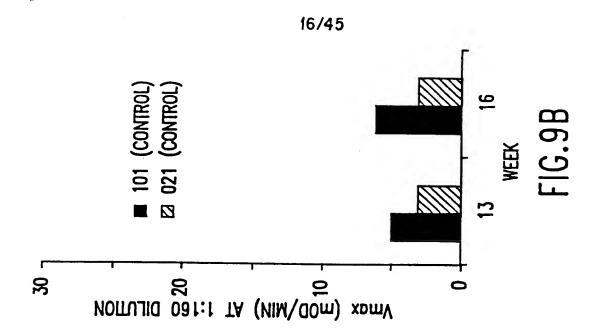
CMVintaBGH Sequence, SEQ. ID:11:

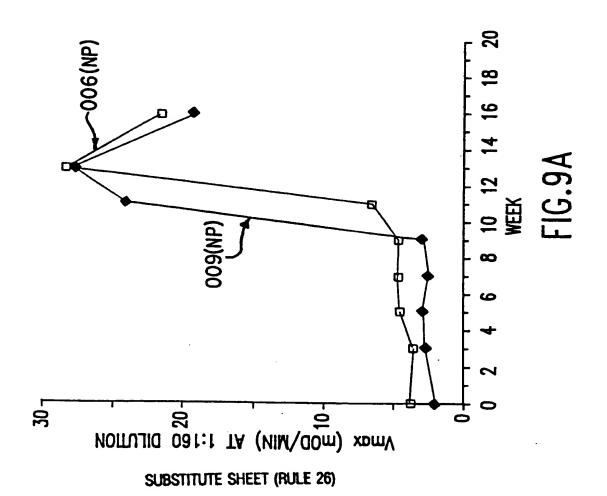
1 ATTGGCTATT GGCCATTGCA TACGTTGTAT CCATATCATA ATATGTACAT 51 TTATATTGGC TCATGTCCAA CATTACCGCC ATGTTGACAT TGATTATTGA 101 CTAGTTATTA ATAGTAATCA ATTACGGGGT CATTAGTTCA TAGCCCATAT 151 ATGGAGTTCC GCGTTACATA ACTTACGGTA AATGGCCCGC CTGGCTGACC 201 GCCCAACGAC CCCCGCCCAT TGACGTCAAT AATGACGTAT GTTCCCATAG 251 TAACGCCAAT AGGGACTTTC CATTGACGTC AATGGGTGGA GTATTTACGG 301 TAAACTGCCC ACTTGGCAGT ACATCAAGTG TATCATATGC CAAGTACGCC 351 CCCTATTGAC GTCAATGACG GTAAATGGCC CGCCTGGCAT TATGCCCAGT 401 ACATGACCTT ATGGGACTTT CCTACTTGGC AGTACATCTA CGTATTAGTC 451 ATCGCTATTA CCATGGTGAT GCGGTTTTGG CAGTACATCA ATGGGCGTGG 501 ATAGCGGTTT GACTCACGGG GATTTCCAAG TCTCCACCCC ATTGACGTCA 551 ATGGGAGTTT GTTTTGGCAC CAAAATCAAC GGGACTTTCC AAAATGTCGT 601 AACAACTCCG CCCCATTGAC GCAAATGGGC GGTAGGCGTG TACGGTGGGA 651 GGTCTATATA AGCAGAGCTC GTTTAGTGAA CCGTCAGATC GCCTGGAGAC 701 GCCATCCACG CTGTTTTGAC CTCCATAGAA GACACCGGGA CCGATCCAGC 751 CTCCGCGGCC GGGAACGGTG CATTGGAACG CGGATTCCCC GTGCCAAGAG 801 TGACGTAAGT ACCGCCTATA GAGTCTATAG GCCCACCCCC TTGGCTTCTT 851 ATGCATGCTA TACTGTTTTT GGCTTGGGGT CTATACACCC CCGCTTCCTC 901 ATGITATAGG TGATGGTATA GCTTAGCCTA TAGGTGTGGG TTATTGACCA 951 TTATTGACCA CTCCCCTATT GGTGACGATA CTTTCCATTA CTAATCCATA 1001 ACATGGCTCT TTGCCACAAC TCTCTTTATT GGCTATATGC CAATACACTG 1051 TCCTTCAGAG ACTGACACGG ACTCTGTATT TTTACAGGAT GGGGTCTCAT 1101 TTATTATTTA CAAATTCACA TATACAACAC CACCGTCCCC AGTGCCCGCA 1151 GTTTTTATTA AACATAACGT GGGATCTCCA CGCGAATCTC GGGTACGTGT 1201 TCCGGACATG GGCTCTTCTC CGGTAGCGGC GGAGCTTCTA CATCCGAGCC FIG. 8A

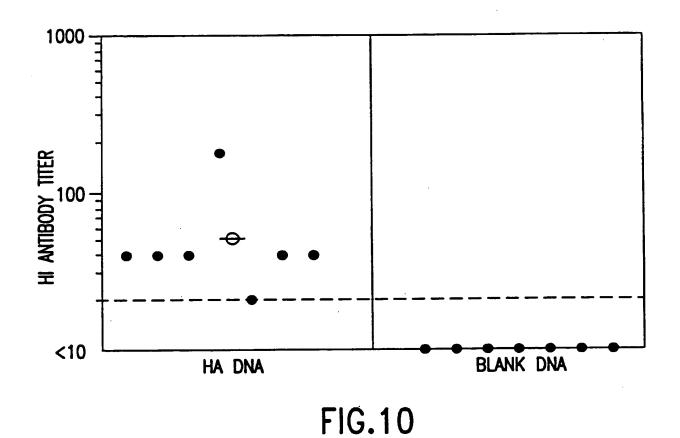
## SUBSTITUTE SHEET (RULE 26)

1251 CTGCTCCCAT GCCTCCAGCG ACTCATGGTC GCTCGGCAGC TCCTTGCTCC 1301 TAACAGTGGA GGCCAGACTT AGGCACAGCA CGATGCCCAC CACCACCAGT 1351 GTGCCGCACA AGGCCGTGGC GGTAGGGTAT GTGTCTGAAA ATGAGCTCGG 1401 GGAGCGGGCT TGCACCGCTG ACGCATTTGG AAGACTTAAG GCAGCGGCAG 1451 AAGAAGATGC AGGCAGCTGA GTTGTTGTGT TCTGATAAGA GTCAGAGGTA 1501 ACTCCCGTTG CGGTGCTGTT AACGGTGGAG GGCAGTGTAG TCTGAGCAGT 1551 ACTCGTTGCT GCCGCGCGC CCACCAGACA TAATAGCTGA CAGACTAACA 1601 GACTGTTCCT TTCCATGGGT CTTTTCTGCA GTCACCGTCC TTAGATCTG 1651 CTGTGCCTTC TAGTTGCCAG CCATCTGTTG TTTGCCCCTC CCCCGTGCCT 1701 TCCTTGACCC TGGAAGGTGC CACTCCCACT GTCCTTTCCT AATAAAATGA 1751 GGAAATTGCA TCGCATTGTC TGAGTAGGTG TCATTCTATT CTGGGGGGGTG 1801 GGGTGGGGCA GCACAGCAAG GGGGAGGATT GGGAAGACAA TAGCAGGCAT 1851 GCTGGGGATG CGGTGGGCTC TATGGGTACC CAGGTGCTGA AGAATTGACC 1901 CGGTTCCTCC TGGGCCAGAA AGAAGCAGGC ACATCCCCTT CTCTGTGACA 1951 CACCCTGTCC ACGCCCCTGG TTCTTAGTTC CAGCCCCACT CATAGGACAC 2001 TCATAGCTCA GGAGGGCTCC GCCTTCAATC CCACCCGCTA AAGTACTTGG 2101 GAGTGGGAAG AAATTAAAGC AAGATAGGCT ATTAAGTGCA GAGGGAGAGA 2151 AAATGCCTCC AACATGTGAG GAAGTAATGA GAGAAATCAT AGAATTC

FIG. 8B







SUBSTITUTE SHEET (RULE 26)

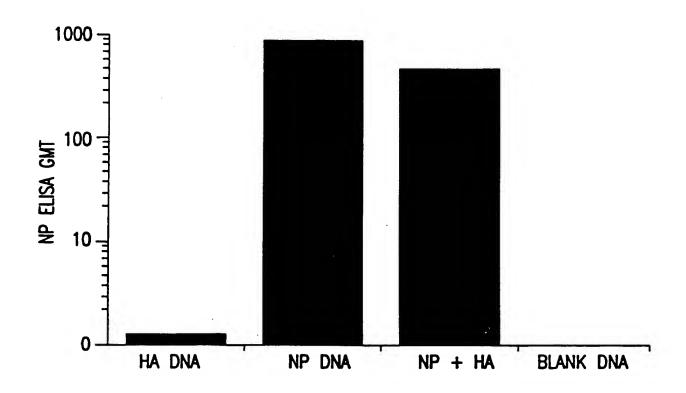
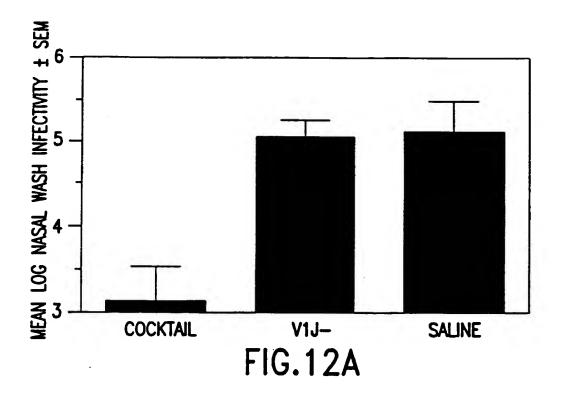
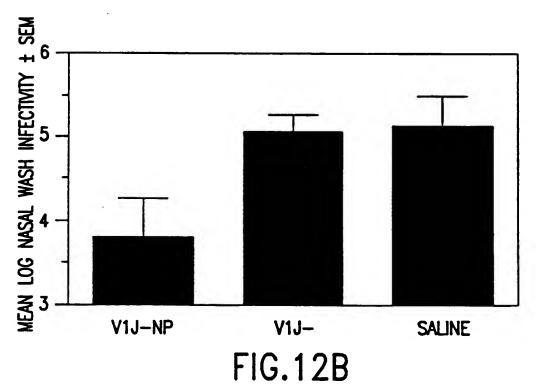
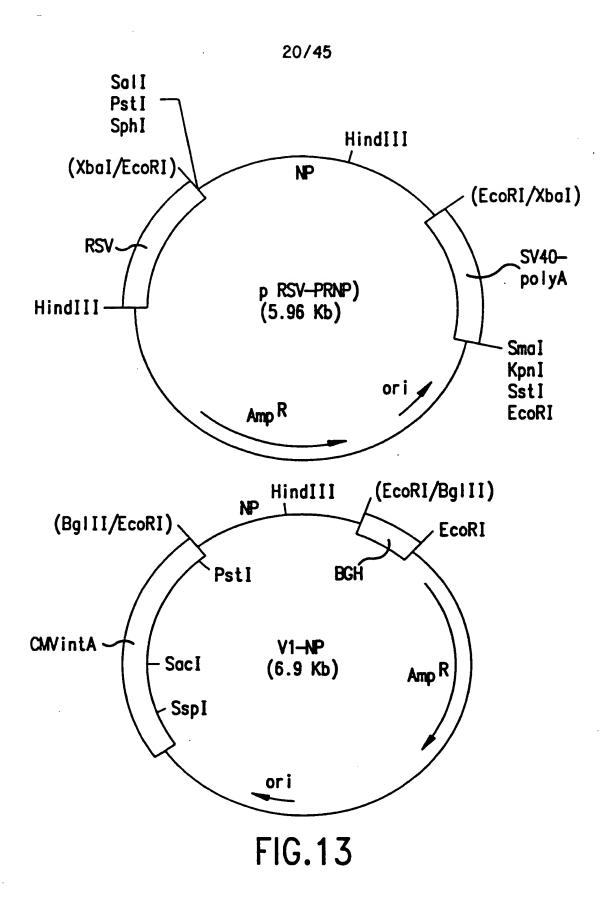


FIG.11





SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

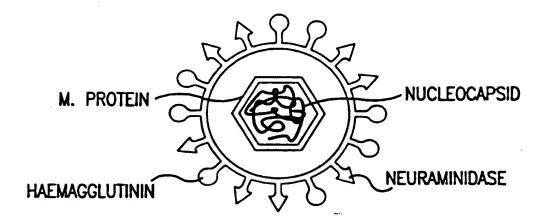


FIG.14A

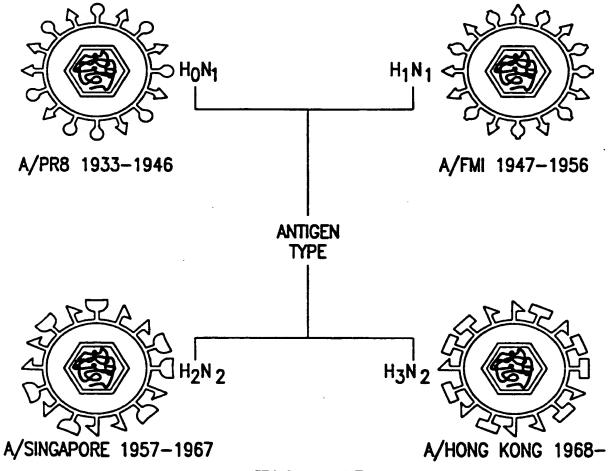
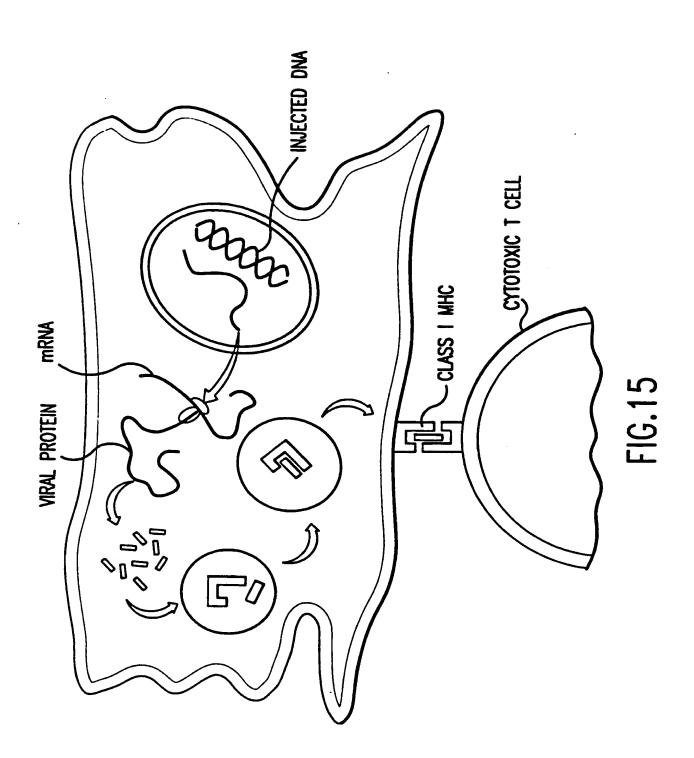
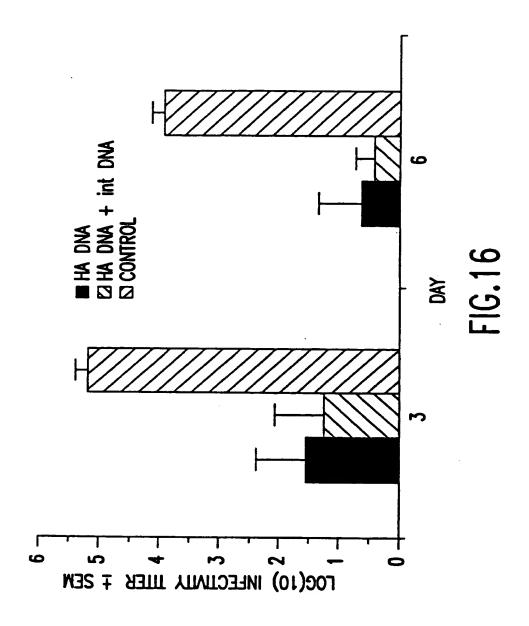


FIG.14B

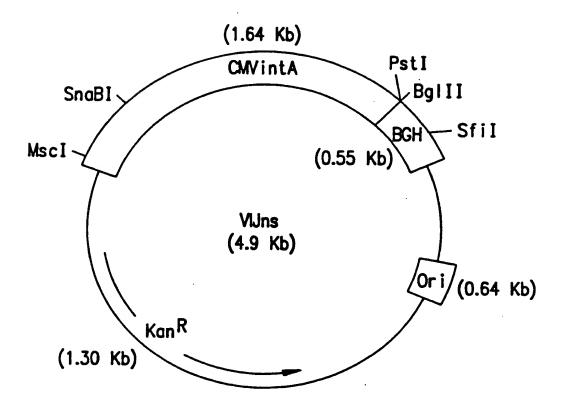
SUBSTITUTE SHEET (RULE 26)



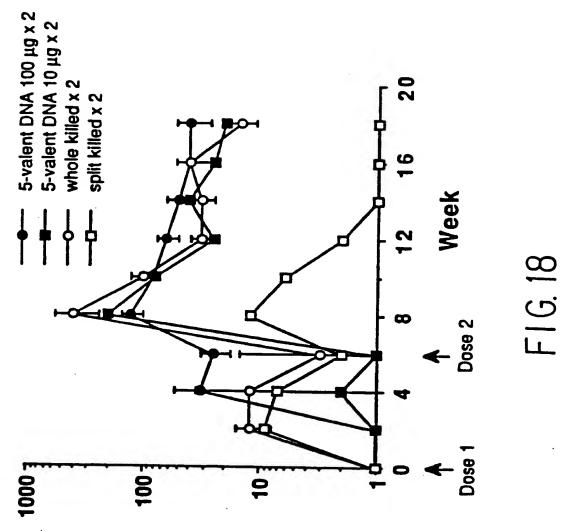
SUBSTITUTE SHEET (RULE 26)



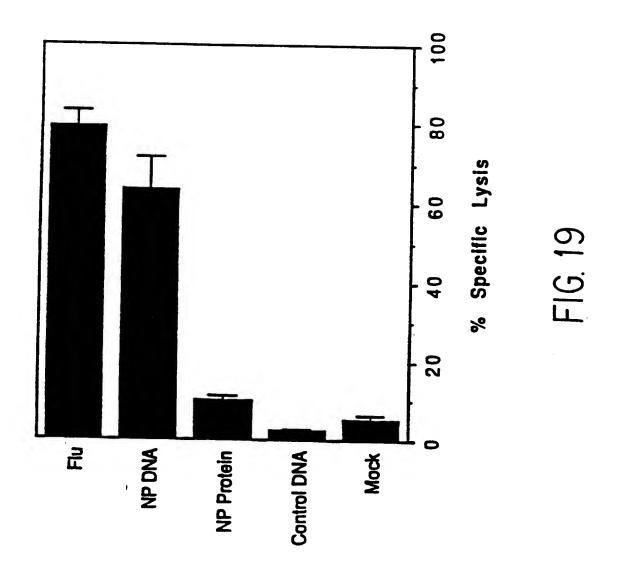
SUBSTITUTE SHEET (RULE 26)



**FIG.17** 



Geometric Mean HI Titer ± SEM



SUBSTITUTE SHEET (RULE 26)

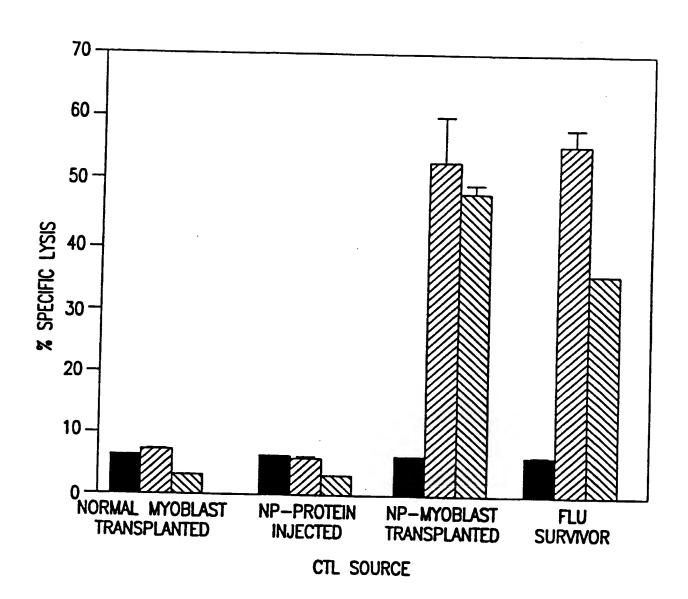
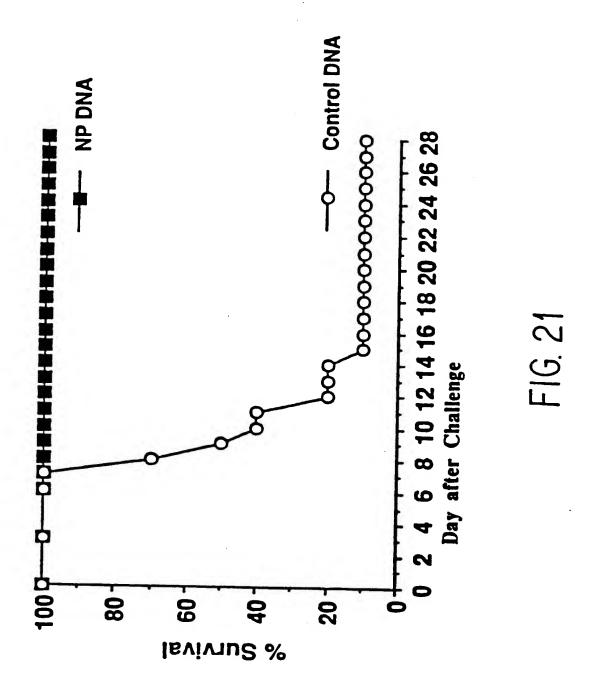
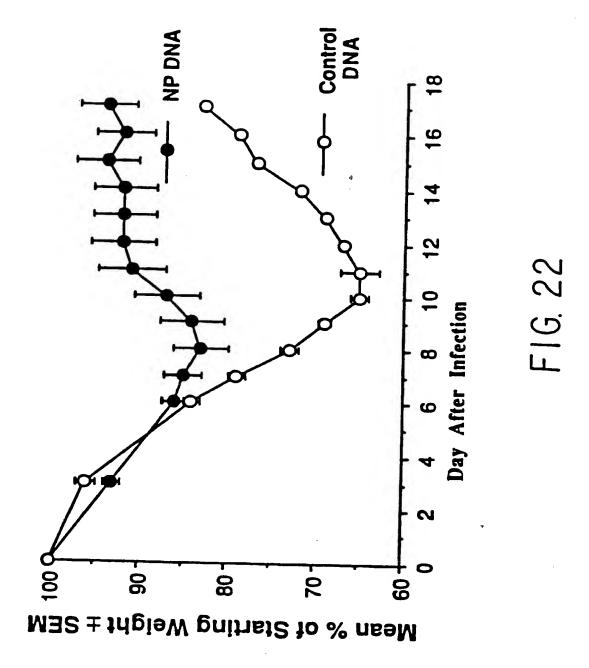
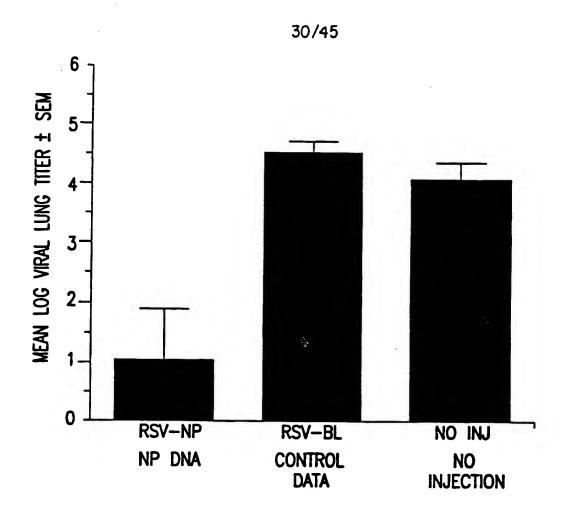


FIG.20

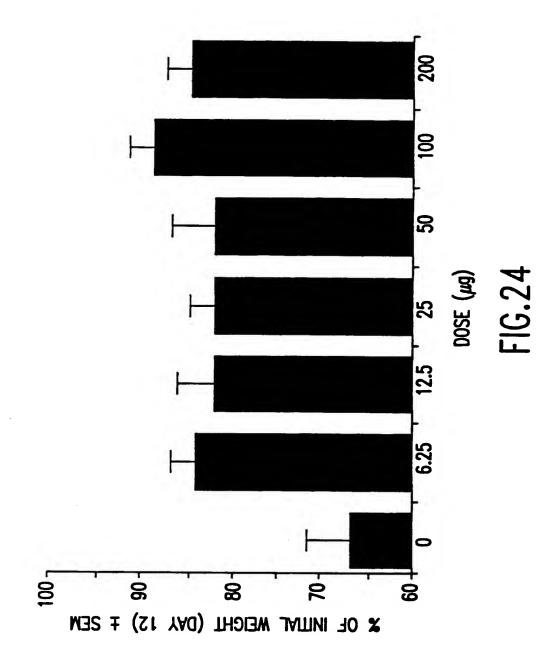




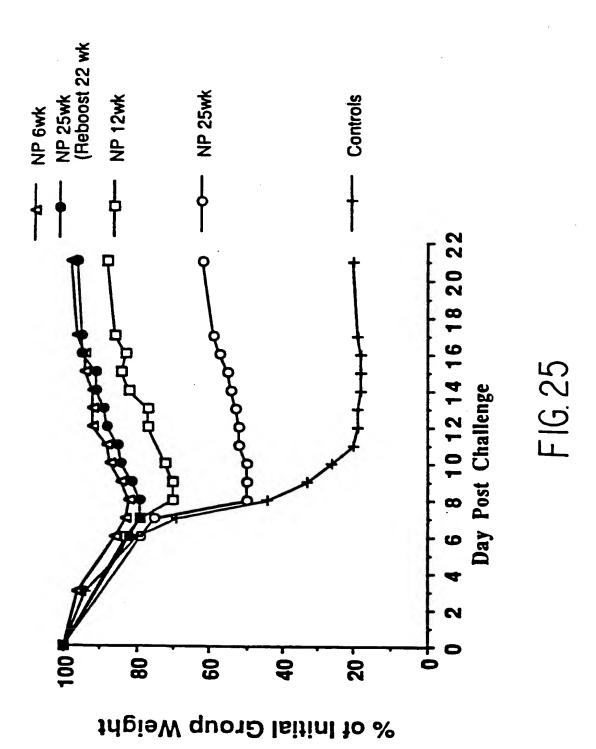
SUBSTITUTE SHEET (RULE 26)



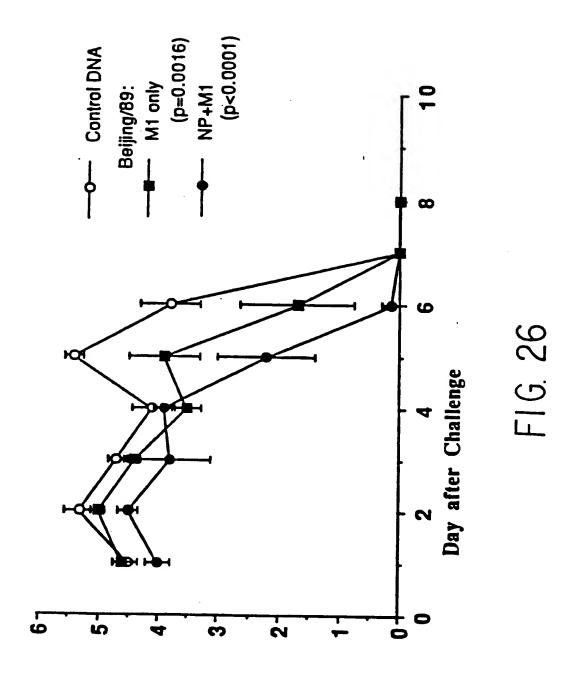
**FIG.23** 



SUBSTITUTE SHEET (RULE 26)

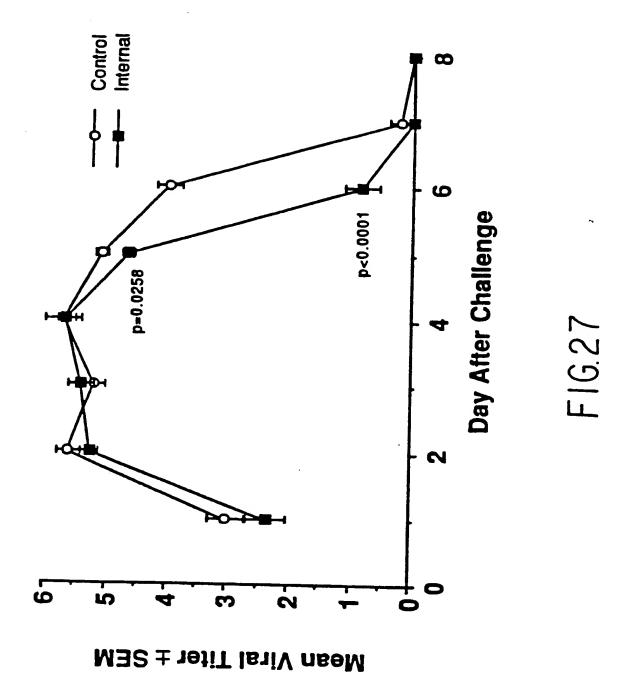


SUBSTITUTE SHEET (RULE 26)

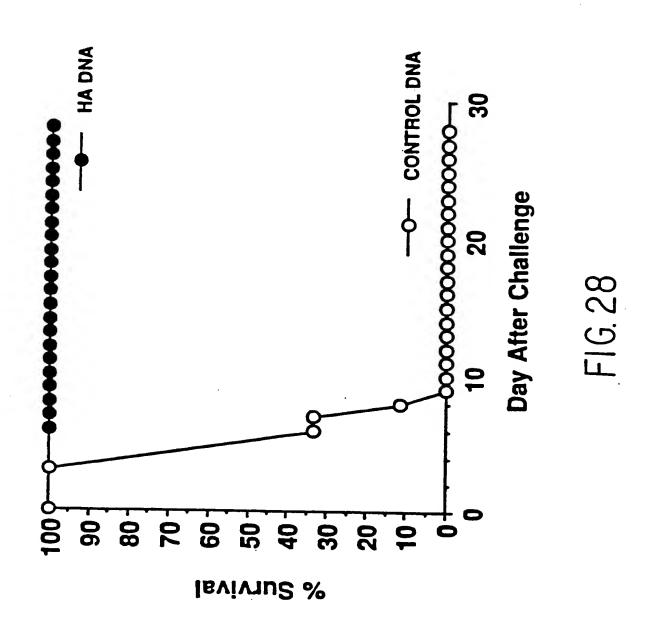


Mean Log Viral Titer ± SEM

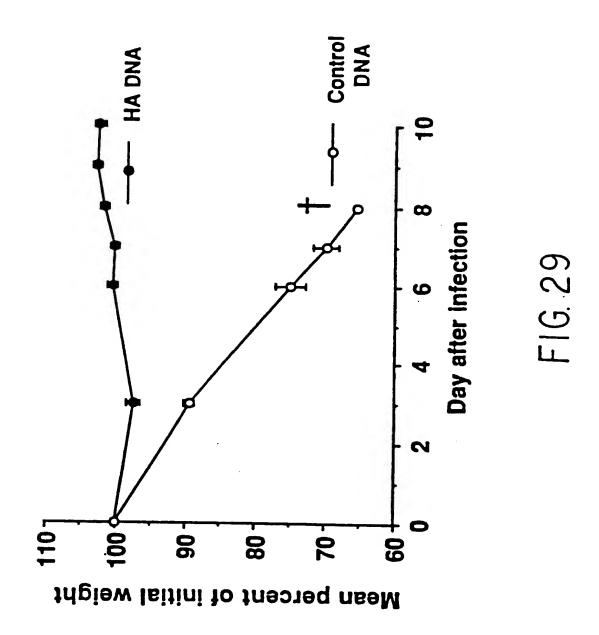
SUBSTITUTE SHEET (RULE 26)



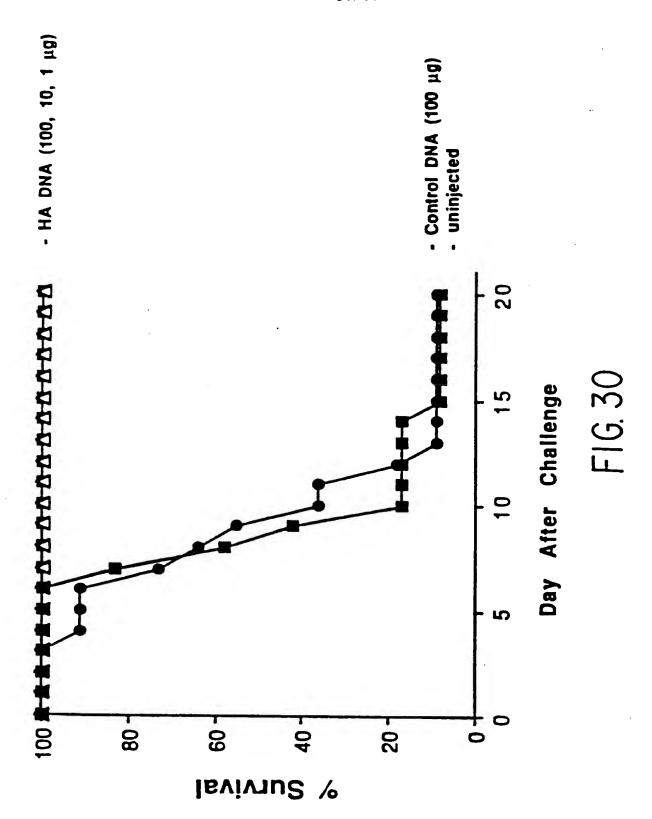
SUBSTITUTE SHEET (RULE 26)



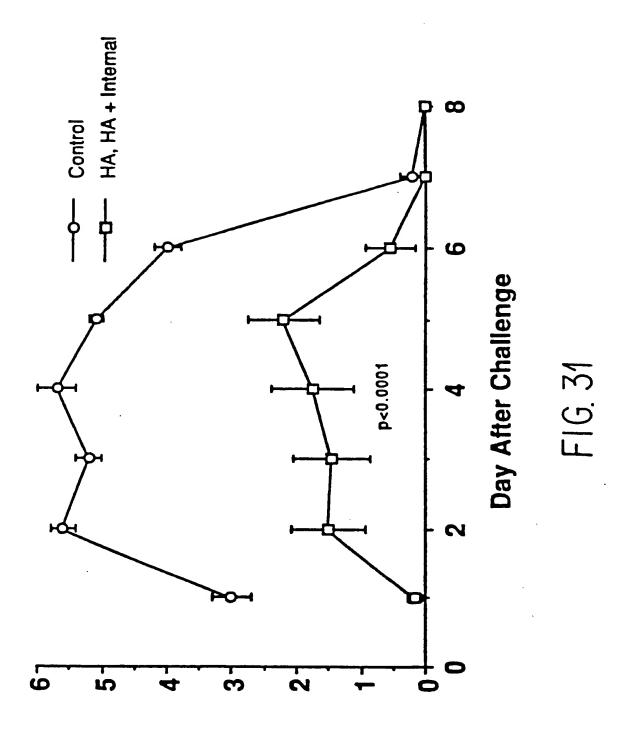
SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

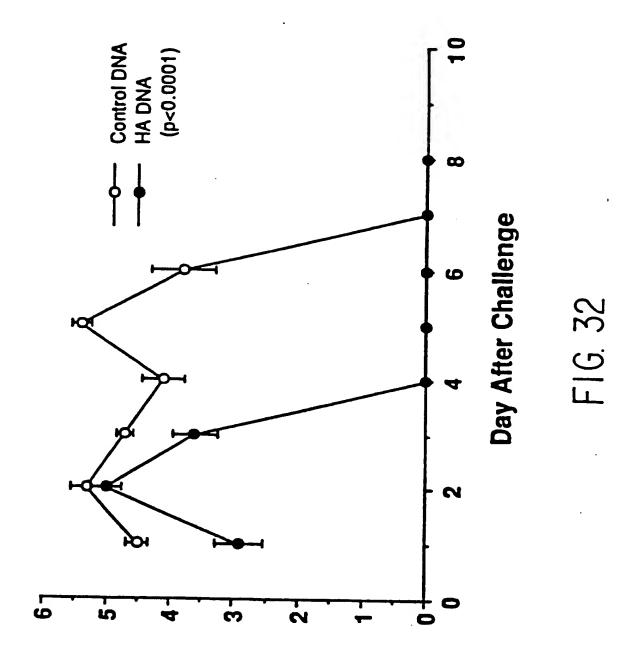


SUBSTITUTE SHEET (RULE 26)



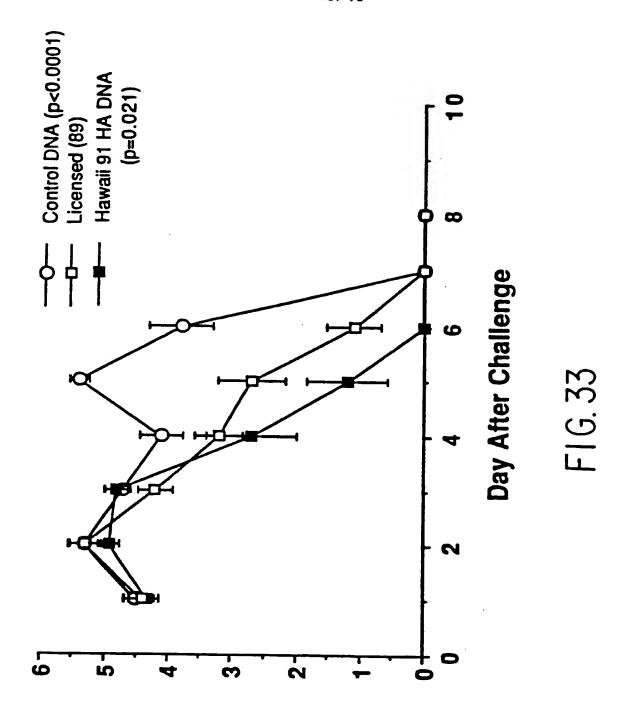
Mean Viral Titer ± SEM

SUBSTITUTE SHEET (RULE 26)

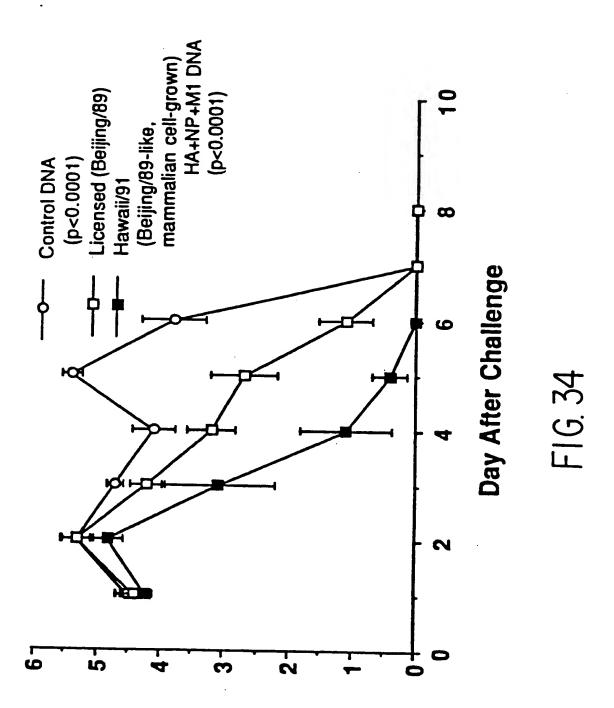


Mean Log Viral Titer ± SEM

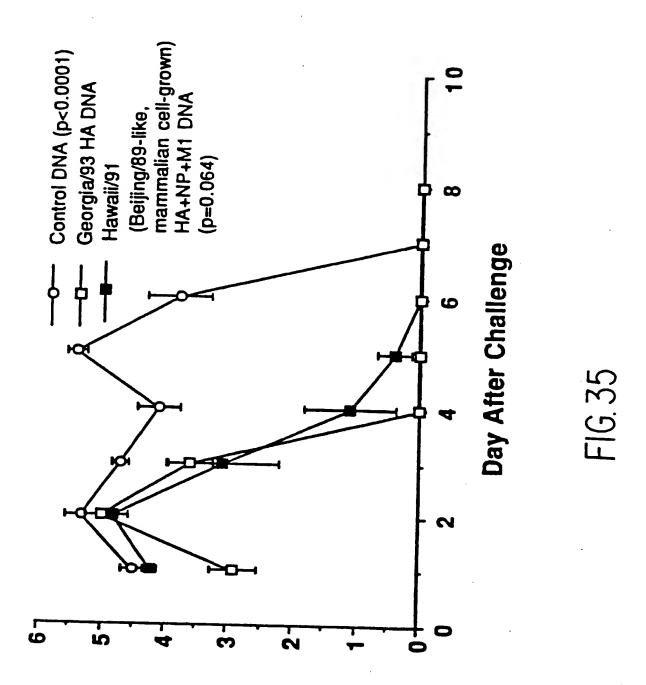




Mean Log Viral Titer ± SEM



Mean Log Viral Titer ± SEM



Mean Log Viral Titer ± SEM

VIR SEQUENCE, SEQ.ID:45:

1 GATATTGG CTATTGGCCA

251 TTGCATACGT TGTATCCATA TCATAATATG TACATITATA TTGGCTCATG 301 TCCAACATTA CCGCCATGTT GACATTGATT ATTGACTAGT TATTAATAGT 351 AATCAATTAC GGGGTCATTA GTTCATAGCC CATATATGGA GTTCCGCGTT 401 ACATAACTTA CGGTAAATGG CCCGCCTGGC TGACCGCCCA ACGACCCCCG 451 CCCATTGACG TCAATAATGA CGTATGTTCC CATAGTAACG CCAATAGGGA 501 CTTTCCATTG ACGTCAATGG GTGGAGTATT TACGGTAAAC TGCCCACTTG 551 GCAGTACATC AAGTGTATCA TATGCCAAGT ACGCCCCCTA TTGACGTCAA 601 TGACGGTAAA TGGCCCGCCT GGCATTATGC CCAGTACATG ACCTTATGGG 651 ACTTTCCTAC TTGGCAGTAC ATCTACGTAT TAGTCATCGC TATTACCATG 701 GTGATGCGGT TTTGGCAGTA CATCAATGGG CGTGGATAGC GGTTTGACTC 751 ACGGGGATTT CCAAGTCTCC ACCCCATTGA CGTCAATGGG AGTTTGTTTT 801 GGCACCAAAA TCAACGGGAC TTTCCAAAAT GTCGTAACAA CTCCGCCCCA 851 TTGACGCAAA TGGGCGGTAG GCGTGTACGG TGGGAGGTCT ATATAAGCAG 901 AGCTCGTTTA GTGAACCGTC AGATCGCCTG GAGACGCCAT CCACGCTGTT 951 TTGACCTCCA TAGAAGACAC CGGGACCGAT CCAGCCTCCG CGGCCGGGAA 1001 CGGTGCATTG GAACGCGGAT TCCCCGTGCC AAGAGTGACG TAAGTACCGC 1051 CTATAGAGTC TATAGGCCCA CCCCCTTGGC TTCTTATGCA TGCTATACTG 1101 TTTTTGGCTT GGGGTCTATA CACCCCCGCT TCCTCATGTT ATAGGTGATG 1151 GTATAGCTTA GCCTATAGGT GTGGGTTATT GACCATTATT GACCACTCCC 1201 CTATTGGTGA CGATACTTTC CATTACTAAT CCATAACATG GCTCTTTGCC 1251 ACAACTCTCT TTATTGGCTA TATGCCAATA CACTGTCCTT CAGAGACTGA 1301 CACGGACTCT GTATTTTTAC AGGATGGGGT CTCATTTATT ATTTACAAAT 1351 TCACATATAC AACACCACCG TCCCCAGTGC CCGCAGTTTT TATTAAACAT

FIG. 36A SUBSTITUTE SHEET (RULE 26)

1401 AACGTGGGAT CTCCACGCGA ATCTCGGGTA CGTGTTCCGG ACATGGGCTC 1451 TTCTCCGGTA GCGGCGGAGC TTCTACATCC GAGCCCTGCT CCCATGCCTC 1501 CAGCGACTCA TGGTCGCTCG GCAGCTCCTT GCTCCTAACA GTGGAGGCCA 1551 GACTTAGGCA CAGCACGATG CCCACCACCA CCAGTGTGCC GCACAAGGCC 1601 GTGGCGGTAG GGTATGTGTC TGAAAATGAG CTCGGGGAGC GGGCTTGCAC 1651 CGCTGACGCA TTTGGAAGAC TTAAGGCAGC GGCAGAAGAA GATGCAGGCA 1701 GCTGAGTTGT TGTGTTCTGA TAAGAGTCAG AGGTAACTCC CGTTGCGGTG 1751 CTGTTAACGG TGGAGGGCAG TGTAGTCTGA GCAGTACTCG TTGCTGCCGC 1801 GCGCGCCACC AGACATAATA GCTGACAGAC TAACAGACTG TTCCTTTCCA 1851 TGGGTCTTTT CTGCAGTCAC CGTCCTTAG ATCTGCTGTG CCTTCTAGTT 1901 GCCAGCCATC TGTTGTTTGC CCCTCCCCG TGCCTTCCTT GACCCTGGAA 1951 GGTGCCACTC CCACTGTCCT TTCCTAATAA AATGAGGAAA TTGCATCGCA 2051 GCAAGGGGGA GGATTGGGAA GACAATAGCA GGCATGCTGG GGATGCGGTG 2101 GGCTCTATGG GTAC GGCCGCAGCGGCC GTACCCAGGT GCTGAAGAAT TGACCCGGTT CCTCGACCCGT AAAAAGGCCG 2601 CGTTGCTGGC GTTTTTCCAT AGGCTCCGCC CCCCTGACGA GCATCACAAA 2651 AATCGACGCT CAAGTCAGAG GTGGCGAAAC CCGACAGGAC TATAAAGATA 2701 CCAGGCGTTT CCCCCTGGAA GCTCCCTCGT GCGCTCTCCT GTTCCGACCC 2751 TGCCGCTTAC CGGATACCTG TCCGCCTTTC TCCCTTCGGG AAGCGTGGCG 2801 CTTTCTCAAT GCTCACGCTG TAGGTATCTC AGTTCGGTGT AGGTCGTTCG 2851 CTCCAAGCTG GGCTGTGCC ACGAACCCCC CGTTCAGCCC GACCGCTGCG 2901 CCTTATCCGG TAACTATCGT CTTGAGTCCA ACCCGGTAAG ACACGACTTA 2951 TCGCCACTGG CAGCAGCCAC TGGTAACAGG ATTAGCAGAG CGAGGTATGT 3001 AGGCGGTGCT ACAGAGTTCT TGAAGTGGTG GCCTAACTAC GGCTACACTA FIG. 36B SUBSTITUTE SHEET (RULE 26)

3051 GAAGGACAGT ATTTGGTATC TGCGCTCTGC TGAAGCCAGT TACCTTCGGA
3101 AAAAGAGTTG GTAGCTCTTG ATCCGGCAAA CAAACCACCG CTGGTAGCGG
3151 TGGTTTTTTT GTTTGCAAGC AGCAGATTAC GCGCAGAAAA AAAGGATCTC
3201 AAGAAGATCC TTTGATCTTT TCTACGTGATCC CGTAATGC TCTGCCAGTG
TTACAACCAA TTAACCAATT CTGATTAGAA

3751 AAACTCATCG AGCATCAAAT GAAACTGCAA TTTATTCATA TCAGGATTAT 3801 CAATACCATA TITTTGAAAA AGCCGTTTCT GTAATGAAGG AGAAAACTCA 3851 CCGAGGCAGT TCCATAGGAT GGCAAGATCC TGGTATCGGT CTGCGATTCC 3901 GACTCGTCCA ACATCAATAC AACCTATTAA TITCCCCTCG TCAAAAATAA 3951 GGTTATCAAG TGAGAAATCA CCATGAGTGA CGACTGAATC CGGTGAGAAT 4001 GGCAAAAGCT TATGCATTTC TTTCCAGACT TGTTCAACAG GCCAGCCATT 4051 ACGCTCGTCA TCAAAATCAC TCGCATCAAC CAAACCGTTA TTCATTCGTG 4101 ATTGCGCCTG AGCGAGACGA AATACGCGAT CGCTGTTAAA AGGACAATTA 4151 CAAACAGGAA TCGAATGCAA CCGGCGCAGG AACACTGCCA GCGCATCAAC 4201 AATATTTCA CCTGAATCAG GATATTCTTC TAATACCTGG AATGCTGTTT 4251 TCCCGGGGAT CGCAGTGGTG AGTAACCATG CATCATCAGG AGTACGGATA 4301 AAATGCTTGA TGGTCGGAAG AGGCATAAAT TCCGTCAGCC AGTTTAGTCT 4351 GACCATCTCA TCTGTAACAT CATTGGCAAC GCTACCTTTG CCATGTTTCA 4401 GAAACAACTC TGGCGCATCG GGCTTCCCAT ACAATCGATA GATTGTCGCA 4451 CCTGATTGCC CGACATTATC GCGAGCCCAT TTATACCCAT ATAAATCAGC 4501 ATCCATGTTG GAATTTAATC GCGGCCTCGA GCAAGACGTT TCCCGTTGAA 4551 TATGGCTCAT AACACCCCTT GTATTACTGT TTATGTAAGC AGACAGTTTT 4601 ATTGTTCATG ATGATATATT TITATCTTGT GCAATGTAAC ATCAGAGATT **4651 TTGAGACACA ACGTGGCTTT CC** 

FIG. 36C SUBSTITUTE SHEET (RULE 26)

Inter mail Application No PCT/US 94/02751

A. CLASSIFICATION OF SUBJECT MATTER C12N15/44 A61K48/00 A61K31/70 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 5 C12N A61K C07K Documentation searched other than maximum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. X AVIAN DISEASES 1-3, 7-17,25 vol. 36 , 1992 pages 515 - 520 BROWN ET AL. 'Assessment of retrovirus-expressed nucleoprotein as a vaccine against lethal influenza virus infection of chickens' see the whole document P.X WO, A, 93 19183 (UNIVERSITY OF MASSACHUSETTS 1-3, 7-17,25 MEDICAL CENTER) 30 September 1993 see the whole document A WO, A, 90 11092 (VICAL, INC.) 4 October 1990 1-25 cited in the application see example 8 -/--Purther documents are listed in the continuation of box C. X X Patent family members are listed in annex. Special categories of cited documents: T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance "B" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alor filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled "O" document referring to an oral disclosure, use, exhibition or document published prior to the international filing date but later than the priority date claimed 'A' document member of the same petent family Date of the actual completion of the international search Date of mailing of the international search report **-** 4. 08. 94 5 July 1994 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 NL - 2240 HV Rijnwijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016 Cupido, M

Form PCT/ISA/218 (second sheet) (July 1992)

Inte. .mai Application No PCT/US 94/02751

C.(Continue	500) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 94/02751	
Category *		Relevant to claim No.	
P,X	SCIENCE. vol. 259 , 19 March 1993 , LANCASTER, PA US pages 1745 - 1749 ULMER ET AL. 'Heterologous protection against influenza by injection of DNA encoding a viral protein' see the whole document	1-25	
	•		
-			
	continuation of second sheet) (July 1992)		

3

ternational application No.

PCT/US 94/02751

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)				
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:					
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claims 7-17 are directed to a method of treatment of the human/animal body the search has been carried out and based on the alleged effects of the compound.				
2. [	Claims Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:				
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).				
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)				
This Inte	ernational Searching Authority found multiple inventions in this international application, as follows:				
	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.				
	As all searchable claims could be searches without effort justifying an additional fee, this Authority did not invite payment of any additional fee.				
	As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:				
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  .				
Remark (	The additional search fees were accompanied by the applicant's presset.  No protest accompanied the payment of additional search fees.				

information on patent family member

Int. ional Application No PCT/US 94/02751

			PCT/US	PCT/US 94/02751	
Patent document cited in search report	Publication date	Patent family member(s)		Publication	
WO-A-9319183	30-09-93	NONE		dete	
WO-A-9011092	04-10-90	AU-A- EP-A- JP-T-	5344190 0465529 4504125	22-10-90 15-01-92 23-07-92	

Form PCT/ISA/218 (parent family menex) (July 1992)